

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Protest of Application of:

David A. FISCHHOFF et al.

Application No.: 08/434,105

Filed: May 3, 1995

For: SYNTHETIC PLANT GENES AND METHOD

FOR PREPARATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Group Art Unit: 1638

Examiner: Anne R. Kubelik

Confirmation No. 2627

ATTENTION: John LeGuyader

Director: TC 1630

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PROTEST UNDER 37 C.F.R. § 1.291(a)

APR 1 0 2006

U.S. PATENT AND TRADEMARK OFFICE BOARD OF PATENT APPEALS AND INTERFERENCES

This paper is submitted under 37 C.F.R. § 1.291(a) in protest of the possible issuance of a U.S. patent based on application no. 08/434,105 ("the '105 application"), filed May 3, 1995, in the names of David A. Fischhoff and Frederick J. Perlak (collectively "Fischhoff"). The '105 application is assigned to Monsanto Technology LLC ("Monsanto"). It is being returned to post-interference, *ex parte* prosecution following entry of Consent Judgment in *Mycogen Corp. v. Monsanto Co.*, Civ. Action No. 1:04-CV-0573 DFH-WTL (SD Ind.) (attached as Exh. B), an action brought by Mycogen after the USPTO Board of Patent Appeals and Interferences awarded Fischhoff priority of invention over Mycogen's inventors in Interference No. 103,781 ("the interference"). The Board's decision issued on February 2, 2004.

attached as Exh. A.

WILLIAM R. DIXON, JR. V SPECIAL PROGRAM EXAMINER

A diagram showing the relationships of the applications and patents in this family is

Protestor believes the '105 application includes at least one claim to a synthetic gene derived from a *Bacillus thuringiensis* insecticidal protein toxin gene. On return to *ex parte* examination, the Examiner should not pass claims of the '105 application directed to a synthetic gene to issue, but instead should reject such claims on the judicially-created ground of obviousness-type double patenting. As explained below, on information and belief concerning the presence of synthetic gene claim 40 in the '105 application, Protestor submits that the subject matter sought to be patented is merely an obvious variation of subject matter already patented by Fischhoff in U.S. Patent Nos. 5,500,365 ("the '365 patent"), issued March 19, 1996 (attached as Exh. C), and 5,880,275 ("the '275 patent"), issued March 9, 1999 (attached as Exh. D). Under the principles of obviousness-type double patenting, such claims are not permitted without a terminal disclaimer. Moreover, double patenting is not an issue that appears to have been raised in the interference. Accordingly, for the following reasons, the Examiner should reject any gene claims in the '105 application.

I. Compliance With 37 C.F.R. § 1.291

This Protest complies with the requirements of 37 C.F.R. § 1.291. First, under § 1.291(a), a protest must adequately identify the application being protested so that it can be matched to the application. As indicated above, this Protest is against U.S. application no. 08/434,105, entitled "Synthetic Plant Genes And Method For Preparation," filed by Fischhoff on May 3, 1995, and which, based on information available on PAIR, apparently will be returned to Group Art Unit 1638 and Examiner Kubelik. Public PAIR also indicates Confirmation No. 2627 for this application. Thus, the Office should be able to readily match the Protest to the application. Jurisdiction

over the application may initially pass through the Board. If so, Protestor has identified Interference No. 103,781, again making it possible to match the Protest to the application.

Second, a protest may be filed in an application in accordance with § 1.291(b) prior to publication pursuant to § 1.211 or mailing of a Notice of Allowance. To Protestor's knowledge, neither of those actions have occurred in the '105 application as of the filing of this Protest.

Third, in accordance with § 1.292(b)(2), this Protest is the first and only protest filed against the '105 application on behalf of the real party in interest submitting it.

Fourth, a certificate indicating service of this Protest on Fischhoff's attorney or agent is attached, in compliance with § 1.291(b).

Fifth, in compliance with § 1.291(c), the patents relied on by Protestor are listed on page 2, a concise explanation of the relevance of the patents is provided below, and a copy of each patent is included with this Protest as Exhs. C and D.

Accordingly, it is submitted that all of the requirements for a protest are satisfied.

The Examiner should therefore accept this Protest and should apply the double patenting ground of rejection it raises.

II. Claim 40 Is Unpatentable Under the Doctrine of Obviousness-Type Double Patenting

On information and belief, there are four claims of the '105 application involved in the interference. Claims 3, 5, and 39 are method claims. Claim 40 is directed to a synthetic gene:

- 40. A synthetic gene which is derived from a *Bacillus thuringiensis* insecticidal protein toxin gene and which is more highly expressed in plants, wherein the coding sequence of said synthetic gene is modified to contain:
 - a) a greater number of codons preferred by the intended plant host than said insecticidal protein toxin gene; and
 - b) fewer polyadenylation signal sequences than said insecticidal protein toxin gene.

The claims of the '365 and '275 patents are also directed to genes encoding modified insecticidal toxin proteins. As the Protester will explain, claims in those two patents are directed to subject matter that falls within the broader scope of '105 application claim 40. In other words, a synthetic gene of claim 40 is clearly unpatentable over one or more issued claims in the patents. It is an "obvious variant" within the meaning of obviousness-type double patenting. Granting such a claim as claim 40 would effectively extend the term of the '365 and '275 patents. Therefore, claim 40, and any other such gene claims, are subject to rejection on the non-statutory ground of double patenting.

A. Claim 40 Is Unpatentable Over '365 Patent Claim 5

Claim 5 of the '365 patent,² which depends from independent claim 4, is directed to a modified chimeric gene. The gene comprises three components: (1) a promoter; (2) a structural coding sequence; and (3) a 3' nontranslated region comprising a polyadenylation signal. The coding sequence is required to have certain characteristics: (1) a specific sequence of 37 nucleotides found in the naturally occurring *B*. thuringiensis sequence; (2) at least one fewer plant polyadenylation sequence (or ATTTA sequence) compared to the naturally occurring sequence; and (3) an increased number of plant preferred codons compared to the naturally occurring sequence. The chart attached as Exh. E compares the language of '105 application claim 40 and '365 patent claim 5, with claim 40 rearranged to track the language in claim 5. It is evident from this comparison that '105 application claim 40 defines a genus which encompasses the subgenus recited by '365 patent claim 5. Claim 40 is therefore patentably indistinct from claim 5. Under the test of non-statutory (obviousness-type) double patenting, "the examiner asks whether the application claims are obvious over the patent claims." *In re Berg*, 140 F.3d 1428, 1432 (Fed. Cir. 1998) ("one-way test").

² The '365 patent issued on March 19, 1996, from an application filed October 9, 1992. Hence, the term of the '365 patent is 17 years from the date of issue. It will expire on March 19, 2013.

³ In the interference, Monsanto apparently characterized claim 40 as defining a genus of genetic sequences. See Board Opinion and Final Order dated February 2, 2004, page 36.

Applying that test, it is clear that an earlier patented species or subgenus at least renders obvious (in fact, anticipates) a genus claim which encompasses them.

Accordingly, the Examiner should reject claim 40, and any patentably indistinct gene claims, for obviousness-type double patenting over '365 patent claim 5.

In view of the prosecution history, the one-way test is the appropriate way to determine whether there is double patenting. Monsanto initially pursued broad claims in the application that issued as the '365 patent, for example, application claim 45 entered by the Preliminary Amendment dated January 11, 1993. Ultimately, Monsanto's claims, including claim 45, were rejected as obvious over a combination of art including U.S. Patent No. 5,380,781 to Adang *et al.*⁴ Office Action mailed October 4, 1995 (Paper No. 36, p. 4). In reply, Monsanto cancelled or amended its claims to distinguish over the references, choosing to obtain an early allowance of narrowed claims and pursuing broader claims, such as claim 40, in the '105 application. Having chosen to obtain an earlier patent on narrower claims, Monsanto had to accept that any broader, encompassing claims sought later would be subject to double patenting to prevent effectively extending the term of the earlier claims.

In some narrow circumstances, a "two-way test" is applied as an exception to the one-way test. But this two-way test only applies when an applicant could not avoid filing claims in separate applications, and even then, only if the Office controlled the rates of prosecution so as to cause later-filed species claims to issue before claims in an earlier application to a genus. *Berg*, 140 F.3d at 1434. That is not the situation here.

⁴ This patent was also involved in the interference.

As in the case of *Berg*, where the court applied the one-way test, the facts here are also similar to those of *In re Goodman*, 11 F.3d 1046 (Fed. Cir. 1993). In *Goodman*, the court affirmed the Board's judgment that claims under examination directed to a method for producing a mammalian peptide in plant cells were obvious variants of narrower patented claims directed to methods of producing interferon, a mammalian protein, in dicotyledonous plant cells. Before reaching the substantive issue, the court considered whether it needed to apply the two-way test under the facts of the case.

Specifically, Goodman's broad claims had been rejected for lack of enablement. After failing to persuade the examiner that the specification enabled the broad claims, Goodman amended the claims to narrow them to a scope that in the examiner's view was enabled. The narrowed claims issued in U.S. Patent No. 4,956,282 ("the '282 patent"). Goodman also filed a continuation application, the '380 application, to purse the broader claims. The examiner again rejected the broad claims for lack of enablement on the ground that the claimed methods could not be performed in all plant cells without undue experimentation. The '380 application claims were also rejected for obviousness-type double patenting. The Board upheld both rejections and Goodman appealed to the court.

The court held that the one-way test applied because PTO actions did not dictate the rate of prosecution of the species and genus claims. Instead, Goodman chose to file a continuation directed to the broader subject matter, while seeking earlier issuance of the narrow species claims. As the court observed:

Appellant's position [that a terminal disclaimer is unwarranted] could extend the term of the patent grant for many cases in a similar posture. By adopting the easy course of filing a continuation or divisional

application to gain a narrow claim, a patentee could gain an extension of term on a species when the broad genus later issued. . . .

Claim 12 and 13 are generic to the species of invention covered by claim 3 of the patent. Thus, the generic invention is "anticipated" by the species of the patented invention. This court's predecessor has held that, without a terminal disclaimer, the species claims preclude issuance of the generic application.

Goodman, 11 F.3d at 1053 (citations omitted).

Thus, where an applicant seeks an early allowance of narrow claims and also chooses to pursue the broader, rejected claims in a continuation application the one-way test applies in determining whether the broader claims are unpatentable as obvious variants of (or anticipated by) the patented narrow claims. Here, Monsanto made the decision to narrow its claims and seek broader claims in a continuation application, just like Goodman. Moreover, Monsanto made that decision before the interference was declared and, therefore, the fact that issuance of the broader subject matter of '105 patent claim 40 was later delayed by the interference has no bearing on the double patenting rejection.

Returning to Exh. E and the chart comparing '105 application claim 40 and '365 patent claim 5, under the one-way test, it is evident that the modified chimeric gene of '365 patent claim 5 is a subgenus of the genus of synthetic genes recited in '105 application claim 40. Claim 40, therefore, is an obvious variant of claim 5, and unpatentable for obviousness-type double patenting. If claim 40 were permitted to issue, Monsanto could assert that claim against a modified chimeric gene falling with the scope of '365 patent claim 5. In other words, granting claim 40 would provide Monsanto with an extension on patent term for the subject matter of '365 patent claim 5.

As the *Goodman* court noted, this is precisely the occurrence that the doctrine of obviousness-type double patenting doctrine is intended to prevent.

For these reasons, '105 application claim 40 is properly rejected under the doctrine of obviousness-type double patenting over at least claim 5 of the '365 patent.

B. Claim 40 Is Unpatentable Over Claim 3 of the '275 Patent

There are eight independent species claims in the '275 patent.⁵ It is sufficient for the Examiner to make a double patenting rejection of claim 40 if that claim is patentably indistinct from (anticipated by or obvious from) at least one claim of the '275 patent. As explained, '275 patent claim 3 is directed to a species within the scope of '105 application claim 40. Thus, claim 40 is properly rejected for obvious-type double patenting over at least that issued claim. While the Protester has focused on claim 3, the Examiner can similarly consider other claims of the '275 patent as well.

Claim 3 recites "[a] heterologous gene construct comprising a structural coding sequence which encodes an insecticidal protein derived from *B.t.k.* HD-1, said structural coding sequence comprising SEQ ID NO:22." The identity of SEQ ID NO: 22 is explained in the '275 specification. Example 2 of the '275 patent, which begins on col. 19, describes a fully synthetic *B.t.k.* HD-1 gene. As described, the gene "was designed using the preferred plant codons listed in Table V below." Col. 19, lines 59-60. Comparison of the last two columns in Table V reveals that the fully synthetic gene

⁵ The '275 patent issued from an application filed April 29, 1997, which claims benefit of application no. 07/315,355 filed February 24, 1989. Thus, the '275 patent expires 20 years from that earliest claimed date of U.S. benefit, that is, February 24, 2009.

contains a greater number of plant preferred codons than the wild-type gene. In addition, according to the '265 patent at col. 16, lines 5-10, the wild-type HD-1 gene contains 18 potential polyadenylation sites. In describing the synthetic gene, Monsanto states: "The resulting synthetic gene lacks ATTTA sequences, contains only one potential polyadenylation site and has a G+C content of 48.5%. Fig. 3 is a comparison of the wild-type HD-1 sequence to the synthetic gene sequence for amino acids 1-615." Col. 20, lines 60-64. According to the "Brief Description Of The Drawings," "FIGS. 3A-3C illustrate a comparison of the changes in the synthetic *B.t.k.* HD-1 sequence of Example 2 (lower line) (SEQ ID NO:22) versus the wild-type sequence of B.t.k. HD-1 which encodes the crystal protein toxin (upper line)." Hence, the fully synthetic gene sequence described in Example 2 is the same as SEQ ID NO:22.

Claim 3, therefore, is a species claim directed to a synthetic gene derived from a *B. thuringiensis* insecticidal protein toxin gene that has been modified to contain a greater number of plant preferred codons than the wild-type insecticidal protein toxin gene and fewer polyadenylation signal sequences that the wild-type toxin gene. As explained in Example 4, Table VIII (col. 24), tobacco plants containing the fully synthetic *B.t.k.* HD-1 gene demonstrated a 500-fold increase in expression compared to the wild-type gene. Claim 3, therefore, is a species of the genus recited by '105 application claim 40.

From the analysis above, it is evident that '105 application claim 40 is an obvious variant of at least '275 patent claim 3. Accordingly, the Examiner should reject claim 40 for obviousness-type double patenting.

III. 35 U.S.C. § 121 Does Not Shield '105 Application Claim 40 from Unpatentability Under the Doctrine of Obviousness-Type Double Patenting

In situations where a patent application claims two or more independent and distinct inventions, 35 U.S.C. § 121 authorizes the Director to require the applicant to restrict the claims to one of the inventions. Section 121 also shields an applicant who, in a divisional application, pursues claims to an invention restricted out of a parent application by prohibiting the use of parent and divisional applications as references against each other, as long as the divisional application is filed before the issuance of the parent application.⁶ The protections of Section 121, however, only apply when the applicant maintains consonance with the restriction requirement. In other words, "[s]ection 121 shield claims against a double patenting challenge if consonance exists between the divided groups of claims and an earlier restriction requirement." *Geneva Pharm., Inc. v. GlaxoSmithKline PLC*, 349 F.3d 1373, 1381 (Fed. Cir. 2003), *citing Symbol Techs., Inc. v. Opticon, Inc.*, 935 F.2d 1569, 1579 (Fed. Cir. 1991).

The third sentence of Section 121 states: "A patent issuing on an application with respect to which a requirement for restriction under this section has been made, or on an application filed as a result of such a requirement, shall not be used as a reference either in the Patent and Trademark Office or in the courts against a divisional application or against the original application or any patent issued on either of them, if the divisional application is filed before the issuance of the patent on the other application.

When an applicant includes new or amended claims in a divisional application that cross over the boundaries set by the restriction requirement, thereby claiming the invention that was elected in the parent case, the benefits of Section 121 no longer apply. For example, if gene claims were restricted from method claims and the gene claims elected and patented, the applicant cannot later claim patentably indistinct genes and avoid a double patenting rejection.

That is the situation here. The examiner imposed a restriction requirement during prosecution. Monsanto has not maintained consonance with that restriction requirement. Accordingly, the protections of Section 121 do not apply.

A. <u>The Relevant Prosecution History</u>

1. <u>Application No. 07/476,661</u>

Application no. 07/476,661 ("the '661 application") was filed on February 12, 1990. There were 38 claims in the '661 application as filed. Claims 1-12 and 27 were drawn to methods. Claims 13-26 and 28-38 were drawn to compositions, for example, structural genes, vectors, and transformed plant cells. The following claims are representative of the composition claims:

- 13. A structural gene which encodes an insecticidal protein of Bacillus thuringiensis, said gene being substantially devoid of polyadenylation signals and ATTTA sequences.
- 28. A structural gene sequence of Claim 13 comprising a majority of plant preferred codons.

Claims 3 and 4 are representative of the method claims:

- 3. A method for modifying a wild-type structural gene sequence which encodes an insecticidal protein of *Bacillus thuringiensis* to enhance the expression of said protein in plants which comprises:
 - a) removing polyadenyalyion signals contained in said-wild type

gene while retaining a sequence which encodes said protein;

- b) removing ATTTA sequences contained in said wild-type gene while retaining a sequence which encodes said protein.
- 4. A method of claim 3 further comprising the removal of self-complementary sequences and replacement of such sequences with nonself-complementary DNA comprising plant preferred codons while retaining a structural gene sequence encoding said protein.

and

The Office imposed a restriction requirement in the Office Action mailed October 1, 1991 (Paper No. 2). According to the Office, the claimed methods and compositions were directed to separate and distinct inventions:

Restriction to one of the following inventions is required under 35 U.S.C. § 121:

- I. Claims 1-12, and 27, drawn to a method for improving transformed gene[s] in plants, classified in Class 435, subclass 172.1.
- II. Claims 13-26, and 28-38, drawn to a modified <u>B.t.</u> toxin structural gene, DNA per se, and transformed plant cells, etc., classified in Class 435, subclass 240.4.

Paper No. 2, p. 2. The Office explained its reasoning as to why the two groups of claims were separate and distinct. It also indicated that there had been a request for oral election, but no election was made by Monsanto in response to the request. *Id.*, p. 3.

Monsanto filed a one page response to the restriction requirement on October 29, 1991, "elect[ing] with traverse the Invention of Group II, Claims 13-26 and 28-38 for examination purposes." Monsanto did not provide any argument that the restriction between Groups I and II was improper and should be withdrawn. Instead, Monsanto only argued that the examiner should include claims 9-12 in Group II because "[t]he

reasons for restriction set forth in the Office Letter do not apply to Claims 9-12 because these claims are limited to methods for making *Bt* toxin genes of Claim 13."

The restriction requirement was made final in the Office Action mailed February 3, 1992 (Paper No. 6). The examiner declined to include claims 9-12 in Group II. Paper No. 6, p. 2. Thus, method claims 1-12 and 27 were withdrawn from consideration as directed to a nonelected invention. There is no indication in the prosecution history of the '661 application that Monsanto filed a petition seeking review of the restriction requirement.

Prosecution proceeded on composition claims 13-26 and 28-38. Those claims were rejected. Ultimately, Monsanto abandoned the '661 application in favor of a continuation.

2. Application No. 07/959,506 (U.S. Patent No. 5,500,365)

That continuation, application no. 07/959,506 ("the '506 application"), was filed on October 9, 1992. The '506 application was filed under 37 C.F.R. § 1.62 as a file wrapper continuation application. Therefore, prosecution of the elected Group II claims continued in the '506 application. There was no restriction requirement imposed by the examiner during prosecution of the '506 application. However, Monsanto never introduced a method claim during prosecution of the '506 application, thus maintaining consonance with the parent '661 application restriction requirement. The various composition claims in the application were examined to allowance. Those claims issued in the '365 patent on March 19, 1996.

3. **Application No. 08/433,111**

Application no. 08/433,111 ("the '111 application") was filed on May 3, 1995, as a "divisional" of the '506 application. However, the original 38 claims, that is, the same set of claims that were restricted in the '661 application, were examined by an examiner who had not been responsible for any of the prior applications in this family. At no time did Monsanto bring to the attention of the examiner the restriction requirement entered in the '661 application, and consonance was not maintained with the restriction requirement in the '661 application. This application was eventually abandoned for failure to respond to an Office Action. Before that occurred, on December 13, 1996, Monsanto filed a "Notice of the Declaration of an Interference Involving a Related Application," wherein it stated: "This is to advise the examiner that interference no. 103,781 has been declared involving application No. 08/434,105. Application serial No. 08/434,105 was filed May 3, 1995 and is a continuation of serial No. 07/959,506, filed October 09, 1992, which is the parent of this application."

4. Application No. 08/841,178 (U.S. Patent No. 5,880, 275)

Monsanto filed application no. 08/841,178 ("the '178 application") on April, 27, 1997, as a continuation of the '111 application. With the application, Monsanto filed a Preliminary Amendment canceling original claims 1-38 and entering claims 39-43. All of those claims were directed to chimeric genes. Section 121 is not applicable to gene claims since gene claims were elected in response to the restriction requirement in the '661 application. Consonance would be maintained, and section 121 would only afford protection against a double patenting rejection, for *method* claims, which is the claimed subject matter that had been restricted and not elected.

Monsanto then filed a Second Preliminary Amendment on July 28, 1998, canceling claims 39-43 and entering claims 44-51 directed to species of genes encoding insecticidal *B. thuringiensis* proteins. Those claims were replaced with claim 52-59, submitted in a Third Preliminary Amendment filed on September 8, 1998, which were directed to heterologous genes comprising specific SEQ ID NOs.

At no time did Monsanto pursue method claims in the '178 application.

Monsanto received a first action allowance and application claims 52-59 issued as claims 1-8 in the '275 patent.

B. Monsanto Has Not Maintained Consonance

During prosecution of the '661 application the examiner imposed a restriction requirement providing a clear demarcation between the restricted subject matter. See Geneva Pharm., 349 F.3d at 1381 ("[R]estriction requirements must provide a clear demarcation between restricted subject matter to allow determination that claims in continuing applications are consonant and therefore deserving of § 121's protections."). That clear demarcation divided the claims into two well-defined groups: (1) methods for improving transformed gene[s] in plants (claims 1-12, and 27); and (2) modified B.t. toxin structural genes, DNAs per se, and transformed plant cells, etc. (claims 13-26, and 28-38). In response to the restriction requirement, Monsanto elected to prosecute the composition claims. By prosecuting a composition claim, that is, claim 40, in the '105 application Monsanto has crossed over the clear line of demarcation set by the examiner.

The mere fact that claim 40 is directed to a composition of matter is sufficient for the Office to conclude that in the '105 application Monsanto has not maintained

consonance with the restriction requirement. Yet a comparison of '105 application claim 40 and '661 application claim 28 (rewritten in independent form and restructured to match the limitations in claim 40) reveals beyond doubt that claim 40 is directed to essentially the same subject matter that Monsanto elected and prosecuted in the '661 application:

'105 Application Claim 40	'661 Application Claim 28
40. A synthetic gene which is derived from a <i>Bacillus thuringiensis</i> insecticidal protein toxin gene and which is more highly expressed in plants, wherein the coding sequence of said synthetic gene is modified to contain:	28. A structural gene which encodes an insecticidal protein of <i>Bacillus</i> thuringiensis, comprising
a) a greater number of codons preferred by the intended plant host than said insecticidal protein toxin gene; and	a majority of plant preferred codons
b) fewer polyadenylation signal sequences than said insecticidal protein toxin gene.	said gene being substantially devoid of polyadenylation signals and ATTTA sequences

Because of Monsanto's failure to maintain consonance with the restriction requirement, claim 40 is not shielded against a double patenting challenge. In view of the above, the Protester respectfully requests that the Examiner reject claim 40 as unpatentable for obviousness-type double patenting over at least claim 5 of the '365 patent and claim 3 of the '275 patent.

Respectfully submitted,

Dated: March 23, 2006

Jozsef Christopher GAAL

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Jealott's Hill International Research Centre,

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UNITED KINGDOM

CERTIFICATE OF SERVICE

PROTEST UNDER 37 C.F.R. § 1.291(a), and Exhibits A-E cited therein, were served on the Applicants through their attorney of record on this the 5th day of April, 2006, as follows:

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APR 0.7 2006

TECH CENTER 1600/2900

Via First Class Mail and FedEx to:

Lawrence M. Lavin, Jr., Esq. Monsanto Company 800 N. Lindbergh Blvd. NZNB St. Louis, Missouri 63167

Herbert H. Min

Reg. No. 26,**6**



EXHIBIT A



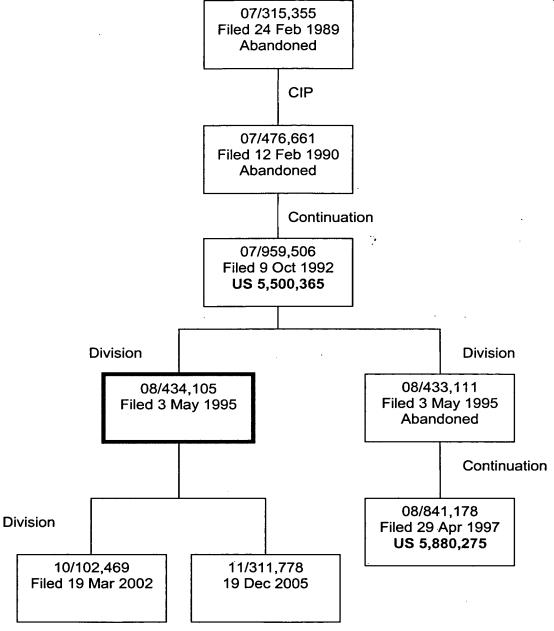


EXHIBIT B

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AFFE	FOR THE SOUTHER	TATES DISTRICT COURT RN DISTRICT OF INDIANA POLIS DIVISION
	MYCOGEN CORPORATION and)
	MYCOGEN PLANT SCIENCE, INC.,)
	•)
	Plaintiffs,)
	•	j ·
	v.) CIVIL ACTION NO.
) 1:04-CV-0573 DFH-WTL
	MONSANTO COMPANY and	,
	MONSANTO TECHNOLOGY, LLC,	ĺ
	MONDANIO IDENNIOLOGI, LLC,	Ś

Defendants.

CONSENT JUDGMENT AND ORDER

)

Plaintiffs, Mycogen Corporation and Mycogen Plant Science, Inc. ("Mycogen"), and

Defendants, Monsanto Company and Monsanto Technology, LLC ("Monsanto"), having agreed
to a settlement of this action and having consented to entry of this Judgment,

IT IS HEREBY ORDERED, ADJUDGED AND DECREED that:

- 1. This Court has jurisdiction over the Parties and subject matter of this action.
- This 35 U.S.C. § 146 action arose from the January 29, 2004 Final Decision of the
 U.S. Patent and Trademark Office Board of Patent Appeals and Interferences in Interference No.

 103,781 (the "'781 Interference").
- 3. The January 29, 2004 Final Decision of the U.S. Patent and Trademark Office Board of Patent Appeals and Interferences entering judgment as to the subject matter of "new Count 2," the sole Count in the '781 Interference (the "Count"), is hereby AFFIRMED. The U.S. Patent and Trademark Office is hereby ORDERED to enter judgment as to the subject matter of the Count in favor of David A. Fischhoff and Frederick J. Perlak and to enter judgment

that Adang, et al. are not entitled to a patent containing claims 1-12 of U.S. Patent No. 5,380,831, issued January 10, 1995.

4. All costs and attorneys' fees incurred in this action shall be borne by the respective parties.

IT IS SO ORDERED.

Entered: February 24 _____, 2006.

DAVID F. HAMILTON, JUDGE United States District Court Southern District of Indiana

Dund 7 Hamilton

CONSENTED AND AGREED TO:

s/ Donald E. Knebel
Donald E. Knebel
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Attorneys for Defendant, Monsanto Company and Monsanto Technology, LLC

1030272

United States Patent [19]

Fischhoff et al.

[11] Patent Number:

5,500,365

[45] Date of Patent:

Mar. 19, 1996

[54] SYNTHETIC PLANT GENES

[75] Inventors: David A. Fischhoff, Webster Groves;

Frederick J. Perlak, St. Louis, both of

Mo:

[73] Assignee: Monsanto Company, St. Louis, Mo.

[21] Appl. No.: 959,506

[22] Filed: Oct. 9, 1992

Related U.S. Application Data

[63] Continuation of Ser. No. 476,661, Feb. 12, 1990, abandoned, which is a continuation-in-part of Ser. No. 315,355, Feb. 24, 1989, abandoned.

[51] Int. Cl.⁶ C12N 15/32; C12N 15/82

[52] U.S. Cl. 435/240.4; 536/23.71;

935/10; 935/35

35; 800/200, 205, 250

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(List continued on next page.)

Primary Examiner—Robert A. Wax Assistant Examiner—Keith D. Hendricks Attorney, Agent, or Firm—Richard H. Shear; Dennis R. Hoerner, Jr.; Lawrence M. Lavin, Jr.

[57] ABSTRACT

A method for modifying structural gene sequences to enhance the expression of the protein product is disclosed. Also disclosed are novel structural genes which encode insecticidal proteins of B.t.k. HD-1, B.t.k. HD-73, B.t. tenebrionis, B.t. entomocidus, 2 protein of B.t.k. HD-1, and the coat protein of potato leaf roll virus.

12 Claims, 46 Drawing Sheets

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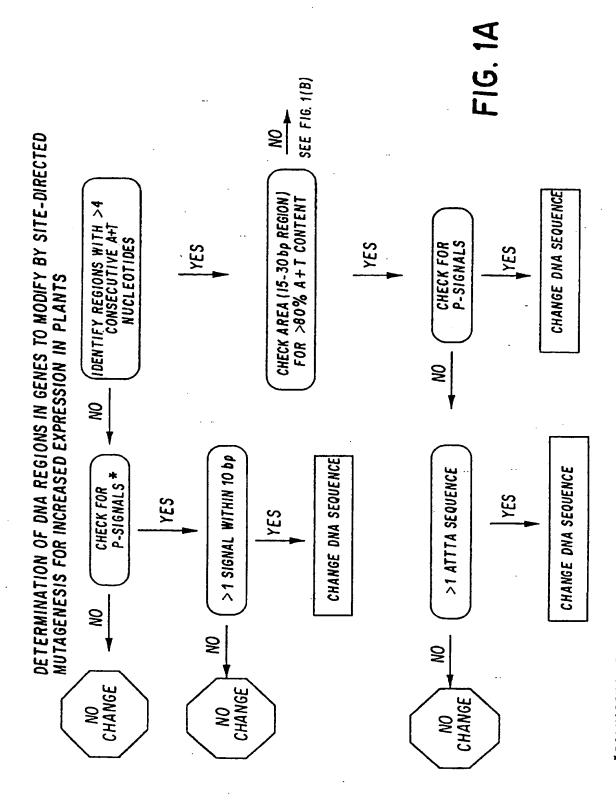
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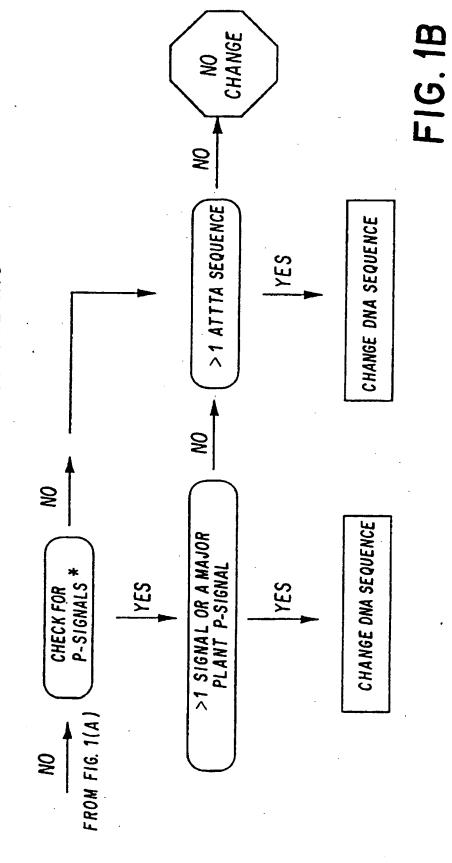
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*POLYADENYLATION SIGNAL SEQUENCES

DETERMINATION OF DNA REGIONS IN GENES TO MODIFY BY SITE-DIRECTED MUTAGENESIS FOR INCREASED EXPRESSION IN PLANTS



*POLYADENYLATION SIGNAL SEQUENCES

.S. Pate	nt Mar. 19, 1996 Sheet 3 of 46	5,500,365
1	ATGGCTATAGAAACTGGTTACACCCCAATCGATATTTCCT	40
41	TGTCGCTAACGCAATTTCTTTTGAGTGAATTTGTTCCCGG	80
. 81	TGCTGGATTTGTGTTAGGACTAGTTGATATATATGGGGA T C	120
121	ATTTTTGGTCCCTCTCAATGGGACGCATTTCTTGTACAAA	160
. 161	TTGAACAGTTAATTAACCAAAGAATAGAAGAATTCGCTAG C C C G C G	200
201	GAACCAAGCCATTTCTAGATTAGAAGGACTAAGCAATCTT T	240
241	TATCAAATTTACGCAGAATCTTTTAGAGAGTGGGAAGCAG	280
281		320
321	ATTCAATGACATGAACAGTGCCCTTACAACCGCTATTCCT	360
361	CTTTTTGCAGTTCAAAATTATCAAGTTCCTCTTTTATCAG CC C C	400
401	TATATGTTCAAGCTGCAAATTTACATTTATCAGTTTTGAG G C C CC C CC	440
441	AGATGTTTCAGTGTTTGGACAAAGGTGGGGATTTGATGCC	480
481	GCGACTATCAATAGTCGTTATAATGATTTAACTAGGCTTA	520
521	TTGGCAACTATACAGATCATGCTGTACGCTGGTACAATAC	560
561	GGGATTAGAGCGTGTATGGGGACCGGATTCTAGAGATTGG	600
601	ATAAGATATAATCAATTTAGAAGAGAATTAACACTAACTG C G C C GC T	640
641	TATTAGATATCGTTTCTCTATTTCCGAACTATGATAGTAG	680
681		720

FIG. 2A

U.S. Pate	nt Mar. 19, 1996	Sheet 4 of 46	5,500,365
721	ATTTATACAAACCCAGTATT	PAGAAAATTTTGATGGTAGTT	760
761	TTCGAGGCTCGGCTCAGGGC	CATAGAAGGAAGTATTAGGAG	800
801	TCCACATTTGATGGATATAC	CTTAATAGTATAACCATCTAT	840
841	ACGGATGCTCATAGAGGAGA	ATATTATTGGTCAGGGCATC C C T C	880
881	AAATAATGGCTTCTCCTGTA G C	GGGTTTTCGGGGCCAGAATT	920
921	CACTTTTCCGCTATATGGAA	CTATGGGAAATGCAGCTCCA	960
961	CAACAACGTATTGTTGCTCA	ACTAGGTCAGGGCGTGTATA	1000
1001	GAACATTATCGTCCACCTTA	TATAGAAGACCTTTTAATAT	1040
1041	AGGGATAAATAATCAACAAC C C C C	TATCTGTTCTTGACGGGACA	1080
1081	GAATTTGCTTATGGAACCTC	CTCAAATTTGCCATCCGCTG	1120
1121	TATACAGAAAAAGCGGAACG	GTAGATTCGCTGGATGAAAT	1160
1161	ACCGCCACAGAATAACAACG	TGCCACCTAGGCAAGGATTT	1200
1201	AGTCATCGATTAAGCCATGT	TTCAATGTTTCGTTCAGGCT	1240
1241	TTAGTAATAGTAGTGTAAGT	ATAATAAGAGCTCCTATGTT	1280
1281	CTCTTGGATACATCGTAGTG	CTGAATTTAATAATATAATT G C C C C C	1320
1321	CCTTCATCACAAATTACACAA C C	AATACCTTTAACAAAATCTA C AC C C G	1360
1361	CTAATCTTGGCTCTGGAACTT	CCTGTCGTTAAAGGACCAGG	1400

FIG. 2B

1401	ATTTACAGGAGGAGATATTCTTCGAAGAACTTCACCTGGC	1440
1441	CAGATTTCAACCTTAAGAGTAAATATTACTGCACCATTAT	1480
1481	CACAAAGATATCGGGTAAGAATTCGCTACGCTTCTACCAC	1520
1,521	AAATTTACAATTCCATACATCAATTGACGGAAGACCTATT CC T G C	1560
1561	AATCAGGGGAATTTTTCAGCAACTATGAGTAGTGGGAGTA	1600
1601	ATTTACAGTCCGGAAGCTTTAGGACTGTAGGTTTTACTAC	1640
1641	TCCGTTTAACTTTTCAAATGGATCAAGTGTATTTACGTTA	1680
1681	AGTGCTCATGTCTTCAATTCAGGCAATGAAGTTTATATAG	1720
1721	ATCGAATTGAATTTGTTCCGGCA 1743	

FIG. 2C

FIG. 3A

J .S.	Paten	t Mar. 19, 1996 Sheet 7 of 46		5,500,365
	681	AAGATATAATCAATTTAGAAGAGAATTAACACTAAC T C C G C G C C		720
	721	TTAGATATCGTTTCTCTATTTCCGAACTATGATAGT G C T G C CTCC		760
	761	CGTATCCAATTCGAACAGTTTCCCAATTAACAAGAG C C T C T G C T C	AAAT	800
	8.01	TTATACAAACCCAGTATTAGAAAATTTTGATGGTAGCCTTTCTTT	TTTT C C	840
	841	CGAGGCTCGGCTCAGGGCATAGAAGGAAGTATTAGG T T T C A T C CTCC C	AGTC C	880
	881	CACATTTGATGGATATACTTAATAGTATAACCATCT	ATAC C	920
	921	GGATGCTCATAGAGGAGAATATTATTGGTCAGGGCA		960
	961	ATAATGGCTTCTCCTGTAGGGTTTTCGGGGCCAGAA		1000
	1001	CTTTTCCGCTATATGGAACTATGGGAAATGCAGCTC	CACA	1040
	1041	ACAACGTATTGTTGCTCAACTAGGTCAGGGCGTGTA		1080
	1081	ACATTATCGTCCACCTTATATAGAAGACCTTTTAATA	ATAG C	1120
	1121	GGATAAATAATCAACAACTATCTGTTCTTGACGGGAG	CAGA	1160
	1161	ATTTGCTTATGGAACCTCCTCAAATTTGCCATCCGCTG C C T T C	rgta T	1200
	1201	TACAGAAAAAGCGGAACGGTAGATTCGCTGGATGAAA	ATAC C	1240
	1241	CGCCACAGAATAACAACGTGCCACCTAGGCAAGGATTAACAACGTGCAAGGATTAACAACGTGCAAGGATTAACAACGTGCAAGGATTAACAACGTGCAAGGATTAACAACGTGCAAGGATTAACAACGTGCAAGGAATTAACAACAACGTGCAAGGAATTAACAACGTGCAAGGAATTAACAACGTGCAAGGAATTAACAACAACAACAACAACAACAACAACAACAACAAC	TTAG CTC	1280
	1281	TCATCGATTAAGCCATGTTTCAATGTTTCGTTCAGGCCCCCCCC		1320
	1321	AGTAATAGTAGTATAAGTATAAGAGCTCCTATGT	TTCT	1360
	1361	CTTGGATACATCGTAGTGCTGAATTTAATAATATAATAAAAAAAA	rtcc	1400

1401	TTCATCACAAATTACACAAAATCTACT C T C C C A G C G	1440
1441	AATCTTGGCTCTGGAACTTCTGTCGTTAAAGGACCAGGAT C A G C	1480
1481	TTACAGGAGATATTCTTCGAAGAACTTCACCTGGCCA C T A T	1520
1521	GATTTCAACCTTAAGAGTAAATATTACTGCACCATTATCA AGC C C T C C T T	1560
1561	CAAAGATATCGGGTAAGAATTCGCTACGCTTCTACCACAA T C G T A A	1600
1601	ATTTACAATTCCATACATCAATTGACGGAAGACCTATTAA C G C C C G C	1640
1641	TCAGGGGAATTTTTCAGCAACTATGAGTAGTGGGAGTAAT T C C C TCA C C C	1680
1681	TTACAGTCCGGAAGCTTTAGGACTGTAGGTTTTACTACTC G A C C A C C	1720
1721	CGTTTAACTTTTCAAATGGATCAAGTGTATTTACGTTAAG T C C T C CC T	1760
1761	TGCTCATGTCTTCAATTCAGGCAATGAAGTTTATATAGAT C G T G C T C	1800
1801	CGAATTGAATTTGTTCCGGCAGAAGTAACCTTTGAGGCAG T G T C T C T	1840
1841	AATAT 1845 G C	

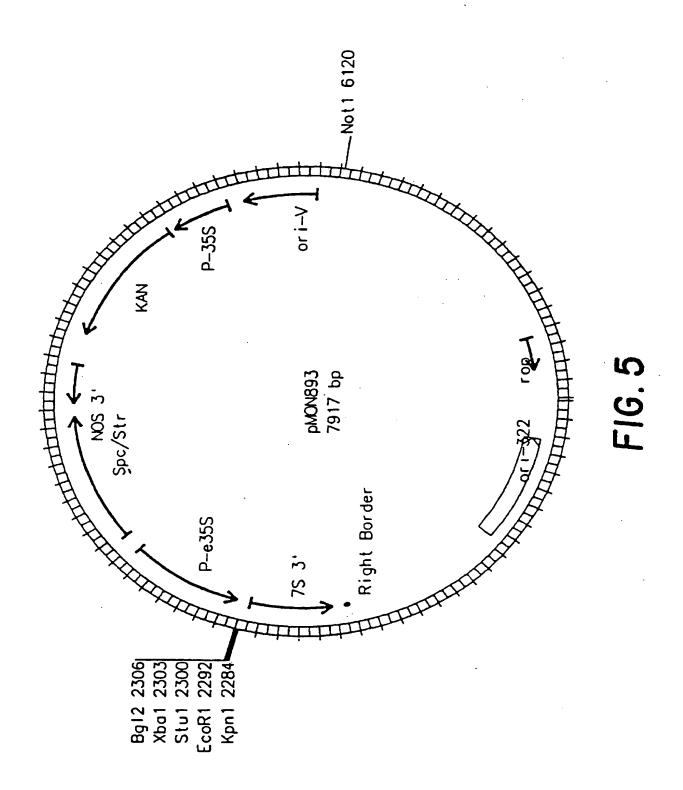
FIG. 3C

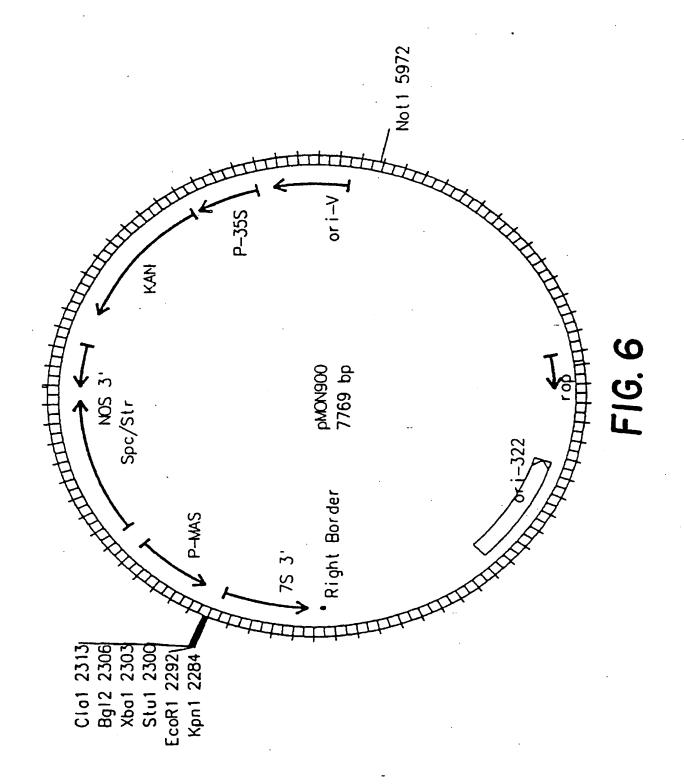
FIG. 4A

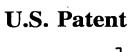
FIG. 4B

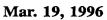
U.S. P	atent Mar. 19, 1996 Sheet 11	of 46	5,500,365
1401	ATCGGATAGTATTACTCAAATCCCTGCAGT	rgaagggaaa	C 1440
1441	TTTCTTTTTAATGGTTCTGTAATTTCAGGA	ACCAGGATTT. C	A 1480
1481	CTGGTGGGGACTTAGTTAGATAGTA A C C C C C	\GTGGAAATA.	A 1520
1521	CATTCAGAATAGAGGGTATATTGAAGTTC	, CAATTCACTT	C 1560
1561	CCATCGACATCTACCAGATATCGAGTTCGT	TGTACGGTAT(GA	G 1600
1601	CTTCTGTAACCCCGATTCACCTCAACGTTA	\ATTGGGGTA	A 1640
1641	TTCATCCATTTTTTCCAATACAGTACCAGC	TACAGCTAC	G 1680
1681	TCATTAGATAATCTACAATCAAGTGATTTT	GGTTATTTT(G 1720
1721	AAAGTGCCAATGCTTTTACATCTTCATTAG	GTAATATAG C C	r 1760
1761	AGGTGTTAGAAATTTTAGTGGGACTGCAGG	GAGTGATAAT T (A 1800
1801	GACAGATTTGAATTTATTCCAGTTACTGCA C G C	ACACTCGAG	G 1840
1841	CTGAATATAATCTGGAAAGAGCGCAGAAGG	CGGTGAATG(A TGC(•
1881	GCTGTTTACGTCTACAAACCAACTAGGGCT		
1921	G 1921		

FIG.4C



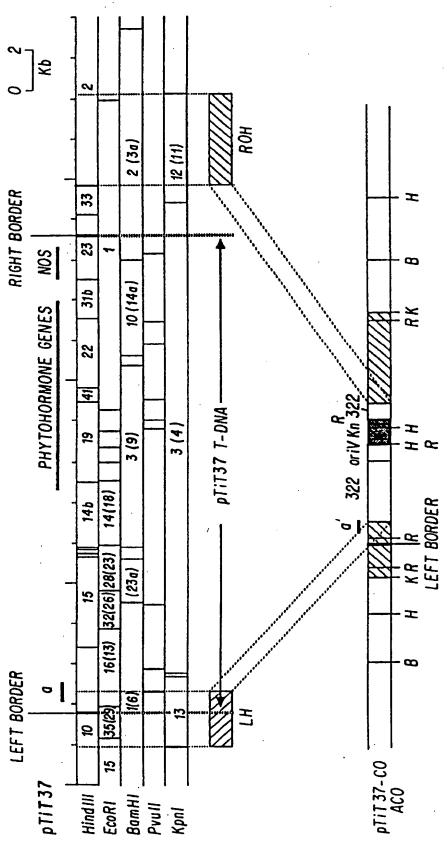






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5,500,365



F1G. 7

U.S. Pate	nt Mar. 19	, 1996	Sheet 15 of 46	5,5	500,365
1	GAAAGAATAGAAA ATGGCC T		CCCAATCGATAT T C C		1
. 41	TGTCGCTAACGCA CT G A		AGTGAATTTGTT C G C G		
81	TGCTGGATTTGTG G C T	TTAGGACTAG C C	TTGATATATAT C C C	GGGGA 12	0
121	ATTTTTGGTCCCT C A		_	ACAAA 16 G	0
161	TTGAACAGTTAAT G G			GCTAG 20 C	0
201	GAACCAAGCCATT G C			ATCTT 24 C	0
241		CAGAATCTTT GAGC		AGCAG 28 C	0
281	ATCCTACTAATCC C	AGCATTAAGA TC CC C		ATTCA 32	0
321	ATTCAATGACATG C		TTACAACCGCTA' G C A	TTCCT 36	0
361	CTTTTTGCAGTTC T G C C	AAAATTATCA G C C		ATCAG 40 G C	0
401	TATATGTTCAAGC G C		CATTTATCAGTT		0
441	AGATGTTTCAGTG C AGC	TTTGGACAAA G	GGTGGGGATTTG C	ATGCC 48	0
481	GCGACTATCAATA A C		TGATTTAACTAGO C CC T	GCTTA 52 G	0
521	TTGGCAACTATAC	AGATTATGCT C CC C		AATAC 56 C	0
561	GGGATTAGAACGT	GTATGGGGAC C T		ATTGG 60	0
601	GTAAGGTATAATC		AGAATTAACACTA G C (0
641	TATTAGATATCGT		CCGAATTATGATA		0

FIG.8A

J.S.	Patent	Mar. 19, 1996 Sheet 16 of 46	5,500,365
	681	AAGATATCCAATTCGAACAGTTTCCCAATTAACAAGAGAA CC C T C T G C T C	720
	721	ATTTATACAAACCCAGTATTAGAAAATTTTGATGGTAGTTCCTTCTTGCCCCCCCC	760
	761	TTCGAGGCTCAGGGCATAGAAAGAAGTATTAGGAG C T T T C A T C G CTCC C	800
	801	TCCACATTTGATGGATATACTTAACAGTATAACCATCTAT C C C CT G C T C	840
	841	ACGGATGCTCATAGGGGGTTATTATTATTGGTCAGGGCATC C C A AG G C T A C	880
	881	AAATAATGGCTTCTCCTGTAGGGTTTTCGGGGCCAGAATT G C C A T A CAGC C G	920
	921	CACTTTTCCGCTATATGGAACTATGGGAAATGCAGCTCCA T C T C C C	960
	961	CAACAACGTATTGTTGCTCAACTAGGTCAGGGCGTGTATA C T C C	1000
	1001	GAACATTATCGTCCACTTTATATAGAAGACCTTTTAATAT C G T C G C C C	1040
	1041	AGGGATAAATAATCAACAACTATCTGTTCTTGACGGGACA C T C C G T C A	1080
		GAATTTGCTTATGGAACCTCCTCAAATTTGCCATCCGCTG G C C T T C	
		TATACAGAAAAAGCGGAACGGTAGATTCGCTGGATGAAAT T G C T CT C	
		ACCGCCACAGAATAACAACGTGCCACCTAGGCAAGGATTT C T C C	
		AGTCATCGATTAAGCCATGTTTCAATGTTTCGTTCAGGCT TCC CA G G C C C A	
	1241	C C C TCC G C C C	
		CTCTTGGATACATCGTAGTGCTGAATTTAATAATATAAT	
		GCATCGGATAGTATTACTCAAATCCCTGCAGTGAAGGGAA C	
	1361	ACTTTCTTTTAATGGTTCTGTAATTTCAGGACCAGGATT C C C C	1400

FIG.8B

1401	TACTGGTGGGGACTTAGTTAGATTAAATAGTAGTGGAAAT C A C C C C C	1440
1441	AACATTCAGAATAGAGGGTATATTGAAGTTCCAATTCACT	1480
1481	TCCCATCGACATCTACCAGATATCGAGTTCGTGTACGGTA C A GA	1520
1521	TGCTTCTGTAACCCCGATTCACCTCAACGTTAATTGGGGT G T	1560
1561	AATTCATCCATTTTTCCAATACAGTACCAGCTACAGCTA C C T	1600
1601	CGTCATTAGATAATCTACAATCAAGTGATTTTTGGTTATTT C C G C C C C	1640
1641	TGAAAGTGCCAATGCTTTACATCTTCATTAGGTAATATA C C C C	1680
1681	GTAGGTGTTAGAAATTTTAGTGGGACTGCAGGAGTGATAA G C T	1720
1721	TAGACAGATTTGAATTTATTCCAGTTACTGCAACACTCGA C C G C	1760
1761	GGCTGAA 1767 G	

FIG.8C

U.S. Pater	nt Mar	. 19, 1996	Sheet 18 o	f 46	5,500,365
. 1	ATGGATAACAA C	TCCGAACATC C A	AATGAATGCA C	TTCCTTATA A C	40
41	ATTGTTTAAGT C C G	AACCCTGAAG A	TAGAAGTATT T C		80
81	AAGAATAGAAA C C T	CTGGTTACAC		ATTTCCTTG C	120
121	TCGCTAACGCA CT G A			TTCCCGGTG G A	160
161	CTGGATTTGTG G C T	TTAGGACTAG	TTGATATAAT C C		200
201	TTTTGGTCCCT	CTCAATGGGA		GTACAAATT G	240
241	GAACAGTTAAT G G	TAACCAAAGA C G G		TCGCTAGGA C	280
281	ACCAAGCCATT G C		AAGGACTAAG T G	CAATCTTTA C	320
321	TCAAATTTACG C C T	CAGAATCTTT GAGC		GAAGCAGAT C	360
361	CCTACTAATCC	AGCATTAAGA TC CC C		GTATTCAAT	400
401	TCAATGACATG C		TTACAACCGC G C A	TATTCCTCT C AT	440
441	TTTTGCAGTTC	AAAATTATCA G C C	AGTTCCTCTT C		480
481	TATGTTCAAGC		CATTTATCAG		520
521	ATGTTTCAGTG C AGC	TTTGGACAAA G		TGATGCCGC C T	560
561	GACTATCAATA A C		TGATTTAACT. C CC T	AGGCTTATT G	600
601	GGCAACTATAC A C	AGATTATGCT C CC C	GTACGCTGGT. T T	ACAATACGG C T	640
641	GATTAGAACGT C G G	GTATGGGGAC C T		AGATTGGGT A	680

FIG.9A

FIG.9B

U.S. Paten	It Mar. 19, 1996	Sheet 20 of 46	5,500,365
1401	ATCGGATAGTATTACTCAAAT	PCCCTGCAGTGAAGGGAAAC	1440
1441	TTTCTTTTTAATGGTTCTGT	AATTTCAGGACCAGGATTTA C C	1480
1481	CTGGTGGGGACTTAGTTAGAT	TTAAATAGTAGTGGAAATAA C C C C	1520
1521	CATTCAGAATAGAGGGTATAT	TTGAAGTTCCAATTCACTTC	1560
1561	CCATCGACATCTACCAGATAT	CGAGTTCGTGTACGGTATG A GA	1600
1601	CTTCTGTAACCCCGATTCACC	CTCAACGTTAATTGGGGTAA	1640
1641	TTCATCCATTTTTTCCAATAC	CAGTACCAGCTACAGCTACG T C	1680
1681	TCATTAGATAATCTACAATCA C G C	AAGTGATTTTGGTTATTTTG	1720
1721	AAAGTGCCAATGCTTTTACAT	C C C C	1760
1761	AGGTGTTAGAAATTTTAGTGC	GGACTGCAGGAGTGATAATA T C	1800
1801	GACAGATTTGAATTTATTCCA C G C	AGTTACTGCAACACTCGAGG	1840
1841	CTGAATATAATCTGGAAAGAG	GCGCAGAAGGCGGTGAATGC	1880
1881	GCTGTTTACGTCTACAAACCA	AACTAGGGCTAAAAACAAAT	1920
1921	GTAACGGATTATCATATTGAT	CAAGTGTCCAATTTAGTTA	1960
1961	CGTATTTATCGGATGAATTTT	GTCTGGATGAAAAGCGAGA	2000
2001	ATTGTCCGAGAAAGTCAAACA	ATGCGAAGCGACTCAGTGAT	2040
2041	GAACGCAATTTACTCCAAGAT	TCAAATTTCAAAGACATTA	2080
2081	ATAGGCAACCAGAACGTGGGT	 CGGGCGGAAGTACAGGGAT	2120

FIG.9C

U.S. Paten	nt Mar. 19, 1996	Sheet 21 of 46	5,500,365
2121	TACCATCCAAGGAGGGGATG	ACGTATTTAAAGAAAATTAC	2160
2161	GTCACACTATCAGGTACCTT	IGATGAGTGCTATCCAACAT	2200
2201	ATTTGTATCAAAAAATCGAT	GAATCAAAATTAAAAGCCTT	2240
2241	TACCCGTTATCAATTAAGAG	GGTATATCGAAGATAGTCAA	2280
2281	GACTTAGAAATCTATTTAAT	TCGCTACAATGCAAAACATG	2320
2321	AAACAGTAAATGTGCCAGGT	ACGGGTTCCTTATGGCCGCT	2360
2361	TTCAGCCCAAAGTCCAATCG	GAAAGTGTGGAGAGCCGAAT	2400
2401	CGATGCGCGCCACACCTTGA	ATGGAATCCTGACTTAGATT	2440
2441	GTTCGTGTAGGGATGGAGAA	AAGTGTGCCCATCATTCGCA	2480
2481	TCATTTCTCCTTAGACATTG	ATGTAGGATGTACAGACTTA	2520
2521	AATGAGGACCTAGGTGTATG	GGTGATCTTTAAGATTAAGA	2560
2561	CGCAAGATGGGCACGCAAGA	CTAGGGAATCTAGAGTTTCT	2600
2601	CGAAGAGAAACCATTAGTAG	GAGAAGCGCTAGCTCGTGTG	2640
2641	AAAAGAGCGGAGAAAAAATG	GAGAGACAAACGTGAAAAAT	2680
2681	TGGAATGGGAAACAAATATC	GTTTATAAAGAGGCAAAAGA	2720
2721	ATCTGTAGATGCTTTATTTG	TAAACTCTCAATATGATCAA	2760
2761	TTACAAGCGGATACGAATAT	TGCCATGATTCATGCGGCAG	2800
2801	ATAAACGTGTTCATAGCATT	CGAGAAGCTTATCTGCCTGA	2840

FIG. 9D

U.S. Pater	nt Mar. 19, 1996	Sheet 22 of 46	5,500,365
2841	GCTGTCTGTGATTCCGGGTG	TCAATGCGGCTATTTTTGAA	2880
2881	GAATTAGAAGGGCGTATTTT	CACTGCATTCTCCCTATATG	2920
2921	ATGCGAGAAATGTCATTAAA	AATGGTGATTTTAATAATGG	2960
2961	CTTATCCTGCTGGAACGTGA	AAGGGCATGTAGATGTAGAA	3000
3001	GAACAAAACAACCAACGTTC	GGTCCTTGTTGTTCCGGAAT	3040
3041	GGGAAGCAGAAGTGTCACAA	GAAGTTCGTGTCTGTCCGGG	3080
3081	TCGTGGCTATATCCTTCGTG	TCACAGCGTACAAGGAGGGA	3120
3121	TATGGAGAAGGTTGCGTAAC	CATTCATGAGATCGAGAACA	3160
3161	ATACAGACGAACTGAAGTTT	AGCAACTGCGTAGAAGAGGA	3200
3201	AATCTATCCAAATAACACGG	TAACGTGTAATGATTATACT	3240
3241	GTAAATCAAGAAGAATACGG	AGGTGCGTACACTTCTCGTA	3280
3281	ATCGAGGATATAACGAAGCT	CCTTCCGTACCAGCTGATTA	3320
3321	TGCGTCAGTCTATGAAGAAA	AATCGTATACAGATGGACGA	3360
3361	AGAGAGAATCCTTGTGAATT	TAACAGAGGGTATAGGGATT	3400
3401	ACACGCCACTACCAGTTGGT	TATGTGACAAAAGAATTAGA	3440
3441	ATACTTCCCAGAAACCGATA	AGGTATGGATTGAGATTGGA	3480
3481	GAAACGGAAGGAACATTTAT	CGTGGACAGCGTGGAATTAC	3520
3521	TCCTTATGGAGGAA 3534		

FIG.9E

FIG. 10A

FIG. 10B

U.S. Pater	nt Mar. 19, 1996	Sheet 25 of 46	5,500,365
1361	CTTGGATACATCGTAGTC C	GCTGAATTTAATAATATAATTGC GCCCCC	1400
1401	ATCGGATAGTATTACTCA	AAATCCCTGCAGTGAAGGGAAAC	1440
1441	TTTCTTTTTAATGGTTCT	GTAATTTCAGGACCAGGATTTA C C	1480
1481	CTGGTGGGGACTTAGTTA A C C	AGATTAAATAGTAGTGGAAATAA C C C C	1520
1521	CATTCAGAATAGAGGGTA	TATTGAAGTTCCAATTCACTTC	1560
1561	CCATCGACATCTACCAGA	TATCGAGTTCGTGTACGGTATG A GA	1600
1601	CTTCTGTAACCCCGATTC	ACCTCAACGTTAATTGGGGTAA	1640
1641	TTCATCCATTTTTTCCAA	T C	1680
1681	TCATTAGATAATCTACAA C G C	TCAAGTGATTTTGGTTATTTTG C C C C	1720
1721	AAAGTGCCAATGCTTTTA	CATCTTCATTAGGTAATATAGT C C C C	1760
1761	AGGTGTTAGAAATTTTAG G C	TGGGACTGCAGGAGTGATAATA T C	1800
1801	GACAGATTTGAATTTATT C G C	CCAGTTACTGCAACACTCGAGG	1840
1841	CTGAATATAATCTGGAAA	GAGCGCAGAAGGCGGTGAATGC	1880
1881	GCTGTTTACGTCTACAAA	CCAACTAGGGCTAAAAACAAAT G C C G C	1920
1921	GTAACGGATTATCATATT	GATCAAGTGTCCAATTTAGTTA C G G	1960
1961	CGTATTTATCGGATGAAT C CC CAGC G	TTTGTCTGGATGAAAAGCGAGA C	2000
2001	ATTGTCCGAGAAAGTCAA	ACATGCGAAGCGACTCAGTGAT	2040
2041	GAACGCAATTTACTCCAA	GATTCAAATTTCAAAGACATTA	2080

FIG.10C

U.S. Patent	Mar. 19, 1996	Sheet 26 of 46	5,500,365
2081	ATAGGCAACCAGAACGTGGGT	GGGGCGGAAGTACAGGGAT	2120
2121	TACCATCCAAGGAGGGGATGA G T C	CGTATTTAAAGAAAATTAC G C G G C	2160
2161	GTCACACTATCAGGTACCTTT	GATGAGTGCTATCCAACAT	2200
2201	ATTTGTATCAAAAAATCGATG CC C C G G C	AATCAAAATTAAAAGCCTT G C G G	2240
2241	TACCCGTTATCAATTAAGAGG	GTATATCGAAGATAGTCAA	2280
2281	GACTTAGAAATCTATTTAATT , C C G CC C		2320
2321	AAACAGTAAATGTGCCAGGTA	CGGGTTCCTTATGGCCGCT	2360
2361	TTCAGCCCAAAGTCCAATCGG	AAAGTGTGGAGAGCCGAAT	2400
2401	CGATGCGCGCCACACCTTGAA	TGGAATCCTGACTTAGATT	2440
2441	GTTCGTGTAGGGATGGAGAAA	AGTGTGCCCATCATTCGCA	2480
2481	TCATTTCTCCTTAGACATTGA	TGTAGGATGTACAGACTTA	2520
2521	AATGAGGACCTAGGTGTATGG	GTGATCTTTAAGATTAAGA	2560
2561	CGCAAGATGGGCACGCAAGAC	TAGGGAATCTAGAGTTTCT	2600
2601	CGAAGAGAAACCATTAGTAGG	AGAAGCGCTAGCTCGTGTG	2640
2641	AAAAGAGCGGAGAAAAAATGG	AGAGACAAACGTGAAAAAT G G	2680
2681	TGGAATGGGAAACAAATATCG G C C	TTTATAAAGAGGCAAAAGA C C	2720
2721	ATCTGTAGATGCTTTATTTGT	AAACTCTCAATATGATCAA	2760
2761	TTACAAGCGGATACGAATATT	GCCATGATTCATGCGGCAG	2800

FIG. 10D

U.S. Paten	t Mar. 19, 1996	Sheet 27 of 46	5,500,365
2801	ATAAACGTGTTCATAGCATTC	GAGAAGCTTATCTGCCTGA	2840
2841	GCTGTCTGTGATTCCGGGTGT	CAATGCGGCTATTTTGAA	2880
2881	GAATTAGAAGGGCGTATTTTC	CACTGCATTCTCCCTATATG C C	2920
2921	ATGCGAGAAATGTCATTAAAA C C C G	: AATGGTGATTTTAATAATGG C C C C	2960
2961	CTTATCCTGCTGGAACGTGAA	AAGGGCATGTAGATGTAGAA	3000
3001	GAACAAAACAACCAACGTTC	GGTCCTTGTTGTTCCGGAAT	3040
3041	GGGAAGCAGAAGTGTCACAA	GAAGTTCGTGTCTGTCCGGG	3080
3081	TCGTGGCTATATCCTTCGTG	FCACAGCGTACAAGGAGGGA	3120
3121	TATGGAGAAGGTTGCGTAAC	CATTCATGAGATCGAGAACA	3160
3161	ATACAGACGAACTGAAGTTT	AGCAACTGCGTAGAAGAGGA	3200
3201	AATCTATCCAAATAACACGG	TAACGTGTAATGATTATACT	3240
3241	GTAAATCAAGAAGAATACGG	AGGTGCGTACACTTCTCGTA	3280
3281	ATCGAGGATATAACGAAGCT	CCTTCCGTACCAGCTGATTA	3320
3321	TGCGTCAGTCTATGAAGAAA	AATCGTATACAGATGGACGA	3360
3361	AGAGAGAATCCTTGTGAATT	TAACAGAGGGTATAGGGATT	3400
3401	ACACGCCACTACCAGTTGGT	TATGTGACAAAAGAATTAGA	3440
3441	ATACTTCCCAGAAACCGATA	AGGTATGGATTGAGATTGGA	3480
3481	GAAACGGAAGGAACATTTAT	CGTGGACAGCGTGGAATTAC	3520
3521	TCCTTATGGAGGAA 3534	10E	

FIG. 11A

FIG. 11B

U.S. Paten	Mar. 19, 1996 Sheet 30 of 46	5,500,365
1401	ATCGGATAGTATTACTCAAATCCCTGCAGTGAAGGGAAAC	1440
1441	TTTCTTTTTAATGGTTCTGTAATTTCAGGACCAGGATTTA C C C C C	1480
1481	CTGGTGGGGACTTAGTTAGATTAAATAGTAGTGGAAATAA A C C C C C	1520
1521	CATTCAGAATAGAGGGTATATTGAAGTTCCAATTCACTTC	1560
1561	CCATCGACATCTACCAGATATCGAGTTCGTGTACGGTATG C A GA	1600
1601	CTTCTGTAACCCCGATTCACCTCAACGTTAATTGGGGTAA G T	1640
1641	TTCATCCATTTTTCCAATACAGTACCAGCTACAGCTACG C C T C	1680
1681	TCATTAGATAATCTACAATCAAGTGATTTTGGTTATTTTG C G C C C C	1720
1721	AAAGTGCCAATGCTTTACATCTTCATTAGGTAATATAGT C C C C C	1760
17,61	AGGTGTTAGAAATTTTAGTGGGACTGCAGGAGTGATAATA G C T C	1800
1801	C G C	1840
1841	CTGAATATATCTGGAAAGAGCGCAGAAGGCGGTGAATGC G C T G C T C	1880
1881	C C C C T G T CT G T C	1920
	GTAACGGATTATCATATTGATCAAGTGTCCAATTTAGTTA T T C C C C C C C	
•	CGTATTTATCGGATGAATTTTGTCTGGATGAAAAGCGAGA C CC TAGC G C C C G T	
2001	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	
	GAACGCAATTTACTCCAAGATTCAAAGACATTA GA G C CT G C C C	
2081	ATAGGCAACCAGAACGTGGGTGGGGGGAAGTACAGGGAT C G T T C C	2120

FIG. 11C

U.S. Paten	Mar. 19, 1996 Sheet 3	1 of 46	5,500,36
2121		AAGAAAATTAC G G C	2160
2161	GTCACACTATCAGGTACCTTTGATGAGTG C C C A T C C	CTATCCAACAT C T C	2200
2201	ATTTGTATCAAAAAATCGATGAATCAAAA C C G G G C	TTAAAAGCCTT C C	2240
2241	TACCCGTTATCAATTAAGAGGGTATATCG C A G C T C C	AAGATAGTCAA C C	2280
2281	GACTTAGAAATCTATTTAATTCGCTACAA C T C CG CA G	TGCAAAACATG C G C	2320
2321	AAACAGTAAATGTGCCAGGTACGGGTTCC G C C T	TTATGGCCGCT C C A	2360
2361	TTCAGCCCAAAGTCCAATCGGAAAGTGTG T TC C T G	GAGAGCCGAAT T C	2400
2401	CGATGCGCCCACACCTTGAATGGAATCC A T G	TGACTTAGATT G C	2440
2441	GTTCGTGTAGGGATGGAGAAAAGTGTGCC C C C G	CATCATTCGCA C T	2480
2481	TCATTTCTCCTTAGACATTGATGTAGGAT C G C G	GTACAGACTTA T C G	2520
2521	AATGAGGACCTAGGTGTATGGGTGATCTT C A C C	TAAGATTAAGA C C	2560
2561	CGCAAGATGGGCACGCAAGACTAGGGAAT C C A T C C	CTAGAGTTTCT T	2600
2601	CGAAGAGAAACCATTAGTAGGAGAAGCGC G C T T		2640
2641	AAAAGAGCGGAGAAAAAATGGAGAGACAA G A G G G	ACGTGAAAAAT G C	2680
2681	TGGAATGGGAAACAAATATCGTTTATAAA C T C C G		2720
2721	ATCTGTAGATGCTTTATTTGTAAACTCTC	AATATGATCAA G	2760
2761	TTACAAGCGGATACGAATATTGCCATGAT G C C C C	CATGCGGCAG	2800
2801	ATAAACGTGTTCATAGCATTCGAGAAGCT	TATCTGCCTGA	2840

FIG.11D

U.S. Paten	t Mar. 19, 1996 Sheet 3	2 of 46	5,500,365
2841	GCTGTCTGTGATTCCGGGTGTCAATGCGG T C C T G C T	C C C G	2880
2881	GAATTAGAAGGGCGTATTTTCACTGCATT	CTCCCTATATG T G C	2920
2921	ATGCGAGAAATGTCATTAAAAATGGTGAT	TTTTAATAATGG	2960
2961	CTTATCCTGCTGGAACGTGAAAGGGCATC	TAGATGTAGAA G C G G	3000
3001	GAACAAAACAACCAACGTTCGGTCCTTGT	TTGTTCCGGAAT G T G	3040
3041	GGGAAGCAGAAGTGTCACAAGAAGTTCGT T C G A A		3080
3081	TCGTGGCTATATCCTTCGTGTCACAGCGTAAACTCCTCGTGTCACAGCGTAAACTCCTTCGTGTCACAGCGTAAACTCCTTCGTGTCACAGCGTAAACTCCTTCGTGTCACAGCGTAAACTCCTTCGTGTCACAGCGTAAACTCCTTCGTGTCACAGCGTAAACTCCTTCGTGTCACAGCGTAAACTCCTTCGTGTCACAGCGTAAACTCCTTCGTGTCACAGCGTAAACTCCTTCGTGTCACAGCGTAAACTCCTTCGTGTCACAGCGTAAACTCCTTCGTGTCACAGCGTAAACTCCTTCGTGTCACAGCGTAAACTCCTTCGTGTCACAGCGTAAACTCCTTCGTGTCACAGCGTAAACTCCTTCGTGTCACAGCGTAAACTCCTTCGTGTCACAGCGTAAAAACTCCTTCGTGTCACAGCGTAAAAAAAA	ACAAGGAGGGA	3120
3121	TATGGAGAAGGTTGCGTAACCATTCATGA	AGATCGAGAACA	3160
3161	ATACAGACGAACTGAAGTTTAGCAACTGC	CGTAGAAGAGGA C G A	3200
3201	AATCTATCCAAATAACACGGTAACGTGTA C C C T T C	AATGATTATACT C C C	3240
3241	GTAAATCAAGAAGAATACGGAGGTGCGTA G G C	ACACTTCTCGTA AGC	3280
3281	ATCGAGGATATAACGAAGCTCCTTCCGTA	ACCAGCTGATTA T C	3320
3321	TGCGTCAGTCTATGAAGAAAAATCGTATA	ACAGATGGACGA CA	3360
3361	AGAGAGAATCCTTGTGAATTTAACAGAGC	GTATAGGGATT T C C	3400
3401	ACACGCCACTACCAGTTGGTTATGTGACA	AAAGAATTAGA C G GC T	3440
3441	ATACTTCCCAGAAACCGATAAGGTATGGAGGTATGGAGGTATGGAAGGTATGGAAACCGATAAGGTATGGAAGGAAGGTATGGAAGGTATGGAAGGTATGGAAGGTATGGAAGGTATGGAAGGTATGGAAGGTATGGAAGGAAGAA		3480
3481	GAAACGGAAGGAACATTTATCGTGGACAC C G C C	GCGTGGAATTAC GC T	3520
3521	TCCTTATGGAGGAA 3534 T G		

FIG. 11E

FIG. 12A

U.S. Patent	Mar. 19, 1996	Sheet 34 of 46	5,500,365
681	AACAGTATTAGATTTAATTC	GCACTATTTCCATTGTATGAT C C C	720
721	GTTCGGCTATACCCAAAAGA GA A C G	AAGTTAAAACCGAATTAACAA G T GC T C	760
761	GAGACGTTTTAACAGATCCA GC C T C T	AATTGTCGGAGTCAACAACCT	800
801	TAGGGGCTATGGAACAACCT T T	TTCTCTAATATAGAAAATTAT AGC C C C	840
841	ATTCGAAAACCACATCTATT A G C	TTGACTATCTGCATAGAATTC C T C	880
881	AATTTCACACGCGGTTCCAA C AA T	ACCAGGATATTATGGAAATGA C T C	920
921	CTCTTTCAATTATTGGTCCG C C	GTAATTATGTTTCAACTAGA C C C	960
961		TATAATCACATCTCCATTCT C C C	1000
1001	ATGGAAATAAATCCAGTGAA T C G	ACCTGTACAAAATTTAGAATT G G CC T G	1040
1041	TAATGGAGAAAAAGTCTATA C C C G	AGAGCCGTAGCAAATACAAAT C C C	1080
1081	CTTGCGGTCTGGCCGTCCGC	TGTATATTCAGGTGTTACAA A T C C C	1120
1121	G G T G	AATGATCAAACAGATGAAGC C G C G	1160
	CCCGT	CAAAAAGAAATGTTGGCGCG C C T C A	1200
1201	TCT · ·	ATCAATTGCCTCCAGAAACAA C C	·
.1241	· C AT G G	•	
1281	C G C G		1320
1321	T G C	CACATAAAAGTGTAGACTTTT C GTCC G C	1360
1361		AAAATTACACAACTTCCGTT G C T C	1400

FIG.12B

1401	AGTAAAGGCATATAAGTTACAATCTGGTGCTTCCGTTGTC G G A C C G	1440
1441	GCAGGTCCTAGGTTTACAGGAGGAGATATCATTCAATGCA C A C T T C C G	1480
1481	CAGAAAATGGAAGTGCGGCAACTATTTACGTTACACCGGA G C C A T C G T	1520
1521	TGTGTCGTACTCTCAAAAATATCGAGCTAGAATTCATTAT T G G CA G AC T C	1560
1561	GCTTCTACATCTCAGATAACATTTACACTCAGTTTAGACG A CAGC C C C G T	1600
1601	GGGCACCATTTAATCAATACTATTTCGATAAAACGATAAA A C C C G T C T C G C C	1640
1641	TAAAGGAGACACATTAACGTATAATTCATTTAATTTAGCA C T TC C A C AGC C C G	1680
.1681	AGTTTCAGCACCATTCGAATTATCAGGGAATAACTTAC T C C C TC T	1720
1721	AAATAGGCGTCACAGGATTAAGTGCTGGAGATAAAGTTTA G C C C C C C	1760
1761	TATAGACAAAATTGAATTTATTCCAGTGAAT 1791 C C G G C C C	

FIG.12C

FIG. 13A

FIG.13B

1401	TGTGCATAACAGAAAAATAATATCTATGCCGCTAATGAA C G G C C T C C G	1440
1441	AATGGTACTATGATCCATTTGGCGCCAGAAGATTATACAG C C T CC T A C T	1480
1481	GATTTACTATATCGCCAATACATGCCACTCAAGTGAATAA C C C T C T C C	1520
1521	TCAAACTCGAACATTTATTTCTGAAAAATTTGGAAATCAA G A C C C C G C	1560
1561	GGTGATTCCTTAAGATTTGAACAAGCAACACGACAGCTC C G G C G TC T C A	1600
1601	GTTATACGCTTAGAGGGAATGGAAATAGTTACAATCTTTA G C TT G C C C	1640
1641	TTTAAGAGTATCTTCAATAGGAAATTCAACTATTCGAGTT C G TAGC C T T C C C C T	1680
1681	ACTATAAACGGTAGAGTTTATACTGTTTCAAATGTTAATA C C AC T C A C T G C	1720
1721	CCACTACAAATAACGATGGAGTTAATGATAATGGAGCTCG T A G C T C C C CA	1760
1761	TTTTTCAGATATTAATATCGGTAATATAGTAGCAAGTGAT A CAGC C C T C C G CTC C	1800
1801	AATACTAATGTAACGCTAGATATAAATGTGACATTAAACT C C T TT G C C CC C	1840
1841	CCGGTACTCCATTGATCTCATGAATATTATGTTTGTGCC T A C C	1880
1881	AACTAATCTTCCACCACTTTAT 1902 C C T T G C	

FIG. 13C

FIG. 14A

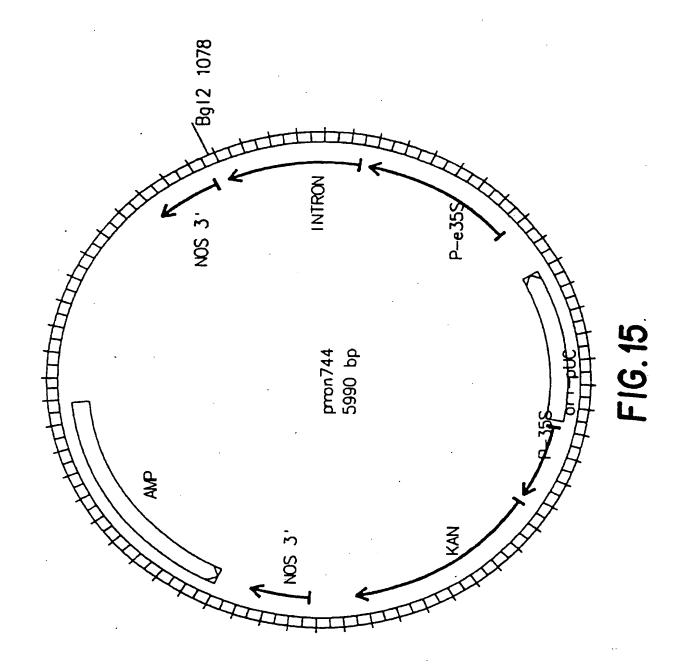
FIG. 14B

FIG. 14C

S.	Paten	Mar. 19, 1996	Sheet 42 of 46	5,500,365
	2121	CTGGAGAGGAAGTACAGATATTA T G T C C GG C		2160
	2161	GACGTATTCAAAGAGAATTACG	CACACTACCGGGTACCG C CT C A TT	2200
	2201		TTTATATCAGAAAATAGA C G C G C	2240
•	2241	TGAGTCGAAATTAAAAGCTTATA C C C C TC		2280
	2281	GGGTATATCGAAGATAGTCAAGA	ACTTAGAAATCTATTTGA C T C C	2320
	2321	TCCGTTACAATGCAAAACACGAA	AATAGTAAATGTGCCAGG G CC G C	2360
	2361	CACGGGTTCCTTATGGCCGCTTT	CAGCCCAAATGCCAATC T TCT C T	2400
	2401	GGAAAGTGTGGAGAACCGAATCO G G T CA		2440
	2441	AATGGAATCCTGATCTAGATTGT		2480
	2481	AAAATGTGCACATCATTCCCATC G G C C T	CATTTCACCTTGGATATT C T C C	2520
	2521	GATGTTGGATGTACAGACTTAAA G T C G	TGAGGACTTAGGTGTAT C C A C	2560
	2561	GGGTGATATTCAAGATTAAGACG		2600
	2601	ACTAGGGAATCTAGAGTTTCTCG T C C T	AAGAGAAACCATTATTA GG C	2640
	2641	GGGGAAGCACTAGCTCGTGTGAA T T C	AAGAGCGGAGAAGAAGT G A	2680
	2681	GGAGAGACAAACGAGAGAAACTG G T	CAGTTGGAAACAAATAT G A G T C	2720
	2721	TGTTTATAAAGAGGCAAAAGAAT C C G C G	CTGTAGATGCTTTATTT C G G C	2760
	2761	GTAAACTCTCAATATGATAGATT G C CAG	ACAAGTGGATACGAACA G CC C C	2800
	2801	TCGCCATGATTCATGCGGCAGAT C C C		2840

FIG. 14D

U.S. Pater	Mar. 19, 1996	Sheet 43 of 46	5,500,365
2841	CCGGGAAGCGTATCTGCCA T T G T CT T	GAGTTGTCTGTGATTCCAGGT C C T	2880
2881	GTCAATGCGGCCATTTTCG G C T C	AAGAATTAGAGGGACGTATTT G C T C	2920
2921	TTACAGCGTATTCCTTATA C A TC G	TGATGCGAGAAATGTCATTAA C C C C	2960
2961	AAATGGCGATTTCAATAAT G C T C C	GGCTTATTATGCTGGAACGTG C CAGC T	3000
3001		AAGAGCAAAACAACCACCGTT G A G T G	3040
3041		ATGGGAGGCAGAAGTGTCACA G A T C	3080
3081	AGAGGTTCGTGTCCA A A	GGTCGTGGCTATATCCTTCGT A A C T C	3120
3121	GTCACAGCATATAAAGAGG G C T C G	GATATGGAGAGGGCTGCGTAA C T T G	3160
3161	CGATCCATGAGATCGAAGA C C GA	CAATACAGACGAACTGAAATT C C G T G	3200
3201	CAGCAACTGTGTAGAAGAG TC C C G A	GAAGTATATCCAAACAACACA A C C C	3240
3241	GTAACGTGTAATAATTATA T T C CG C C	CTGGGACTCAAGAAGAATATG T A G G C	3280
3281	AGGGTACGTACACTTCTCG GA G C AGC	TAATCAAGGATATGACGAAGC CAG T CA	3320
3321	CTATGGTAATAACCCTTCC TCC TCXXXXXXXXXXXX	GTACCAGCTGATTACGCTTCA T T C T C C	3360
3361	GTCTATGAAGAAAAATCGT G C G C	ATACAGATGGACGAAGAGAGA C CA C T	3400
3401		AGGCTATGGGGATTACACACC T CA C	3440
3441	TAT C T		
3481	T C A G	•	
3521		TAGCGTGGAATTACTCCTTAT C GC T T G	3560
3561	GGAGGAA 3567	FIG.	14E



U.S. Pater	1 t Mar. 19, 1996 Sh	eet 45 of 46	5,500,365
1	AGATCTAGAGGTAATTGTTATGAGT GATC	ACTGTCGTGGTTAAG	40
41	GGAAACGTCAACGGTGGTGTACAAC G T	AACCTAGAAGGAGGA A	80
81	GAAGGCAATCCCTTCGCAGGAGGGC T A	TAACAGAGTACAGCC T	120
121	AGTGGTTATGGTCACTGCTCCTGGC G		160
161	AGACGCAGAAGAGGAGGCAATCGCA A G T	GGTCAAGAAGAACTG A	200
201	GAGTTCCCAGGGGAAGGGGCTCAAG A A T	CGAGACATTCGTGTT	240
241	TACAAAGGACAACCTCGTGGGCAAC	TCCCAAGGAAGTTTC	280
281	ACCTTCGGACCAAGTGTATCAGACT T	GTCCAGCATTCAAGG	320
321	ATGGAATACTCAAGGCCTACCATGA T	GTACAAGATCACAAG	360
361	TATCCTTCTTCAGTTCGTCAGCGAG	GCCTCTTCCACCTCA T	400
401	CCAGGATCCATCGCTTATGAGTTGG. C A	ACCCACATTGCAAAG T	440
441	TATCATCCCTCCAGTCCTACGTCAA	CAAGTTCCAAATCAC	480
481	AAAGGGAGGAGCTAAGACCTATCAA	GCTAGGATGATCAAC C T	520
521	GGAGTAGAATGGCACGATTCATCTG	AGGATCAGTGCAGGA A	560
561	TACTTTGGAAAGGAAGTGGAAAATC C A G	TTCAGACCCAGCAGG T T	600
601	ATCTTTCAGAGTCACCATCAGAGTGGTTTTCAGAGTGGTTTTTCAGAGTGGTTTTTTTT	GCTCTTCAAAACCCC A	640
641	AAGTAATAGACTCCGGATCAGAGCC	TGGTCCAAGCCCACA	680

FIG. 16A

681	ACCAACACCCACTCCAACTCCCCAAAAGCATGAGCGATTT	720
721	ATTGCTTACGTCGGCATACCTATGCTGACCATTCAAGAAT	760
761	TC 762	

FIG. 16B

SYNTHETIC PLANT GENES

This is a File Wrapper Continuation of application Ser. No. 07/476,661, filed Feb. 12, 1990, now abandoned which is a continuation-in-part of U.S. Ser. No. 07/315,355, filed 5 Feb. 24, 1989, now abandoned.

BACKGROUND OF THE INVENTION

The present invention relates to genetic engineering and more particularly to plant transformation in which a plant is transformed to express a heterologous gene.

Although great progress has been made in recent years with respect to transgenic plants which express foreign proteins such as herbicide resistant enzymes and viral coat proteins, very little is known about the major factors affecting expression of foreign genes in plants. Several potential factors could be responsible in varying degrees for the level of protein expression from a particular coding sequence. The level of a particular mRNA in the cell is certainly a critical factor.

The potential causes of low steady state levels of mRNA due to the nature of the coding sequence are many. First, full length RNA synthesis might not occur at a high frequency. 25 This could, for example, be caused by the premature termination of RNA during transcription or due to unexpected mRNA processing during transcription. Second, full length RNA could be produced but then processed (splicing, polyA addition) in the nucleus in a fashion that creates a nonfunc- 30 tional mRNA. If the RNA is properly synthesized, terminated and polyadenylated, it then can move to the cytoplasm for translation. In the cytoplasm, mRNAs have distinct half lives that are determined by their sequences and by the cell type in which they are expressed. Some RNAs are very 35 short-lived and some are much more long-lived. In addition, there is an effect, whose magnitude is uncertain, of translational efficiency on mRNA half-life. In addition, every RNA molecule folds into a particular structure, or perhaps family of sturctures, which is determined by its sequence. The 40 particular structure of any RNA might lead to greater or lesser stability in the cytoplasm. Structure per se is probably also a determinant of mRNA processing in the nucleus. Unfortunately, it is impossible to predict, and nearly impossible to determine, the structure of any RNA (except for 45 tRNA) in vitro or in vivo. However, it is likely that dramatically changing the sequence of an RNA will have a large effect on its folded structure. It is likely that structure per se or particular structural features also have a role in determining RNA stability.

Some particular sequences and signals have been identified in RNAs that have the potential for having a specific effect on RNA stability. This section summarizes what is known about these sequences and signals. These identified sequences often are A+T rich, and thus are more likely to 55 occur in an A+T rich coding sequence such as a B.t. gene. The sequence motif ATITA (or AUUUA as it appears in RNA) has been implicated as a destabilizing sequence in mammalian cell mRNA (Shaw and Kamen, 1986). No analysis of the function of this sequence in plants has been 60 done. Many short lived mRNAs have A+T rich 3' untranslated regions, and these regions often have the ATTTA sequence, sometimes present in mutiple copies or as multimers (e.g., ATTTATTTA...). Shaw and Kamen showed that the transfer of the 3' end of an unstable mRNA to a stable 65 RNA (globin or VA1) decreased the stable RNA's half life dramatically. They further showed that a pentamer of

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ATTTA had a profound destabilizing effect on a stable message, and that this signal could exert its effect whether it was located at the 3' end or within the coding sequence. However, the number of ATTTA sequences and/or the sequence context in which they occur also appear to be important in determining whether they function as destabilizing sequences. Shaw and Kamen showed that a trimer of ATTTA had much less effect than a pentamer on mRNA stability and a dimer or a monomer had no effect on stability (Shaw and Kamen, 1987). Note that multimers of ATTTA such as a pentamer automatically create an A+T rich region. This was shown to be a cytoplasmic effect, not nuclear. In other unstable mRNAs, the ATTTA sequence may be present in only a single copy, but it is often contained in an A+T rich region. From the animal cell data collected to date, it appears that ATTTA at least in some contexts is important in stability, but it is not yet possible to predict which occurences of ATTTA are destabiling elements or whether any of these effects are likely to be seen in plants.

Some studies on mRNA degradation in animal cells also indicate that RNA degradation may begin in some cases with nucleolytic attack in A+T rich regions. It is not clear if these cleavages occur at ATTTA sequences. There are also examples of mRNAs that have differential stability depending on the cell type in which they are expressed or on the stage within the cell cycle at which they are expressed. For example, histone mRNAs are stable during DNA synthesis but unstable if DNA synthesis is disrupted. The 3' end of some histone mRNAs seems to be responsible for this effect (Pandey and Marzluff, 1987). It does not appear to be mediated by ATTTA, nor is it clear what controls the differential stability of this mRNA. Another example is the differential stability of IgG mRNA in B lymphocytes during B cell maturation (Genovese and Milcarek, 1988). A final example is the instability of a mutant beta-thallesemic globin mRNA. In bone marrow cells, where this gene is normally expressed, the mutant mRNA is unstable, while the wild-type mRNA is stable. When the mutant gene is expressed in HeLa or L cells in vitro, the mutant mRNA shows no instability (Lim et al., 1988). These examples all provide evidence that mRNA stability can be mediated by cell type or cell cycle specific factors. Furthermore this type of instability is not yet associated with specific sequences. Given these uncertainties, it is not possible to predict which RNAs are likely to be unstable in a given cell. In addition, even the ATTTA motif may act differentially depending on the nature of the cell in which the RNA is present. Shaw and Kamen (1987) have reported that activation of protein kinase C can block degradation mediated by ATTTA.

The addition of a polyadenylate string to the 3' end is common to most eucaryotic mRNAs, both plant and animal. The currently accepted view of polyA addition is that the nascent transcript extends beyond the mature 3' terminus. Contained within this transcript are signals for polyadenylation and proper 3' end formation. This processing at the 3' end involves cleavage of the mRNA and addition of polyA to the mature 3' end. By searching for consensus sequences near the polyA tract in both plant and animal mRNAs, it has been possible to identify consensus sequences that apparently are involved in polyA addition and 3' end cleavage. The same consensus sequences seem to be important to both of these processes. These signals are typically a variation on the sequence AATAAA. In animal cells, some variants of this sequence that are functional have been identified; in plant cells there seems to be an extended range of functional sequences (Wickens and Stephenson, 1984; Dean et al., 1986). Because all of these consensus sequences are varia-

tions on AATAAA, they all are A+T rich sequences. This sequence is typically found 15 to 20 bp before the polyA tract in a mature mRNA. Experiments in animal cells indicate that this sequence is involved in both polyA addition and 3' maturation. Site directed mutations in this sequence can disrupt these functions (Conway and Wickens, 1988; Wickens et al., 1987). However, it has also been observed that sequences up to 50 to 100 bp 3' to the putative poly A signal are also required; i.e., a gene that has a normal AATAAA but has been replaced or disrupted downstream does not get properly polyadenylated (Gil and Proudfoot, 1984; Sadofsky and Alwine, 1984; McDevitt et al., 1984). That is, the polyA signal itself is not sufficient for complete and proper processing. It is not yet known what specific downstream sequences are required in addition to the polyA signal, or if there is a specific sequence that has this function. 15 Therefore, sequence analysis can only identify potential polyA signals.

In naturally occuring mRNAs that are normally polyadenylated, it has been observed that disruption of this process, either by altering the polyA signal or other sequences in the mRNA, profound effects can be obtained in the level of functional mRNA. This has been observed in several naturally occuring mRNAs, with results that are gene specific so far. There are no general rules that can be derived yet from the study of mutants of these natural genes, and no rules that 25 can be applied to heterologous genes. Below are four examples:

- 1. In a globin gene, absence of a proper polyA site leads to improper termination of transcription. It is likely, but not proven, that the improperly terminated RNA is nonfunctional and unstable (Proudfoot et al., 1987).
- 2. In a globin gene, absence of a functional polyA signal can lead to a 100-fold decrease in the level of mRNA accumulation (Proudfoot et al., 1987).
- 3. A globin gene polyA site was placed into the 3' ends of two different histone genes. The histone genes contain a secondary structure (stem-loop) near their 3' ends. The amount of properly polyadenylated histone mRNA produced from these chimeras decreased as the distance between the stem-loop and the polyA site increased. Also, the two histone genes produced greatly different levels of properly polyadenylated mRNA. This suggests an interaction between the polyA site and other sequences on the mRNA that can modulate mRNA accumulation (Pandy and Marzluff, 1987).
- 4. The soybean leghemoglobin gene has been cloned into HeLa cells, and it has been determined that this plant gene contains a "cryptic" polyadenylation signal that is active in animal cells, but is not utilized in plant cells. This leads to the production of a new polyadenylated mRNA that is nonfunctional. This again shows that analysis of a gene in one cell type cannot predict its behavior in alternative cell types (Wiebauer et al., 1988).

From these examples, it is clear that in natural mRNAs proper polyadenylation is important in mRNA accumulation, and that disruption of this process can effect mRNA levels significantly. However, insufficient knowledge exists to predict the effect of changes in a normal gene. In a heterologous gene, where we do not know if the putative polyA sites (consensus sequences) are functional, it is even harder to predict the consequences. However, it is possible that the putative sites identified are disfunctional. That is, these sites may not act as proper polyA sites, but instead function as aberrant sites that give rise to unstable mRNAs. 65

In animal cell systems, AATAAA is by far the most common signal identified in mRNAs upstream of the polyA,

but at least four variants have also been found (Wickens and Stephenson, 1984). In plants, not nearly so much analysis has been done, but it is clear that multiple sequences similar to AATAAA can be used. The plant sites below called major or minor refer only to the study of Dean et al. (1986) which analyzed only three types of plant gene. The designation of polyadenylation sites as major or minor refers only to the frequency of their occurrence as functional sites in naturally occurring genes that have been analyzed. In the case of plants this is a very limited database. It is hard to predict with any certainty that a site designated major or minor is more or less likely to function partially or completely when found in a heterologous gene such as B.t.

PA	AATAA	Major consensus site
PlA	AATAAT	Major plant site
P2A	AACCAA	Minor plant site
P3A	ATATAA	•
P4A	AATCAA	•
P5A	ATACTA	•
P6A	ATAAAA	•
P7A	ATGAAA	•
P8A	AAGCAT	•
P9A	ATTAAT	
P10A	ATACAT	-
P11A	AAAATA	•
P12A	ATTAAA	Minor animal site
P13A	AATTAA	•
P14A	AATACA	•
P15A	CATAAA	

Another type of RNA processing that occurs in the nucleus is intron splicing. Nearly all of the work on intron processing has been done in animal cells, but some data is emerging from plants. Intron processing depends on proper 5' and 3' splice junction sequences. Consensus sequences for these junctions have been derived for both animal and plant mRNAs, but only a few nucleotides are known to be invariant. Therefore, it is hard to predict with any certainty whether a putative splice junction is functional or partially functional based solely on sequence analysis. In particular, the only invariant nucleotides are GT at the 5' end of the intron and AG at the 3' end of the intron. In plants, at every nearby position, either within the intron or in the exon flanking the intron, all four nucleotides can be found, although some positions show some nucleotide preference (Brown, 1986; Hanley and Schuler, 1988).

A plant intron has been moved from a patatin gene into a GUS gene. To do this, site directed mutagenesis was performed to introduce new restriction sites, and this mutagenesis changed several nucleotides in the intron and exon sequences flanking the GT and AG. This intron still functioned properly, indicating the importance of the GT and AG and the flexibility at other nucleotide positons. There are of course many occurences of GT and AG in all genes that do not function as intron splice junctions, so there must be some other sequence or structrual features that identify splice junctions. In plants, one such feature appears to be base composition per se. Wiebauer et al. (1988) and Goodall et al. (1988) have analyzed plant introns and exons and found that exons have ~50% A+T while introns have ~70% A+T. Goodall et al. (1988) also created an artificial plant intron that has consensus 5' and 3' splice junctions and a random A+T rich internal sequence. This intron was spliced correctly in plants. When the internal segment was replaced by a G+C rich sequence, splicing efficiency was drastically reduced. These two examples demonsatrate that intron recognition in plants may depend on very general featuressplice junctions that have a great deal of sequence diversity

and A+T richness of the intron itself. This, of course, makes it difficult to predict from sequence alone whether any particular sequence is likely to function as an active or partially active intron for RNA processing.

B.t. genes being A+T rich contain numerous stretches of 5 various lengths that have 70% or greater A+T. The number of such stretches identified by sequence analysis depends on the length of sequence scanned.

As for polyadenylation described above, there are complications in predicting what sequences might be utilized as 10 splice sites in any given gene. First, many naturally occuring genes have alternative splicing pathways that create alternative combinations of exons in the final mRNA (Gallega and Nadal-Ginard, 1988; Helfman and Ricci, 1988; Tsurushita and Korn, 1989). That is, some splice junctions are 15 apparently recognized under some circumstances or in certain cell types, but not in others. The rules governing this are not understood. In addition, there can be an interaction between processing paths such that utilization of a particular polyadenylation site can interfere with splicing at a nearby splice site and vice versa (Adami and Nevins, 1988; Brady and Wold, 1988; Marzluff and Pandey, 1988). Again no predictive rules are available. Also, sequence changes in a gene can drastically alter the utilization of particular splice junctions. For example, in a bovine growth hormone gene, 25 small deletions in an exon a few hundred bases downstream of an intron cause the splicing efficiency of the intron to drop from greater than 95% to less than 2% (essentially nonfunctional). Other deletions however have essentially no effect (Hampson and Rottman, 1988). Finally, a variety of in vitro 30 and in vivo experiments indicate that mutations that disrupt normal splicing lead to rapid degradation of the RNA in the nucleus. Splicing is a multistep process in the nucleus and mutations in normal splicing can lead to blockades in the process at a variety of steps. Any of these blockades can then 35 lead to an abnormal and unstable RNA. Studies of mutants of normally processed (polyadenylation and splicing) genes are relevant to the study of heterologous genes such as B.t. B.t. genes might contain functional signals that lead to the production of aberrant nonfunctional mRNAs, and these 40 mRNAs are likely to be unstable. But the B.t. genes are perhaps even more likely to contain signals that are analogous to mutant signals in a natural gene. As shown above these mutant signals are very likely to cause defects in the processing pathways whose consequence is to produce 45 unstable mRNAs.

It is not known with any certainty what signals RNA transcription termination in plant or animal cells. Some studies on animal genes that indicate that stretches of sequence rich in T cause termination by calf thymus RNA 50 polymerase II in vitro. These studies have shown that the 3' ends of in vitro terminated transcripts often lie within runs of T such as T5, T6 or T7. Other identified sites have not been composed solely of T, but have had one or more other nucleotides as well. Termination has been found to occur 55 within the sequences TATTTTTT, ATTCTC, TTCTT (Dedrick et al., 1987; Reines et al., 1987). In the case of these latter two, the context in which the sequence is found has been C+T rich as well. It is not known if this is essential. Other studies have implicated stretches of A as potential 60 transcriptional terminators. An interesting example from SV40 illustrates the uncertainty in defining terminators based on sequence alone. One potential terminator in SV40 was identified as being A rich and having a region of dyad symmetry (potential stem-loop) 5' to the A rich stretch. 65 However, a second terminator identified experimentally downstream in the same gene was not A rich and included

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no potential secondary structure (Kessler et al., 1988). Of course, due to the A+T content of B.t. genes, they are rich in runs of A or T that could act as terminators. The importance of termination to stability of the mRNA is shown by the globin gene example described above. Absence of a normal polyA site leads to a failure in proper termination with a consequent decrease in mRNA.

There is also an effect on mRNA stability due the translation of the mRNA. Premature translational termination in human triose phosphate isomerase leads to instability of the mRNA (Daar et al., 1988). Another example is the betathallesemic globin mRNA described above that is specifically unstable in bone marrow cells (Lim et al., 1988). The defect in this mutant gene is a single base pair deletion at codon 44 that leads to translational termination (a nonsense codon) at codon 60. Compared to properly translated normal globin mRNA, this mutant RNA is very unstable. These results indicate that an improperly translated mRNA is unstable. Other work in yeast indicates that proper but poor translation can have an effect on mRNA levels. A heterologous gene was modified to convert certain codons to more yeast preferred codons. An overall 10-fold increase in protein production was achieved, but there was also about a 3-fold increase in mRNA Hoekema et al., 1987). This indicates that more efficient translation can lead to greater mRNA stability, and that the effect of codon usage can be at the RNA level as well as the translational level. It is not clear from codon usage studies which codons lead to poor translation, or how this is coupled to mRNA stability.

Therefore, it is an object of the present invention to provide a method for preparing synthetic plant genes which express their respective proteins at relatively high levels when compared to wild-type genes. It is yet another object of the present invention to provide synthetic plant genes which express the crystal protein toxin of *Bacillus thuringiensis* at relatively high levels.

BRIEF DESCRIPTION OF THE DRAWINGS

FIGS. 1a-1b illustrate the steps employed in modifying a wild-type gene to increase expression efficiency in plants.

FIGS. 2a-2c illustrate a comparison of the changes in the modified B.t.k. HD-1 sequence of Example 1 (lower line) versus the wild-type sequence of B. t. k. HD-1 which encodes the crystal protein toxin (upper line).

FIGS. 3a-3c illustrate a comparison of the changes in the synthetic B.t.k. HD-1 sequence of Example 2 (lower line) versus the wild-type sequence of B. t. k. HD-1 which encodes the crystal protein toxin (upper line).

FIGS. 4a-4c illustrate a comparison of the changes in the synthetic B. t. k. HD-73 sequence of Example 3 (lower line) versus the wild-type sequence of B.t.k. HD-73 (upper line).

FIG. 5 represents a plasmid map of intermediate plant transformation vector cassette pMON893.

FIG. 6 represents a plasmid map of intermediate plant transformation vector cassette pMON900.

FIG. 7 represents a map for the disarmed T-DNA of A. tumefaciens ACO.

FIGS. 8a-8c illustrate a comparison of the changes in the synthetic truncated B.t.k. HD-73 gene (Amino acids 29-615 with an N-terminal Met-Ala) of Example 3 (lower line) versus the wild-type sequence of B.t.k. HD-73 (upper line).

FIGS. 9a-9e illustrate a comparison of the changes in the synthetic/wild-type full length B.t.k. HD-73 sequence of Example 3 (lower line) versus the wild-type full-length sequence of B.t.k. HD-73 (upper line).

FIGS. 10a-10e illustrate a comparison of the changes in the synthetic/modified full length B.t.k. HD-73 sequence of Example 3 (lower line) versus the wild-type full-length sequence of B.t.k. HD-73 (upper line).

FIGS. 11a-11e illustrate a comparison of the changes in the fully synthetic full-length B.t.k. HD-73 sequence of Example 3 (lower line) versus the wild-type full-length sequence of B.t.k. HD-73 (upper line).

FIGS. 12a-12c illustrate a comparison of the changes in the synthetic B.t.t. sequence of Example 5 (lower line) versus the wild-type sequence of B.t.t. which encodes the crystal protein toxin (upper line).

FIGS. 13a-13c illustrate a comparison of the changes in the synthetic B.t. P2 sequence of Example 6 (lower line) versus the wild-type sequence of B.t.k. HD-1 which encodes the P2 protein toxin (upper line).

FIGS. 14a-14e illustrate a comparison of the changes in the synthetic *B.t. entomocidus* sequence of Example 7 (lower line) versus the wild-type sequence of *B.t. entomociaus* which encodes the Btent protein toxin (upper line).

FIG. 15 illustrates a plasmid map for plant expression cassette vector pMON744.

FIGS. 16a-16b illustrate a comparison of the changes in the synthetic potato leaf roll virus (PLRV) coat protein ²⁵ sequence of Example 9 (lower line) versus the wild-type coat protein sequence of PLRV (upper line).

STATEMENT OF THE INVENTION

The present invention provides a method for preparing synthetic plant genes which genes express their protein product at levels significantly higher than the wild-type genes which were commonly employed in plant transformation heretofore. In another aspect, the present invention also provides novel synthetic plant genes which encode non-plant proteins. For brevity and clarity of description, the present invention will be primarily described with respect to the preparation of synthetic plant genes which encode the crystal protein toxin of Bacillus thuringiensis (B.t.). Suitable B.t. subspecies include, but are not limited to, B.t. kurstaki HD-1, B.t. kurstaki HD-73, B.t. sotto, B.t. berliner, B.t. thuringiensis, B.t. tolworthi, B.t. dendrolimus, B.t. alesti, B.t. galleriae, B.t. aizawai, B.t. subtoxicus, B.t. entomocidus, B.t. tenebrionis and B.t. san diego. However, those skilled in the art will recognize and it should be understood that the present method may be used to prepare synthetic plant genes which encode nonplant proteins other than the crystal protein toxin of B.t. as well as plant proteins (see for instance, Example 9).

The expression of B.t. genes in plants is problematic. Although the expression of B.t. genes in plants at insecticidal levels has been reported, this accomplishment has not been straightforward. In particular, the expression of a 55 full-length lepidopteran specific B.t. gene (comprising DNA from a B.t.k. isolate) has been reported to be unsuccessful in yielding insecticidal levels of expression in some plant species (Vaeck et al., 1987 and Barton et al., 1987).

It has been reported that expression of the full-length gene 60 from B.t.k. HD-1 was detectable in tomato plants but that truncated genes led to a higher frequency of insecticidal plants with an overall higher level of expression. Truncated genes of B.t. berliner also led to a higher frequency of insecticidal plants in tobacco (Vaeck et al., 1987). On the 65 other hand, insecticidal plants were provided from lettuce transformants using a full-length gene.

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It has also been reported that the full length gene from B.t.k. HD-73 gave some insecticidal effect in tobacco (Adang et al., 1987). However, the B.t. mRNA detected in these plants was only 1.7 kb compared to the expected 3.7 kb indicating improper expression of the gene. It was suggested that this truncated mRNA was too short to encode a functional truncated toxin, but there must have been a low level of longer mRNA in some plants or no insecticidal activity would have been observed. Others have reported in a publication that they observed a large amount of shorter than expected mRNA from a truncated B.t.k. gene, but some mRNA of the expected size was also observed. In fact, it was suggested that expression of the full length gene is toxic to tobacco callus (Barton et al., 1987). The above illustrates that lepidopteran type B.t. genes are poorly expressed in plants compared to other chimeric genes previously expressed from the same promoter cassettes.

The expression of B.t.t. in tomato and potato is at levels similar to that of B.t.k. (i.e., poor). B.t.t. and B.t.k. genes share only limited sequence homology, but they share many common features in terms of base composition and the presence of particular A+T rich elements.

All reports in the field have noted the lower than expected expression of B.t. genes in plants. In general, insecticidal efficacy has been measured using insects very sensitive to B.t. toxin such as tobacco hornworm. Although it has been possible to obtain plants totally protected against tobacco hornworm, it is important to note that hornworm is up to 500 fold more sensitive to B.t. toxin than some agronomically important insect pests such as beet armyworm. It is therefore of interest to obtain transgenic plants that are protected against all important lepidopteran pests (or against Colorado potato beetle in the case of B.t. tenebrionis), and in addition to have a level of expression that provides an additional safety margin B.t. over and above the efficacious protection level. It is also important to devise plant genes which function reproducibly from species to species, so that insect resistant plants can be obtained in a predictable fashion.

In order to achieve these goals, it is important to understand the nature of the poorer than expected expression of B.t. genes in plants. The level of stable B.t. mRNA in plants is much lower than expected. That is, compared to other coding sequences driven by the same promoter, the level of B.t. mRNA measured by Northern analysis or nuclease protection experiments is much lower. For example, tomato plant 337 (Fischhoff et al., 1987) was selected as the best expressing plant with pMON9711 which contains the B.t.k. HD-1 KpnI fragment driven by the CaMV 35S promoter and contains the NOS-NPTII-NOS selectable marker gene. In this plant the level of B.t. mRNA is between 100 to 1000 fold lower than the level of NPTII mRNA, even though the 35S promoter is approximately 50-fold stronger than the NOS promoter (Sanders et al., 1987).

The level of B.t. toxin protein detected in plants is consistent with the low level of B.t. mRNA. Moreover, the insecticidal efficacy of the transgenic plants correlates with the B.t. protein level indicating that the toxin protein produced in plants is biologically active. Therefore, the low level of B.t. toxin expression may be the result of the low levels of B.t. mRNA.

Messenger RNA levels are determined by the rate of synthesis and rate of degradation. It is the balance between these two that determines the steady state level of mRNA. The rate of synthesis has been maximized by the use of the CaMV 35S promoter, a strong constitutive plant expressible promoter. The use of other plant promoters such as nopaline

synthase (NOS), mannopine synthase (MAS) and ribulose bisphosphatecarboxylase small subunit (RUBISCO) have not led to dramatic changes in the levels of B.t. toxin protein expression indicating that the effects determining B.t. toxin protein levels are promoter independent. These data imply that the coding sequences of DNA genes encoding B.t. toxin proteins are somehow responsible for the poor expression level, and that this effect is manifested by a low level of accumulated stable mRNA.

Lower than expected levels of mRNA have been observed with four different lepidopteran specific genes (two from B.t.k. HD-1; B.t. berliner and B.t.k. HD-73) as well as the gene from the coleopteran specific B.t. tenebrionis. It appears that for lepidopteran type B.t. genes these effects are manifest more strongly in the full length coding sequences than in the truncated coding sequences. These effects are seen across plant species although their magnitude seems greater in some plant species such as tobacco.

The nature of the coding sequences of B.t. genes distinguishes them from plant genes as well as many other heterologous genes expressed in plants. In particular, B.t. genes are very rich (~62%) in adenine (A) and thymine (T) while plant genes and most bacterial genes which have been expressed in plants are on the order of 45–55% A+T. The A+T content of the genomes (and thus the genes) of any organism are features of that organism and reflect its evolutionary history. While within any one organism genes have similar A+T content, the A+T content can vary tremendously from organism to organism. For example, some Bacillus species have among the most A+T rich genomes while some Steptomyces species are among the least A+T rich genomes (~30 to 35% A+T).

Due to the degeneracy of the genetic code and the limited number of codon choices for any amino acid, most of the "excess" A+T of the structural coding sequences of some 35 Bacillus species are found in the third position of the codons. That is, genes of some Bacillus species have A or T as the third nucleotide in many codons. Thus A+T content in part can determine codon usage bias. In addition, it is clear that genes evolve for maximum function in the organism in 40 which they evolve. This means that particular nucleotide sequences found in a gene from one organism, where they may play no role except to code for a particular stretch of amino acids, have the potential to be recognized as gene control elements in another organism (such as transcriptional promoters or terminators, polyA addition sites, intron splice sites, or specific mRNA degradation signals). It is perhaps surprising that such misread signals are not a more common feature of heterologous gene expression, but this can be explained in part by the relatively homogeneous A+T content (~50%) of many organisms. This A+T content plus the nature of the genetic code put clear constraints on the likliehood of occurence of any particular oligonucleotide sequence. Thus, a gene from E. coli with a 50% A+T content is much less likely to contain any particular A+T rich 55 segment than a gene from B. thuringiensis.

As described above, the expression of B.t. toxin protein in plants has been problematic. Although the observations made in other systems described above offer the hope of a means to elevate the expression level of B.t. toxin proteins 60 in plants, the success obtained by the present method is quite unexpected. Indeed, inasmuch as it has been recently reported that expression of the full-length B.t.k. toxin protein in tobacco makes callus tissue necrotic (Barton et al., 1987); one would reasonably expect that high level expression of B.t. toxin protein to be unattainable due to the reported toxicity effects.

In its most rigorous application, the method of the present invention involves the modification of an existing structural coding sequence ("structural gene") which codes for a particular protein by removal of ATITA sequences and putative polyadenylation signals by site directed mutagenesis of the DNA comprising the structural gene. It is most preferred that substantially all the polyadenylation signals and ATTTA sequences are removed although enhanced expression levels are observed with only partial removal of either of the above identified sequences. Alternately if a synthetic gene is prepared which codes for the expression of the subject protein, codons are selected to avoid the ATTTA sequence and putative polyadenylation signals. For purposes of the present invention putative polyadenylation signals include, but are not necessarily limited to, AATAAA, AATAAT, AACCAA, ATATAA, AATCAA, ATACTA, ATAAAA, ATGAAA, AAGCAT, ATTAAT, ATACAT, AAAATA, ATTAAA, AATTAA, AATACA and CATAAA. In replacing the ATITA sequences and polyadenylation signals, codons are preferably utilized which avoid the codons which are rarely found in plant genomes.

Another embodiment of the present invention, represented in the flow diagram of FIG. 1, employs a method for the modification of an existing structural gene or alternately the de novo synthesis of a structural gene which method is somewhat less rigorous than the method first described above. Referring to FIG. 1, the selected DNA sequence is scanned to identify regions with greater than four consecutive adenine (A) or thymine (T) nucleotides. The A+T regions are scanned for potential plant polyadenylation signals. Although the absence of five or more consecutive A or T nucleotides eliminates most plant polyadenylation signals, if there are more than one of the minor polyadenylation signals identified within ten nucleotides of each other, then the nucleotide sequence of this region is preferably altered to remove these signals while maintaining the original encoded amino acid sequence.

The second step is to consider the 15 to 30 nucleotide regions surrounding the A+T rich region identified in step one. If the A+T content of the surrounding region is less than 80%, the region should be examined for polyadenylation signals. Alteration of the region based on polyadenylation signals is dependent upon (1) the number of polyadenylation signals present and (2) presence of a major plant polyadenylation signal.

The extended region is examined for the presence of plant polyadenylation signals. The polyadenylation signals are removed by site-directed mutagenesis of the DNA sequence. The extended region is also examined for multiple copies of the ATTTA sequence which are also removed by mutagenesis.

It is also preferred that regions comprising many consecutive A+T bases or G+C bases are disrupted since these regions are predicted to have a higher likelihood to form hairpin structure due to self-complementarity. Therefore, insertion of heterogeneous base pairs would reduce the likelihood of self-complementary secondary structure formation which are known to inhibit transcription and/or translation in some organisms. In most cases, the adverse effects may be minimized by using sequences which do not contain more than five consecutive A+T or G+C.

SYNTHETIC OLIGONUCLEOTIDES FOR MUTAGENESIS

The oligonucleotides used in the mutagenesis are designed to maintain the proper amino acid sequence and

reading frame and preferably to not introduce common restriction sites such as BglII, HindIII, SacI, KpnI, EcoRI, Ncol, PstI and SalI into the modified gene. These restriction sites are found in multilinker insertion sites of cloning vectors such as plasmids pUC118 and pMON7258. Of 5 course, the introduction of new polyadenylation signals, ATTTA sequences or consecutive stretches of more than five A+T or G+C, should also be avoided. The preferred size for the oligonucleotides is around 40-50 bases, but fragments ranging from 18 to 100 bases have been utilized. In most 10 cases, a minimum of 5 to 8 base pairs of homology to the template DNA on both ends of the synthesized fragment are maintained to insure proper hybridization of the primer to the template. The oligonucleotides should avoid sequences longer than five base pairs A+T or G+C. Codons used in the 15 replacement of wild-type codons should preferably avoid the TA or CG doublet wherever possible. Codons are selected from a plant preferred codon table (such as Table I below) so as to avoid codons which are rarely found in plant genomes, and efforts should be made to select codons to 20 preferably adjust the G+C content to about 50%.

TABLE I

	IABLE		
Prefer	red Codon Usage:	in Plants	_
		Percent Usage	
Amino Acid	Codon	in Plants	
ARG	CGA	7	_
	CGC	11	
	CGG	5	
	CGU	25	
	AGA	29	
	AGG	23	
LEU	CUA	8	
	CUC	20	
•	CUG	10	
	CUU	28	
	UUA	5	
	UUG	30	
SER	UCA	14	
	UCC	· 26	
	UCG	3	
	UCU	21	
	AGC	21	
	AGU	15	
THR	ACA	21	
•	ACC	41	
	ACG	7	
	· ACU	31	
PRO	CCA	45	
	ccc	19	
	CCG	9	
	CCU	26	
ALA	GCA	23	
	GCC	32	
	GCG	3	
	GCU	41	
GLY	GGA	32	
	GGC	20	
	GGG	11	
	GGU	37	
ILE	AUA	12	
	AUC	45	
	ΑUU	43	
VAL	GUA	9	
	GUC	20	
	GUG	28	
****	GUU	43	
LYS	AAA	36	
	AAG	64	
ASN	AAC	72	
	AAU	28	
GLN	CAA	64	
****	CAG	36	
HIS	CAC	65	

TABLE I-continued

Preferred Codon Usage in Plants			
Amino Acid	Codon	Percent Usage in Plants	
	CAU	35	
GLU	GAA	48	
	GAG	52	
ASP	GAC	48	
	GAU	52	
TYR	UAC	68	
	UAU	32	
CYS	UGC	78	
	UGU	22	
PHE	UUC	56	
	ບບບ	44	
MET	AUG	100	
TRP	UGG	100	

Regions with many consecutive A+T bases or G+C bases are predicted to have a higher likelihood to form hairpin structures due to self-complementarity. Disruption of these regions by the insertion of heterogeneous base pairs is preferred and should reduce the likelihood of the formation of self-complementary secondary structures such as hairpins which are known in some organisms to inhibit transcription (transcriptional terminators) and translation (attenuators). However, it is difficult to predict the biological effect of a potential hairpin forming region.

It is evident to those skilled in the art that while the above description is directed toward the modification of the DNA sequences of wild-type genes, the present method can be used to construct a completely synthetic gene for a given amino acid sequence. Regions with five or more consecutive A+T or G+C nucleotides should be avoided. Codons should be selected avoiding the TA and CG doublets in codons whenever possible. Codon usage can be normalized against a plant preferred codon usage table (such as Table I) and the G+C content preferably adjusted to about 50%. The resulting sequence should be examined to ensure that there are minimal putative plant polyadenylation signals and ATITA sequences. Restriction sites found in commonly used cloning vectors are also preferably avoided. However, placement of several unique restriction sites throughout the gene is useful for analysis of gene expression or construction of gene variants.

Plant Gene Construction

The expression of a plant gene which exists in doublestranded DNA form involves transcription of messenger
RNA (mRNA) from one strand of the DNA by RNA
polymerase enzyme, and the subsequent processing of the
mRNA primary transcript inside the nucleus. This processing involves a 3' non-translated region which adds polyadenylate nucleotides to the 3' end of the RNA. Transcription of
DNA into mRNA is regulated by a region of DNA usually
referred to as the "promoter." The promoter region contains
a sequence of bases that signals RNA polymerase to associate with the DNA and to initiate the transcription of mRNA
using one of the DNA strands as a template to make a
corresponding strand of RNA.

A number of promoters which are active in plant cells have been described in the literature. These include the nopaline synthase (NOS) and octopine synthase (OCS) promoters (which are carried on tumor-inducing plasmids of Agrobacterium tumefaciens), the Cauliflower Mosaic Virus

(CaMV) 19S and 35S promoters, the light-inducible promoter from the small subunit of ribulose bis-phosphate carboxylase (ssRUBISCO, a very abundant plant polypeptide) and the mannopine synthase (MAS) promoter (Velten et al. 1984 and Velten & Schell, 1985). All of these promoters have been used to create various types of DNA constructs which have been expressed in plants (see e.g., PCT publication WO84/02913 (Rogers et al., Monsanto).

Promoters which are known or are found to cause transcription of RNA in plant cells can be used in the present invention. Such promoters may be obtained from plants or plant viruses and include, but are not limited to, the CaMV35S promoter and promoters isolated from plant genes such as ssRUBISCO genes. As described below, it is preferred that the particular promoter selected should be capable of causing sufficient expression to result in the production of an effective amount of protein.

The promoters used in the DNA constructs (i.e. chimeric plant genes) of the present invention may be modified, if desired, to affect their control characteristics. For example, the CaMV35S promoter may be ligated to the portion of the ssRUBISCO gene that represses the expression of ssRUBISCO in the absence of light, to create a promoter which is active in leaves but not in roots. The resulting chimeric promoter may be used as described herein. For purposes of this description, the phrase "CaMV35S" promoter thus includes variations of CaMV35S promoter, e.g., promoters derived by means of ligation with operator regions, random or controlled mutagenesis, etc. Furthermore, the promoters may be altered to contain multiple "enhancer sequences" to assist in elevating gene expression.

The RNA produced by a DNA construct of the present invention also contains a 5' non-translated leader sequence. This sequence can be derived from the promoter selected to express the gene, and can be specifically modified so as to increase translation of the mRNA. The 5' non-translated regions can also be obtained from viral RNA's, from suitable eukaryotic genes, or from a synthetic gene sequence. The present invention is not limited to constructs, as presented in the following examples. Rather, the non-translated leader sequence can be part of the 5' end of the nontranslated region of the coding sequence for the virus coat protein, or part of the promoter sequence, or can be derived from an unrelated promoter or coding sequence. In any case, 45 it is preferred that the sequence flanking the initiation site conform to the translational consensus sequence rules for enhanced translation initiation reported by Kozak (1984).

The DNA construct of the present invention also contains a modified or fully-synthetic structural coding sequence which has been changed to enhance the performance of the gene in plants. In a particular embodiment of the present invention the enhancement method has been applied to design modified and fully synthetic genes encoding the crystal toxin protein of *Bacillus thuringiensis*. The structural genes of the present invention may optionally encode a fusion protein comprising an amino-terminal chloroplast transit peptide or secretory signal sequence (see for instance, Examples 10 and 11).

The DNA construct also contains a 3' non-translated 60 region. The 3' non-translated region contains a polyadenylation signal which functions in plants to cause the addition of polyadenylate nucleotides to the 3' end of the viral RNA. Examples of suitable 3' regions are (1) the 3' transcribed, non-translated regions containing the polyadenylation signal 65 of Agrobacterium tumor-inducing (Ti) plasmid genes, such as the nopaline synthase (NOS) gene, and (2) plant genes

like the soybean storage protein (7S) genes and the small subunit of the RuBP carboxylase (E9) gene. An example of a preferred 3' region is that from the 7S gene, described in greater detail in the examples below.

Plant Transformation

A chimeric plant gene containing a structural coding sequence of the present invention can be inserted into the genome of a plant by any suitable method. Suitable plants for use in the practice of the present invention include, but are not limited to, soybean, cotton, alfalfa, oilseed rape, flax, tomato, sugarbeet, sunflower, potato, tobacco, maize, rice and wheat. Suitable plant transformation vectors include those derived from a Ti plasmid of Agrobacterium tumefaciens, as well as those disclosed, e.g., by Herrera-Estrella (1983), Bevan (1983), Klee (1985) and EPO publication 120,516 (Schilperoort et al.). In addition to plant transformation vectors derived from the Ti or root-inducing (Ri) plasmids of Agrobacterium, alternative methods can be used to insert the DNA constructs of this invention into plant cells. Such methods may involve, for example, the use of liposomes, electroporation, chemicals that increase free DNA uptake, free DNA delivery via microprojectile bombardment, and transformation using viruses or pollen.

A particularly useful Ti plasmid cassette vector for transformation of dicotyledonous plants is shown in FIG. 5. Referring to FIG. 5, the expression cassette pMON893 consists of the enhanced CaMV35S promoter (EN 35S) and the 3' end including polyadenylation signals from a soybean gene encoding the alpha-prime subunit of beta-conglycinin. Between these two elements is a multilinker containing multiple restriction sites for the insertion of genes.

The enhanced CaMV35S promoter was constructed as follows. A fragment of the CaMV35S promoter extending between position -343 and+9 was previously constructed in pUC13 by Odell et al. (1985). This segment contains a region identified by Odell et al. (1985) as being necessary for maximal expression of the CaMV35S promoter. It was excised as a Clal-HindIII fragment, made blunt ended with DNA polymerase I (Klenow fragment) and inserted into the HincII site of pUC18. This upstream region of the 35S promoter was excised from this plasmid as a HindIII-EcoRV fragment (extending from -343 to -90) and inserted into the same plasmid between the HindIII and PstI sites. The enhanced CaMV35S promoter thus contains a duplication of sequences between-343 and-90 (Kay et al., 1987).

The 3' end of the 7S gene is derived from the 7S gene contained on the clone designated 17.1 (Schuler et al., 1982). This 3' end fragment, which includes the polyadeny-lation signals, extends from an AvaII site located about 30 bp upstream of the termination codon for the beta-conglycinin gene in clone 17.1 to an EcoRI site located about 450 bp downstream of this termination codon.

The remainder of pMON893 contains a segment of pBR322 which provides an origin of replication in *E. coli* and a region for homologous recombination with the disarmed T-DNA in Agrobacterium strain ACO (described below); the oriV region from the broad host range plasmid RK1; the streptomycin/spectinomycin resistance gene from Tn7; and a chimeric NPTII gene, containing the CaMV35S promoter and the nopaline synthase (NOS) 3' end, which provides kanamycin resistance in transformed plant cells.

Referring to FIG. 6, transformation vector plasmid pMON900 is a derivative of pMON893. The enhanced CaMV35S promoter of pMON893 has been replaced with

the 1.5kb mannopine synthase (MAS) promoter (Velten et al. 1984). The other segments are the same as plasmid pMON893. After incorporation of a DNA construct into plasmid vector pMON893 or pMON900, the intermediate vector is introduced into A. tumefaciens strain ACO which contains a disarmed Ti plasmid. Cointegrate Ti plasmid vectors are selected and used to transform dicotyledonous plants

Referring to FIG. 7, A. tumefaciens ACO is a disarmed strain similar to pTiB6SE described by Fraley et al. (1985). For construction of ACO the starting Agrobacterium strain was the strain A208 which contains a nopaline-type Ti plasmid. The Ti plasmid was disarmed in a manner similar to that described by Fraley et al. (1985) so that essentially all of the native T-DNA was removed except for the left border and a few hundred base pairs of T-DNA inside the left border. The remainder of the T-DNA extending to a point just beyond the right border was replaced with a novel piece of DNA including (from left to right) a segment of pBR322, the oriV region from plasmid RK2, and the kanamycin resistance gene from Tn601. The pBR322 and oriV segments are similar to the segments in pMON893 and provide a region of homology for cointegrate formation.

The following examples are provided to better elucidate the practice of the present invention and should not be interpreted in any way to limit the scope of the present invention. Those skilled in the art will recognize that various modifications, truncations etc. can be made to the methods and genes described herein while not departing from the spirit and scope of the present invention.

Example 1-Modified B.t.k. HD-1 Gene

Referring to FIG. 2, the wild-type B.t.k. HD-1 gene is known to be expressed poorly in plants as a full length gene or as a truncated gene. The G+C content of the B.t.k. gene is low (37%) containing many A+T rich regions, potential polyadenylation sites (18 sites; see Table II for the list of sequences) and numerous ATITA sequences.

TABLE II

	uences of the Potential lenylation Signals
AATAAA*	AAGCAT
AATAAT*	ATTAAT
AACCAA	ATACAT
ATATAA	AAAATA
AATCAA	ATTAAA**
ATACTA	AATTAA**
ATAAAA	AATACA**
ATGAAA	CATAAA**

^{*}indicates a potential major plant polyadenylation site.

All others are potential minor plant polyadenylation sites. 55 Table III lists the synthetic oligonucleotides designed and synthesized for the site-directed mutagenesis of the B.t.k. HD-1 gene.

TABLE III

,	Mutagenesis I	Primers for B.t.k. HD-1 Gene		
Primer	rimer Length (bp) Sequence			
BTK185	18	TCCCCAGATA ATATCAAC		
BTK240	48	GGCTTGATTC CTAGCGAACT		
		CITCGATTCT CTGGTTGATG		

TABLE III-continued

_	Mutagenesis I	Primers for B.t.k. HD-1 Gene
Primer	Length (bp)	Sequence
		AGCTGTTC
BTK462	54	CAAAACTGAG AGGTGGAGGT
		TGGCAGCTTG AACGTACACG
		GAGAGGAGGAAC
BTK669	48	AGTTAGTGTA AGCTCTCTTC
		TGAACTGGTT GTACCTGATC
		CAATCTCT
BTK930	39	AGCCATGATC TGGTGACCGG
		ACCAGTAGTA TTCTCCTCT
BTK1110	32	AGTTGTTGGT TGTTGATCCC
		GATGTTAAAA GG
BTK1380A	37	GTGATGAAGG GATGATGTTG
		TTGAACTCAG CACTACG
BTK1380T	100	CAGAAGTTCC AGAGCCAAGA
		TTAGTAGACT TGGTGAGTGG
		GATTTGGGTG ATTTGTGATG
		AAGGGATGAT GTTGTTGAAC
		TCAGCACTAC GATGTATCCA
BTK1600	27	TGATGTGTGG AACTGAAGGT
		TTGTGGT

The B.t.k. HD-1 gene (BgIII fragment from pMON9921 encoding amino acids 29–607 with a Met-Ala at the N-terminus) was cloned into pMON7258 (pUC118 derivative which contains a BgIII site in the multilinker cloning region) at the BgIII site resulting in pMON5342. The orientation of the B.t.k. gene was chosen so that the opposite strand (negative strand) was synthesized in filamentous phage particles for the mutagenesis. The procedure of Kunkle (1985) was used for the mutagenesis using plasmid pMON5342 as starting material.

The regions for mutagenesis were selected in the following manner. All regions of the DNA sequence of the B.t.k. gene were identified which contained five or more consecutive base pairs which were A or T. These were ranked in terms of length and highest percentage of A+T in the surrounding sequence over a 20-30 base pair region. The DNA was then analysed for regions which might contain polyadenylation sites (see Table II above) or ATTTA sequences. Oligonucleotides were designed which maximized the elimination of A+T consecutive regions which contained one or more polyadenylation sites or ATTTA sequences. Two potential plant polyadenylation sites were rated more critical (see Table II) based on published reports. Codons were selected which increased G+C content, did not generate restriction sites for enzymes useful for cloning and assembly of the modified gene (BamHI, BglII, SacI, NcoI, EcoRV) and did not contain the doublets TA or GC which have been reported to be infrequently found in codons in plants. The oligonucleotides were at least 18 bp long ranging up to 100 base pairs and contained at least 5-8 base pairs of direct homology to native sequences at the ends of the fragments for efficient hybridization and priming in sitedirected mutagenesis reactions. FIG. 2 compares the wildtype B.t.k. HD-1 gene sequence with the sequence which resulted from the modifications by site-directed mutagenesis.

The end result of these changes was to increase the G+C content of B.t.k. gene from 37% to 41% while also decreasing the potential plant polyadenylation sites from 18 to 7 and decreasing the ATTTA regions from 13 to 7. Specifically, the mutagenesis changes from amino (5') terminus to the carboxy (3') terminus are as follows:

BTK185 is an 18-mer used to eliminate a plant polyadenylation site in the midst of a nine base pair region of A+T.

^{**}indicates a potential minor animal polyadenylation site.

All others are notential minor plant polyadeny

BTK240 is a 48-mer. Seven base pairs were changed by this oligonucleotide to eliminate three potential polyadenylation sites (2 AACCAA, 1 AATTAA). Another region close to the region altered by BTK240, starting at bp 312, had a high A+T content (13 of 15 base pairs) and an ATTTA region. However, it did not contain a potential polyadenylation site and its longest string of uninterrupted A+T was seven base pairs.

BTK462 is a 54-mer introducing 13 base pair changes. The first six changes were to reduce the A+T richness of the gene by replacing wild-type codons with codons containing G and C while avoiding the CG doublet. The next seven changes made by BTK462 were used to eliminate an A+T rich region (13 of 14 base pairs were A or T) containing two ATTTA regions.

BTK669 is a 48-mer making nine individual base pair changes eliminating three possible polyadenylation sites (ATATAA, AATCAA, and AATTAA) and a single ATTTA site

BTK930 is a 39-mer designed to increase the G+C 20 content and to eliminate a potential polyadenylation site (AATAAT - a major site). This region did contain a nine base pair region of consecutive A+T sequence. One of the base pair changes was a G to A because a G at this position would have created a G+C rich region (CCGG(G)C). Since sequencing reactions indicate that there can be difficulties generating sequence through G+C consecutive bases, it was thought to be prudent to avoid generating potentially problematic regions even if they were problematic only in vitro.

BTK1110 is a 32-mer designed to introduce five changes ³⁰ in the wild-type gene. One potential site (AATAAT - a major site) was eliminated in the midst of an A+T rich region (19 of 22 base pairs).

BTK1380A and BTK1380T are responsible for 14 individual base pair changes. The first region (1380A) has 17 consecutive A+T base pairs. In this region is an ATTTA and a potential polyadenylation site (AATAAT). The 100-mer (1380T) contains all the changes dictated by 1380A. The large size of this primer was in part an experiment to determine if it was feasible to utilize large oligonucleotides for mutagenesis (over 60 bases in length). A second consideration was that the 100-mer was used to mutagenize a template which had previously been mutageneized by 1380A. The original primer ordered to mutagenize the region downstream and adjacent to 1380A did not anneal efficiently to the desired site as indicated by an inability to obtain clean sequence utilizing the primer. The large region of homology of 1380T did assure proper annealing. The extended size of 1380T was more of a convenience rather than a necessity. The second region adjacent to 1380A covered by 1380T has a high A+T content (22 of 29 bases are A or T).

BTK1600 iS a 27-mer responsible for five individual base pair changes. An ATTTA region and a plant polyadenylation site were identified and the appropriate changes engineered.

A total of 62 bases were changed by site-directed mutagenesis. The G+C content increased by 55 base pairs, the potential polyadenylation sites were reduced from 18 to seven and the ATTTA sequences decreased from 13 to seven. The changes in the DNA sequence resulted in changes in 55 of the 579 codons in the truncated B.t.k. gene in pMON5342 (approximately 9.5%).

Referring to Table IV modified B.t.k. HD-1 genes were constructed that contained all of the above modifications 65 (pMON5370) or various subsets of individual modifications. These genes were inserted into pMON893 for plant trans-

formation and tobacco plants containing these genes were analyzed. The analysis of tobacco plants with the individual modifications was undertaken for several reasons. Expression of the wild type truncated gene in tobacco is very poor, resulting in infrequent identification of plants toxic to THW. Toxicity is defined by leaf feeding assays as at least 60% mortality of tobacco hornworm neonate larvae with a damage rating of 1 or less (scale is 0 to 4; 0 is equivalent to total protection, 4 total damage). The modified HD-1 gene (pMON5370) shows a large increase in expression (estimated to be approximately 100-fold; see Table VIII) in tobacco. Therefore, increases in expression of the wild-type gene due to indidvidual modifications would be apparently a large increase in the frequency of toxic tobacco plants and the presence of detectable B.t.k. protein. Results are shown in the following table:

TABLE IV

	Relative effects of Regional i within the B.t.k. G		_
Construct Toxic Plants	Position Modified	# of Plants	# of
pMON5370	185, 240, 669, 930, 1110, 1380a+b, 1600	38	22
pMON10707	185, 240, 462, 669	48	19
pMON10706	930, 1110, 1380a+b, 1600	43	1
pMON10539	185	55	2
pMON10537	240	57	17
pMON10540	185, 240	88	23
pMON10705	462	47	1

The effects of each individual oligonucleotides' changes on expression did reveal some overall trends. Six different constructs were generated which were designed to identify the key regions. The nine different oligonucleotides were divided in half by their position on the gene. Changes in the N-terminal half were incorporated into pMON10707 (185, 240, 462,669). C-terminal half changes were incorporated into pMON10706 (930, 1110, 1380a+b, 1600). The results of analysis of plants with these two constructs indicate that pMON10707 produces a substantial number of toxic plants (19 of 48). Protein from these plants is detectable by ELISA analysis. pMON10706 plants were rarely identified as insecticidal (1 of 43) and the levels of B.t.k. were barely detectable by immunological analysis. Investigation of the N-terminal changes in greater detail was done with 4 pMON constructs; 10539 (185 alone), 10537 (240 alone), 10540 (185 and 240) and 10705 (462 alone). The results indicate that the presence of the changes in 240 were required to generate a substantial number of toxic plants (pMON10540; 23 of 88, pMON10537; 17 of 57). The absence of the 240 changes resulted in a low frequency of toxic plants with low B.t.k. protein levels, identical to results with the wild type gene. These results indicate that the changes in 240 are responsible for a substantial increase in expression levels over an analogous wild-type construct in tobacco. Changes in additional regions (185,462,669) in conjunction with 240 may result in increases in B.t.k expression (>2 fold). However, changes at the 240 region of the N-terminal portion of the gene do result in dramatic increases in expression.

Despite the importance of the alteration of the 240 region in expression of modified genes, increased expression can be achieved by alteration of other regions. Hybrid genes, part wild-type, part synthetic, were generated to determine the effects of synthetic gene segments on the levels of B.t.k. expression. A hybrid gene was generated with a synthetic

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N-terminal third (base pair 1 to 590 of FIG. 2: to the XbaI site) with the C-terminal wild type B.t.k. HD-1 (pMON5378) Plants transformed with this vector were as toxic as plants transformed with the modified HD-1 gene (pMON5370). This is consistent with the alteration of the 5 240 region. However, pMON10538, a hybrid with a wildtype N-terminal third (wild type gene for the first 600 base pairs, to the second XbaI site) and a synthetic C-terminal last two-thirds (base pair 590 to 1845 of FIG. 3 was used to transform tobacco and resulted in a dramatic increase in 10 expression. The levels of expression do not appear to be as high as those seen with the synthetic gene, but are comparable to the modified gene levels. These results indicate that modification of the 240 segment is not essential to increased expression since pMON10538 has an intact 240 region. A 15 fully synthetic gene is, in most cases, superior for expression levels of B.t.k. (See Example 2.)

Example 2-Fully Synthetic B.t.k, HD-1 Gene

A synthetic B.t.k. HD-1 gene was designed using the preferred plant codons listed in Table V below. Table V lists the codons and frequency of use in plant genes of dicotyledonous plants compared to the frequency of their use in the wild type B.t.k. HD-1 gene (amino acids 1–615) and the synthetic gene of this example. The total number of each amino acid in this segment of the gene is listed in the parenthesis under the amino acid designated.

TABLE V

Codon is	n Usage Synthe	tic B.t.k. l	HD-1 Gene	
Amino Acid	Codon		ercent Usag nts/Wt B.t.	
ARG	CGA	7	11	2
(43)	CGC	11	5	5
	CGG	5	2	0
	CGU	25	14	27
	AGA	29	55	41
	AGG	23	14	25
LEU	CUA	8	16	4
(49)	CUC	20	0	20
	CUG	10	2	6
	CUU	28	22	24
	UUA	5	50	0
SER	UUG	30	10	45
(64)	UCA UCC	14 26	27 9	5 28
(04)	UCG	3	8	28 0
	UCU	21	19	31
	AGC	21	6	32
	AGU	15	31	5
· THR	ACA	21	31	14
(42)	ACC	41	19	53
·	ACG	7	14	ő
	ACU	31	36	33
PRO	CCA	45	35	53
(34)	CCC	19	6	12
	CCG	9	21	3
	ccu	26	38	32
ALA	GCA	23	38	26
(31)	GCC	32	9	29
	GCG	3	3	0
	GCU	41	50	45
GLY	GGA	32	52	45
(46)	GGC .	20	17	15
	GGG	11	15	6
	GGU	37	15	34
ILE	AUA	12	39	2
(46)	AUC	45	11	67
3747	AUU	43	50	30
VAL	GUA	9	45	.3
(38)	GUC GUG	20	.5	16
	GUG	28	11	37

TABLE V-continued

Codon i	Codon in Usage Synthetic B.t.k. HD-1 Gene				
Amino Acid	Codon	Percent Usage in Codon Plants/Wt B.t.k./Sy			
	GUU	43	39	45	
LYS	AAA	36	100	33	
(3)	AAG	64	0	67	
ASN	AAC	72	27	80	
(44)	AAU	28	73	20	
GLN	CAA	64	77	61	
(31)	CAG	36	23	39	
HIS	CAC	65	0	80	
(10)	CAU	35	100	20	
GLU	GAA	48	87	50	
(30)	GAG	52	13	50	
ASP	GAC	48	17	65	
(23)	GAU	52	83	35	
TYR	UAC	68	20	72	
(25)	UAU	32	80	28	
CYS	UGC	78	50	100	
(2)	UGU	22	50	0	
PHE	υuc	56	17	83	
(36)	ບບບ	44	83	17	
MET	AUG	100	100	100	
(9)					
TRP	UGG	· 100	100	100	
(9)					

The resulting synthetic gene lacks ATTTA sequences, contains only one potential polyadenylation site and has a G+C content of 48.5%. FIG. 3 is a comparison of the wild-type HD-1 sequence to the synthetic gene sequence for amino acids 1–615. There is approximately 77% DNA homology between the synthetic gene and the wild-type gene and 356 of the 615 codons have been changed (approximately 60%).

Example 3-Synthetic B.t.k, HD-73 Gene

The crystal protein toxin from B.t.k. HD-73 exhibits a higher unit activity against some important agricultural pests. The toxin protein of HD-1 and HD73 exhibit substantial homology (~90%) in the N-terminal 450 amino acids, but differ substantially in the amino acid region 451-615. Fusion proteins comprising amino acids 1-450 of HD-1 and 451-615 of HD73 exhibit the insecticidal properties of the wild-type HD-73. The strategy employed was to use the 5'-two thirds of the synthetic HD-1 gene (first 1350 bases, up to the SacI site) and to dramatically modify the final 590 bases (through amino acid 645) of the HD-73 in a manner consistent with the algorithm used to design the synthetic HD-1 gene. Table VI below lists the oligonucleotides used to modify the HD-73 gene in the order used in the gene from 5' to 3' end. Nine oligonucleotides were used in a 590 base pair region, each nucleotide ranging in size from 33 to 60 bases. The only regions left unchanged were areas where there were no long consecutive strings of A or T bases (longer than six). All polyadenylation sites and ATTTA sites were eliminated.

TABLE VI

	Mutagenesi	s Primers for B.t.k. HD-73
Primer	Length (bp)	Sequence
73K1363	51	AATACTATCG GATGCGATGA TGTTGTTGAA CTCAGCACTA CGGTGTATCC A
73K1437	22	TOTTER A ATE ACAGA ACCET

TABLE VI-continued

TABLE VII-continued

Codon Usage in Synthetic B.t.k. HD-73 Gene

	Mutagenesi	s Primers for B.t.k. HD-73	
Primer	Length (bp)	Sequence	5
		TGAAGAGAAA GTT	
73K1471	48	ATTTCCACTG CTGTTGAGTC	
		TAACGAGGTC TCCACCAGTG	
		AATCCTGG	
73K1561	60	GTGAATAGGG GTCACAGAAG	10
	•	CATACCTCAC ACGAACTCTA	-
		TATCTGGTAG ATGTTGGATGG	
73K1642	33	TGTAGCTGGA ACTGTATTGG	
		AGAAGATGGA TGA	
73K1675	48	TTCAAAGTAA CCGAAATCGC	
		TGGATTGGAG ATTATCCAAG	1:
		GAGGTAGC	1.
73K1741	39	ACTAAAGTTT CTAACACCCA	
		CGATGTTACC GAGTGAAGA	
73K1797	36	AACTGGAATG AACTCGAATC	
		TGTCGATAAT CACTCC	
73KTERM	54	GGACACTAGA TCTTAGTGAT	
		AATCGGTCAC ATTTGTCTTG	20
		AGTCCAAGCT GGTT	

The resulting gene has two potential polyadenylation sites (compared to 18 in the WT) and no ATTTA sequence (12 in the WT). The G+C content has increased from 37% to 48%. A total of 59 individual base pair changes were made using the primers in Table VI. Overall, there is 90% DNA homology between the region of the HD-73 gene modified by site directed mutagenesis and the wild-type sequence of the analogous region of HD-73. The synthetic HD-73 is a hybrid of the first 1360 bases from the synthetic HD-1 and the next 590 bases or so modified HD-73 sequence. FIG. 4 is a comparison of the above-described synthetic B.t.k. HD-73 and the wild-type B.t.k. HD-73 encoding amino acids 1-645. In the modified region of the HD-73 gene 44 of the 170 codons (25%) were changed as a result of the sitedirected mutagenesis changes resulting from the oligonucleotides found in Table VI. Overall, approximately 50% of the codons in the synthetic B.t.k. HD-73 differ from the analogous segment of the wild-type and HD-73 gene.

A one base pair deletion in the synthetic HD-73 gene was detected in the course of sequencing the 3' end at base pair 1890. This results in a frame-shift mutation at amino acid 625 with a premature stop codon at amino acid 640 (pMON5379). Table VII below compares the codon usage of the wild-type gene of B.t.k. HD-73 versus the synthetic gene of this example for amino acids 451-645 and codon usage of naturally occurring genes of dicoyledonous plants. The total number of each amino acid encoded in this segment of the gene is found in the parentheses under the amino acid designation.

TABLE VII

Codon Usage in Synthetic B.t.k. HD-73 Gene				
Amino Acid	Percent Usage in Codon Plants/Wt HD-73/5			
ARG	CGA	7	10	0
(10)	CGC	11	Ō	8
	CGG	5	10	0
	CGU	25	20	23
	AGA	29	60	62
	AGG	23	0	8
LEU	CUA	8	25	8
(12)	CUC	20	17	58
	CUG	10	17	8
	CUU	28	8	Ó

Amino Acid	Codon	Percent Usage on Plants/Wt HD-73		
	UUA	5	33	8
	UUG	30	. 0	17
SER	UCA	14	24	18
(21)	UCC	26	10	27
	UCG	3	10	0
	UCU	21	24	18
	AGC	21	0	14
	AGU	15	33	23
THR	ACA	21	47	38
(15)	ACC	41	13	31
	ACG	7	13	0
	ACU	31	27	31
PRO	CCA	45	71	71
(7)	CCC	19	0	0
	CCG	9	14	0
	CCU	26	14	29
ALA	GCA	23	29	31
(14)	GCC	32	7	8
	GCG	3	21	15
	GCU	41	43	46
GLY	GGA	32	33	43
(15)	GGC	20	0	0
	GGG .	11	27	14
	GGU	37	40	43
ILE	AUA	12	33	7
(15)	AUC	45	7	40
	AUU	43	6 0	53
VAL	GUA	9	40	7
(15)	GUC	20	0	7
	GUG	28	20	36
	GUU	43	40	50
LYS	AAA	36	67	100
(3)	AAG	64	33	0
ASN	AAC	72	20	53
(20)	AAU	28	80	47
GLN	CAA	64	60	67
(5)	CAG	36	40	33
HIS	CAC	65	67	100
(3)	CAU	35	33	0
GLU	GAA	48	86	57
(7)	GAG	52	14	43
ASP	GAC	48	40	50
_(5)	GAU	52	60	50
TYR	UAC	68	0	20
(5)	UAU	32	100	80
CYS	UGC	78	0	0
(0)	UGU	22	0	0
PHE	UUC	56	8	67
(13)	יטטט	44	92	33
MET	AUG	100	100	100
(2)	****		100	
TRP	UGG	100	100	100
(2)				

Another truncated synthetic HD-73 gene was constructed. The sequence of this synthetic HD-73 gene is identical to that of the above synthetic HD-73 gene in the region in which they overlap (amino acids 29–615), and it also encodes Met-Ala at the N-terminus. FIG. 8 shows a comparison of this truncated synthetic HD-73 gene with the N-terminal Met-Ala versus the wild-type HD-73 gene.

While the previous examples have been directed at the preparation of synthetic and modified genes encoding truncated B.t.k. proteins, synthetic or modified genes can also be prepared which encode full length toxin proteins.

One full length B.t.k. gene consists of the synthetic HD-73 sequence of FIG. 4 from nucleotide 1-1845 plus wild-type HD-73 sequence encoding amino acids 616 to the C-terminus of the native protein. FIG. 9 shows a comparison of this synthetic/wild-type full length HD-73 gene versus the wild-type full length HD-73 gene.

Another full length B.t.k. gene consists of the synthetic HD-73 sequence of FIG. 4 from nucleotide 1–1845 plus a modified HD-73 sequence ending amino acids 616 to the C-terminus of the native protein. The C-terminal portion has been modified by site-directed mutagenesis to remove putative polyadenylation signals and ATTTA sequences according to the algorithm of FIG. 1. FIG. 10 shows a comparison of this synthetic/modified full length HD-73 gene versus the wild-type full length HD-73 gene.

Another full length B.t.k. gene consists of a fully synthetic 10 HD-73 sequence which incorporates the synthetic HD-73 sequence of FIG. 4 from nucleotide 1-845 plus a synthetic sequence encoding amino acids 16 to the C-terminus of the native protein. The C-terminal synthetic portion has been designed to eliminate putative polyadenylation signals and 15 ATITA sequences and to include plant preferred codons. FIG. 11 shows a comparison of this fully synthetic full length HD-73 gene versus the wild-type full length HD-73 gene.

Alternatively, another full length B.t.k. gene consists of a fully synthetic sequence comprising base pairs 1–1830 of B.t.k. HD-1 (FIG. 3) and base pairs 1834–3534 of B.t.k. HD-73 (FIG. 11).

Example 4—Expression of Modified and Synthetic B,C,k. HD-1 and Synthetic HD-73

A number of plant transformation vectors for the expression of B.t.k. genes were constructed by incorporating the structural coding sequences of the previously described 30 genes into plant transformation cassette vector pMON893. The respective intermediate transformation vector is inserted into a suitable disarmed Agrobacterium vector such as A. tumefaciens ACO, supra. Tissue explants are cocultured with the disarmed Agrobacterium vector and plants 35 regenerated under selection for kanamycin resistance using known protocols: tobacco (Horsch et al., 1985); tomato (McCormick et al., 1986) and cotton (Trolinder et al., 1987). a) Tobacco.

The level of B.t.k HD-1 protein in transgenic tobacco 40 plants containing pMON9921 (wild type truncated), pMON5370 (modified HD-1, Example 1, FIG. 2) and pMON5377 (synthetic HD-1, Example 2, FIG. 3) were analyzed by Western analysis. Leaf tissue was frozen in liquid nitrogen, ground to a fine powder and then ground in 45 a 1:2 (wt:volume) of SDS-PAGE sample buffer. Samples were frozen on dry ice, then incubated for 10 minutes in a boiling water bath and microfuged for 10 minutes. The protein concentration of the supernatant was determined by the method of Bradford (Anal. Biochem. 72:248-254). Fifty 50 ug of protein was run per lane on 9% SDS-PAGE gels, the protein transferred to nitrocellulose and the B.t.k. HD-1 protein visualized using antibodies produced against B.t.k HD-1 protein as the primary antibody and alkaline phosphatase conjugated second antibody as described by the 55 manufacturer (Promega, Madison, WI). Purified HD-1 tryptic fragment was used as the control. Whereas the B.t.k. protein from tobacco plants containing pMON9921 was below the level of detection, the B.t.k protein from plants containing the modified (pMON5370) and synthetic 60 (pMON5377) genes was easily detected. The B.t.k. protein from plants containing pMON9921 remained undetectable, even with 10 fold longer incubation times. The relative levels of B.t.k. HD-1 protein in these plants is estimated in Table VIII. Because the protein from plants containing 65 pMON9921 was not observed, the level of protein in these plants was estimated from the relative mRNA levels (see

below). Plants containing the modified gene (pMON5370) expressed approximately 100 fold more B.t.k. protein than plants containing the wild-type gene (pMON9921). Plants containing the fully synthetic B.t.k. HD-1 gene (pMON5377) expressed approximately five fold more protein than plants containing the modified gene. The modified gene contributes the majority of the increase in B.t.k. expression observed. The plants used to generate the above data are the best representatives from each construct based either on a tobacco hornworm bioassay or on data derived from previous Western analysis.

TABLE VIII

	Expression of in Trans			
Gene Description	Vector	B.t.k. Protein* Concentration	Fold Increase in B.t.k. Expression	
Wild type	pMON9921	10	1	
Modified	pMON5370	1000	100	
Synthetic	pMON5377	5000	500	

*B.t.k. protein concentrations are expressed in ng/mg of total soluble protein. The level of B.t.k. protein for plants containing the wild type gene are estimated from mRNA levels.

Plants containing these genes were tested for bioactivity to determine whether the increased quantities of protein observed by Western analysis result in a corresponding increase in bioactivity. Leaves from the same plants used for the Western data in Table 1 were tested for bioactivity against two insects. A detached leaf bioassay was first done using tobacco hornworm, an extremely sensitive lepidopteran insect. Leaves from all three transgenic tobacco plants were totally protected and 100% mortality of tobacco hornworm observed (see Table IX below). A much less sensitive insect, beet armyworm, was then used in another detached leaf bioassay. Beet armyworm is approximately 500 fold less sensitive to B.t.k. HD-1 protein than tobacco hornworm. The difference in sensitivity of these two insects was determined using purified HD-1 protein in a diet incorporation assay (see below). Plants containing the wild-type gene (pMON9921) showed only minimal protection against beet armyworm, whereas plants containing the modified gene showed almost complete protection and plants containing the fully synthetic gene were totally protected against beet armyworm damage. The results of these bioassays confirm the levels of B.t.k. HD-1 expression observed in the Western analysis and demonstrates that the increased levels of B.t.k. HD-1 protein correlates with increased insecticidal activity.

TABLE IX

-	Protection of Tobacco Plants from Tobacco Hornworm and Beet Armyworm			
Gene Description	Vector	Tobacco Hornworm Damage*	Beet Armyworm Damage*	
None	None	NL	NL	
Wild type	pMON9921	0	3	
Modified	pMON5370	0	1	
Synthetic	pMON5377	0	0	

*Extent of insect damage was rated: 0, no damage; 1, slight; 2, moderate; 3, severe; or NL, no leaf left.

The bioactivity of the B.t.k. HD-1 protein produced by these transgenic plants was further investigated to more accurately quantitate the relative activities. Leaf tissue from tobacco plants containing the wild-type, modified and syn-

thetic genes were ground in 100 mM sodium carbonate buffer, pH 10 at a 1:2 (wt:vol) ratio. Particulate material was removed by centrifugation. The supernatant was incorporated into a synthetic diet similar to that described by Marrone et al. (1985). The diet medium was prepared the 5 day of the test with the plant extract solutions incorporated in place of the 20% water component. One ml of the diet was aliquoted into 96 well plates.

After the diet dried, one neonate tobacco budworm larva was added to each well. Sixteen insects were tested with 10 each plant sample. The plants were incubated at 270° C. After seven days, the larvae from each treatment were combined and weighed on an analytical balance. The average weight per insect was calculated and compared to a standard curve relating B.t.k. protein concentrations to aver- 15 age larval weight. Insect weight was inversely proportional (in a logarithmic manner) to the relative increase in B.t.k. protein concentration. The amount of B.t.k. HD-1 protein, based on the extent of larval growth inhibition was determined for two different plants containing each of the three 20 genes. The specific activity (ng of B.t.k. HD-1 per mg of plant protein) was determined for each plant. Plants containing the modified HD-1 gene (pMON5370) averaged approximately 1400 ng (1200 and 1600 ng) of B.t.k. HD-1 per mg of plant extract protein. This value compares closely 25 with the 1000 ng of B.t.k. HD-1 protein per mg of plant extract protein as determined by Western analysis (Table I). B.t.k. HD-1 concentrations for the plants containing the synthetic HD-1 gene averaged approximately 8200 ng (7200 and 9200 ng) of B.t.k. HD-1protein per mg of plant extract 30 protein. This number compares well to the 5000 ng of HD-1 protein per mg of plant extract protein estimated by Western analysis. Likewise, plants containing the synthetic gene showed approximately a six-fold higher specific activity than the corresponding plants containing the modified gene 35 for these bioassays. In the Western analysis the ratio was approximately 10 fold, again both are in good agreement. The level of B.t.k. protein in plants containing the wild-type HD-1 gene (pMON9921) was too low to give a significant decrease in larval weight and hence was below a level that 40 could be quantitated in this assay. In conclusion, the levels of B.t.k. HD-1 protein determined by both the bioassays and the Western analysis for these plants containing the modified and synthetic genes agree, which demonstrates that the B.t.k. HD-1 protein produced by these plants is biologically active. 45

The levels of mRNA were determined in the plants containing the wild-type B.t.k. HD-1 gene (pMON9921) and the modified gene (pMON5370) to establish whether the increased levels of protein production result from increased transcription or translation. mRNA from plants containing 50 the synthetic gene could not be analyzed directly with the same DNA probe as used for the wild-type and modified genes because of the numerous changes made in the coding sequence. mRNA was isolated and hybridized with a singlestranded DNA probe homologous to approximately the 5'90 55 bp of the wild-type or modified gene coding sequences. The hybrids were digested with S1 nuclease and the protected probe fragments analyzed by gel electrophoresis. Because the procedure used a large excess of probe and long hybridization time, the amount of protected probe is proportional 60 to the amount of B.t.k. mRNA present in the sample. Two plants expressing the modified gene (pMON5370) were found to produce up to ten-fold more RNA than a plant expressing the wild-type gene (pMON9921).

The increased mRNA level from the modified gene is 65 consistent with the result expected from the modifications introduced into this gene. However, this 10 fold increase in

mRNA with the modified gene compared to the wild-type gene is in contrast to the 100 fold increase in B.t.k. protein from these genes in tobacco plants. If the two mRNAs were equally well translated then a 10 fold increase in stable mRNA would be expected to yield a 10 fold increase in protein. The higher increase in protein indicates that the modified gene mRNA is translated at about a 10 fold higher efficiency than wild-type. Thus, about half of the total effect on gene expression can be explained by changes in mRNA levels and about half to changes in translational efficiency. This increase in translational efficiency is striking in that only about 9.5% of the codons have been changed in the modified gene; that is, this effect is clearly not due to wholesale codon usage changes. The increased translational efficiency could be due to changes in mRNA secondary structure that affect translation or to the removal of specific translational blockades due to specific codons that were changed.

The increased expression seen with the synthetic HD-1 gene was also seen with a synthetic HD-73 gene in tobacco. B.t.k. HD-73 was undetected in extracts of tobacco plants containing the wild-type truncated HD-73 gene (pMON5367), whereas B.t.k. HD-73 protein was easily detected in extracts from tobacco plants containing the synthetic HD-73 gene of FIG. 4 (pMON5383). Approximately 1000 ng of B.t.k. HD-73 protein was detected per mg of total soluble plant protein.

As described in Example 3 above, the B.t.k. HD-73 protein encoded in pMON5383 contains a small C-terminal extension of amino acids not encoded in the wild-type HD-73 protein. These extra amino acids had no effect on insect toxicity or on increased plant expression. A second synthetic HD-73 gene was constructed as described in Example 3 (FIG. 8) and used to transform tobacco (pMON5390). Analysis of plants containing pMON5390 showed that this gene was expressed at levels comparable to that of pMON5383 and that these plants had similar insecticidal efficacy.

In tobacco plants the synthetic HD-1 gene was expressed at approximately a 5-fold higher level than the synthetic HD-73 gene. However, this synthetic HD-73 gene still was expressed at least 100-fold better than the wild-type HD-73 gene. The HD-73 protein is approximately 5-fold more toxic to many insect pests than the HD-1 protein, so both synthetic HD-1 and HD-73 genes provide approximately comparable insecticidal efficacy in tobacco.

The full length B.t.k. HD-73 genes described in Example 3 were also incorporated into the plant transformation vector pMON893 so that they were expressed from the En 35S promoter. The synthetic/wild-type full length HD-73 gene of FIG. 9 was incorporated into pMON893 to create pMON10505. The synthetic/modified full length HD-73 gene of FIG. 10 was incorporated into pMON893 to create pMON10526. The fully synthetic HD-73 gene of FIG. 11 was incorporated into pMON893 to create pMON10526. The fully synthetic HD-73 gene of FIG. 11 was incorporated into pMON893 to create pMON10518. These vectors were used to obtain transformed tobacco plants, and the plants were analyzed for insecticidal efficacy and for B.t.k. HD-73 protein levels by Western blot or ELISA immunoassay.

Tobacco plants containing all three of these full length B.t.k. genes produced detectable B.t.k. protein and showed 100% mortality of tobacco hornworm. This result is surprising in light of previous reported attempts to express the full length B.t.k. genes in transgenic plants. Vaeck et al. (1987) reported that a full length B.t.k. berliner gene similar to our HD-1 gene could not be detectably expressed in tobacco. Barton et al. (1987) reported a similar result for another full

length gene from B.t.k. HD-1 (the so called 4.5 kb gene), and further indicated that tobacco callus containing this gene became necrotic, indicating that the full length gene product was toxic to plant cells. Fischhoff et al. (1987) reported that the full length B.t.k. HD-1 gene in tomato was poorly expressed compared to a truncated gene, and no plants that were fully toxic to tobacco hornworm could be recovered. All three of the above reports indicated much higher expression levels and recovery of toxic plants if the respective 10 B.t.k. genes were truncated. Adang et al. reported that the full length HD-73 gene yielded a few tobacco plants with some biological activity (none were highly toxic) against hornworm and barely detectable B.t.k. protein. It was also noted by them that the major B.t.k. mRNA in these plants 15 was a truncated 1.7 kb species that would not encode a functional toxin. This indicated improper expression of the gene in tobacco. In contrast to all of these reports, the three full length B.t.k HD-73 genes described above all lead to relatively high levels of protein and high levels of insect toxicity.

B.t.k. protein and mRNA levels in tobacco plants are shown in Table X for these three vectors. As can be seen from the table, the synthetic/wild-type gene (pMON10506) 25 produces B.t.k. protein as about 0.01% of total soluble protein; the synthetic/modified gene produces B.t.k. as about 0.02% of total soluble protein; and the fully synthetic gene produces B.t.k. as about 0.2% of total soluble protein. B.t.k. mRNA was analyzed in these plants by Northern blot analysis using the common 5' synthetic half of the genes as a probe. As shown in Table X, the increased protein levels can largely be attributed to increased mRNA levels. Compared to the truncated modified and synthetic genes, this 35 could indicate that the major contributors to increased translational efficiency are in the 5' half of the gene while the 3' half of the gene contains mostly determinants of mRNA stability. The increased protein levels also indicate that increasing the amount of the full length gene that is synthetic or modified increases B.t.k. protein levels. Compared to the truncated synthetic B.t.k. HD-73 genes (pMON5383 or pMON5390), the fully synthetic gene (pMON10518) produces as much or slightly more protein demonstrating that 45 the full length genes are capable of being expressed at high levels in plants. These tobacco plants with high levels of full length HD-73 protein show no evidence of abnormality and are fully fertile. The B.t.k. protein levels in these plants also produce the expected levels of insect toxicity based on 50 feeding studies with beet armyworm or diet incorporation assays of plant extracts with tobacco budworm. The B.t.k protein detected by Western blot analysis in these tobacco plants often contains a varying amount of protein of about 80 kDa which is apparently a proteolytic fragment of the full length protein. The C-terminal half of the full length protein is known to be proteolytically sensitive, and similar proteolytic fragments are seen from the full length gene in E. coli and B.t. itself. These fragments are fully insecticidal. The Northern analysis indicated that essentially all of the mRNA from these full length genes was of the expected full length size. There is no evidence of truncated mRNAs that could give rise to the 80 kDa protein fragment. In addition, it is possible that the fragment is not present in intact plant 65 cells and is merely due to proteolysis during extraction for immunoassav.

TABLE X

Full Length B.t.k. HD-73 Protein and mRNA Levels in Transgenic Tobacco Plants	
	Rela

Gene description	Vector	B.t.k. protein concentration	Relative B.t.k. mRNA level
Synthetic/wild type	pMON10506	>100	0.5
Synthetic/modified	pMON10526	400	1
Fully synthetic	pMON10518	>2000	40

Thus, there is no serious impediment to producing high levels of B.t.k. HD-73 protein in plants from synthetic genes, and this is expected to be true of other full length lepidopteran active genes such as B.t.k. HD-1 or B.t. entomocidus. The fully synthetic B.t.k. HD-1 gene of Example 3 has been assembled in plant transformation vectors such as pMON893.

The fully synthetic gene in pMON10518 was also utilized in another plant vector and analyzed in tobacco plants. Although the CaMV35S promoter is generally a high level constitutive promoter in most plant tissues, the expression level of genes driven the CaMV35S promoter is low in floral tissue relative to the levels seen in leaf tissue. Because the economically important targets damaged by some insects are the floral parts or derived from floral parts (e.g., cotton squares and bolls, tobacco buds, tomato buds and fruit), it may be advantageous to increase the expression of B.t. protein in these tissues over that obtained with the CaMV35S promoter.

The 35S promoter of Figwort Mosaic Virus (FMV) is analogous to the CaMV35S promoter. This promoter has been isolated and engineered into a plant transformation vector analogous to pMON893. Relative to the CaMV promoter, the FMV 35S promoter is highly expressed in the floral tissue, while still providing similar high levels of gene expression in other tissues such as leaf. A plant transformation vector, pMON10517, was constructed in which the full length synthetic B.t.k. HD-73 gene of FIG. 11 was driven by the FMV 35S promoter. This vector is identical to pMON10518 of Example 3 except that the FMV promoter is substituted for the CaMV promoter. Tobacco plants transformed with pMON10517 and pMON10518 were obtained and compared for expression of the B.t.k. protein by Western blot or ELISA immunoassay in leaf and floral tissue. This analysis showed that pMON10517 containing the FMV promoter expressed the full length HD-73 protein at higher levels in floral tissue than pMON10518 containing the CaMV promoter. Expression of the full length B.t.k. HD-73 protein from pMON10517 in leaf tissue is comparable to that seen with the most highly expressing plants containing pMON10518. However, when floral tissue was analyzed, tobacco plants containing pMON10518 that had high levels of B.t.k. protein in leaf tissue did not have detectable B.t.k. protein in the flowers. On the other hand, flowers of tobacco plants containing pMON10517 had levels of B.t.k. protein nearly as high as the levels in leaves at approximately 0.05% of total soluble protein. This analysis showed that the FMV promoter could be used to produce relatively high levels of B.t.k. protein in floral tissue compared to the CaMV promoter.

b) Tomato.

The wild-type, modified and synthetic B.t.k. HD-1 genes tested in tobacco were introduced into other plants to demonstrate the broad utility of this invention. Transgenic tomatoes were produced which contain these three genes. Data show that the increased expression observed with the

modified and synthetic gene in tobacco also extends to tomato. Whereas the B.t.k. HD-1 protein is only barely detectable in plants containing the wild type HD-1 gene (pMON9921), B.t.k. HD-1 was readily detected and the levels determined for plants containing the modified 5 (pMON5370) or synthetic (pMON5377) genes. Expression levels for the plants containing the wild-type, modified and synthetic HD-1 genes were approximately 10, 100 and 500 ng per mg of total plant extract see Table XI below). The increase in B.t.k. HD-1 protein for the modified gene accounted for the majority of increase observed; 10 fold higher than the plants containing the wild-type gene, compared to only an additional five-fold increase for plants containing the synthetic gene. Again the site-directed changes made in the modified gene are the major contributors to the increased expression of B.t.k. HD-1.

TABLE XI

		D-1 Expression in nic Tomato Plant	
Gene Description	Vector	B.t.k. Protein* Concentration	Fold Increase in B.t.k. Expression
Wild type	pMON9921	10	1
Modified	pMON5370	100	10
Synthetic	pMON5377	500	50

*B.t.k. HD-1 protein concentrations are expressed in ng/mg of total soluble plant protein. Data for plants containing the wild-type gene are estimates from mRNA levels and protein levels determined by ELISA.

These differences in B.t.k. HD-1 expression were confirmed with bioassays against tobacco hornworm and beet armyworm. Leaves from tomato plants containing each of these genes controlled tobacco hornworm damage and produced 100% mortality. With beet armyworm, leaves from plants containing the wild-type HD-1 gene (pMON9921) showed significant damage, leaves from plants containing the modified gene (pMON5370) showed less damage and leaves from plants containing the synthetic gene (pMON5377) were completely protected (see Table XII below).

TABLE XII
Protection of Tomato Plants from

Tobacco Hornworm and Beet Armyworm						
Gene Description	Vector	Tobacco Hornworm Damage*	Beet Armyworm Damage*			
None	None	NL	NL			
Wild type	pMON9921	0	3			
Modified	pMON5370	0	1			
Synthetic	pMON5377	0	0			

^{*}Damage was rated as shown in Table IX.

The generality of the synthetic gene approach was extended in tomato with a synthetic B.t.k. HD-73 gene.

In tomato, extracts from plants containing the wild-type truncated HD-73 gene (pMON5367) showed no detectable HD-73 protein. Extracts from plants containing the synthetic HD-73 gene (pMON5383) showed high levels of B.t.k. HD-73 protein, approximately 2000 ng per mg of plant 60 extract protein. These data clearly demonstrate that the changes made in the synthetic HD-73 gene lead to dramatic increases in the expression of the HD-73 protein in tomato as well as in tobacco

In contrast to tobacco, the synthetic HD-73 gene in tomato 65 is expressed at approximately 4-fold to 5-fold higher levels than the synthetic HD-1 gene. Because the HD-73 protein is

about 5-fold more active than the HD-1 protein against many insect pests including Heliothis species, the increased expression of synthetic HD-73 compared to synthetic HD-1 corresponds to about a 25-fold increased insecticidal efficacy in tomato.

In order to determine the mechanisms involved in the increased expression of modified and synthetic B.t.k. HD-1 genes in tomato, S1 nuclease analysis of mRNA levels from transformed tomato plants was performed. As indicated above, a similar analysis had been performed with tobacco plants, and this analysis showed that the modified gene produced up to 10-fold more mRNA than the wild-type gene. The analysis in tomato utilized a different DNA probe that allowed the analysis of wild-type (pMON9921), modified (pMON5370) and synthetic (pMON5377) HD-1 genes with the same probe. This probe was derived from the 5' untranslated region of the CaMV35S promoter in pMON893 that was common to all three of these vectors (pMON9921, pMON5370 and pMON5377). This S1 analysis indicated that B.t.k. mRNA levels from the modified gene were 3 to 5 fold higher than for the wild-type gene, and that mRNA levels for the synthetic gene were about 2 to 3 fold higher than for the modified gene. Three independent transformants were analyzed for each gene. Compared to the fold increases in B.t.k. HD-1 protein from these genes in tomato shown in Table XI, these mRNA increases can explain about half of the total protein increase as was seen in tobacco for the wild-type and modified genes. For tomato the total mRNA increase from wild-type to synthetic is about 6 to 15 fold compared to a protein increase of about 50 fold. This result is similar to that seen for tobacco in comparing the wild-type and modified genes, and it extends to the synthetic gene as well. That is, about half of the total fold increase in B.t.k. protein from wild-type to modified genes can be explained by mRNA increases and about half to enhanced translational efficiency. The same is also true in comparing the modified gene to the synthetic gene. Although there is an additional increase in RNA levels, this mRNA increase can explain only about half of the total protein increase.

The full length B.t.k. genes described above were also used to transform tomato plants and these plants were analyzed for B.t.k. protein and insecticidal efficacy. The results of this analysis are shown in Table XIII. Plants containing the synthetic/wild-type gene (pMON10506) produce the B.t.k. HD-73 protein at levels of about 0.01% of their total soluble protein. Plants containing the synthetic/ modified gene (pMON10526) produce about 0.04% B.t.k. protein, and plants containing the fully synthetic gene (pMON10518) produce about 0.2% B.t.k. protein. These results are very similar to the tobacco plant results for the same genes. mRNA levels estimated by Northern blot analysis in tomato also increase in parallel with the protein level increase. As for tobacco with these three genes, most of the protein increase can be attributed to increased mRNA with a small component of translational efficiency increase indicated for the fully synthetic gene. The highest levels of full length B.t.k. protein (from pMON10518) are comparable to or just slightly lower than the highest levels observed for the truncated HD-73 genes (pMON5383 and pMON5390). Tomato plants expressing these full length genes have the insecticidal activity expected for the observed protein levels as determined by feeding assays with beet armyworm or by diet incorporation of plant extracts with tobacco hornworm.

TABLE XIII

Full	Length	B.l.k.	HD-73	Protein	and
mRNA	Levels	in Tra	nsgenic	Tomato	Plants

Gene description	Vector	B.t.k. protein concentration	Relative B.t.k. mRNA level
Synthetic/wild type	pMON10506	100	1
Synthetic/modified	pMON10526	400	2-4
Fully synthetic	pMON10518	2000	10

c) Cotton.

The generality of the increased expression of B.t.k. HD-1 and B.t.k. HD-73 by use of the modified and synthetic genes 15 was extended to cotton. Transgenic calli were produced which contain the wild type (pMON9921) and the synthetic HD-1 (pMON5377) genes. Here again the B.t.k. HD-1 protein produced from calli containing the wild-type gene was not detected, whereas calli containing the synthetic HD-1 gene expressed the HD-1 protein at easily detectable levels. The HD-1 protein was produced at approximately 1000 ng/mg of plant calli extract protein. Again, to ensure that the protein produced by the transgenic cotton calli was biologically active and that the increased expression 25 observed with the synthetic gene translated to increased biological activity, extracts of cotton calli were made in similar manner as described for tobacco plants, except that the calli was first dried between Whatman filter paper to remove as much of the water as possible. The dried calli were then ground in liquid nitrogen and ground in 100 mM sodium carbonate buffer, pH 10. Approximately 0.5 ml aliquotes of this material was applied to tomato leaves with a paint brush. After the leaf dried, five tobacco hornworm larvae were applied to each of two leaf samples. Leaves 35 painted with extract from control calli were completely destroyed. Leaves painted with extract from calli containing the wild-type HD-1 gene (pMON9921) showed severe damage. Leaves painted with extract from calli containing the synthetic HD-1 gene (pMON5377) showed no damage (see 40 Table XIV below).

TABLE XIV

Protection against Tobacco Hornworm by Tomato Leaves Painted with Extracts Prepared from Cotton Calli Containing a Control, the Wild-Type B.t.k. HD-1 Gene, Synthetic HD-1 Gene or Synthetic HD-73 Gene

Gene Description	Vector	Tobacco Hornworm Damage*
Control	Control	NL
Wild type HD-1	pMON9921	3
Synthetic HD-1	pMON5377	0
Synthetic HD-73	pMON5383	0

^{*}Damage was rated as shown in Table VIII.

Cotton calli were also produced containing another synthetic gene, a gene encoding B.t.k. HD-73. The preparation of this gene is described in Example 3. Calli containing the synthetic HD-73 gene produced the corresponding HD-73 protein at even higher levels than the calli which contained the synthetic HD-1 gene. Extracts made from calli containing the HD-73 synthetic gene (pMON5383) showed complete control of tobacco hornworm when painted onto tomato leaves as described above for extracts containing the HD-1 protein. (See Table XIV).

Transgenic cotton plants containing the synthetic 30 B.t.k. HD-1 gene (pMON5377) or the synthetic B.t.k. HD-73 gene

(pMON5383) have also been examined. These plants produce the HD-1 or HD-73 proteins at levels comparable to that seen in cotton callus with the same genes and comparable to tomato and tobacco plants with these genes. For either synthetic truncated HD-1 or HD-73 genes, cotton plants expressing B.t.k. protein at 1000 to 2000 ng/mg total protein (0.1% to 0.2%) were recovered at a high frequency. Insect feeding assays were performed with leaves from cotton plants expressing the synthetic HD-1 or HD-73 genes. These leaves showed no damage (rating of 0) when challenged with larvae of cabbage looper (Trichoplusia ni), and only slight damage when challenged with larvae of beet armyworm (Spodoptera exigua). Damage ratings are as defined in Table VIII above. This demonstrated that cotton plants as well as calli expressed the synthetic HD-1 or HD-73 genes at high levels and that those plants were protected from damage by Lepidopteran insect larvae.

Transgenic cotton plants containing either the synthetic truncated HD-1 gene (pMON5377) or the synthetic truncated HD-73 gene (pMON5383) were also assessed for protection against cotton bollworm at the whole plant level in the greenhouse. This is a more realistic test of the ability of these plants to produce an agriculturally acceptable level of control. The cotton bollworm (Hellothis zea) is a major pest of cotton that produces economic damage by destroying terminals, squares and bolls, and protection of these fruiting bodies as well as the leaf tissue will be important for effective insect control and adequate crop protection. To test the protection afforded to whole plants, R1 progeny of cotton plants expressing high levels of either B.t.k. HD-1 (pMON5377) or B.t.k. HD-73 (pMON5383) were assayed by applying 10-15 eggs of cotton bollworm per boll or square to the 20 uppermost squares or bolls on each plant. At least 12 plants were analyzed per treatment. The hatch rate of the eggs was approximately 70%. This corresponds to very high insect pressure compared to numbers of larvae per plant seen under typical field conditions. Under these conditions 100% of the bolls on control cotton plants were destroyed by insect damage. For the transgenics, significant boll protection was observed. Plants containing pMON5377 (HD-1) had 70-75% of the bolls survive the intense pressure of this assay. Plants containing pMON5383 (HD-73) had 80% to 90% boll protection. This is likely to be a consequence of the higher activity of HD-73 protein against cotton bollworm compared to HD-1 protein. In cases where the transgenic plants were damaged by the insects, the surviving larvae were delayed in their development by at

Therefore, the increased expression obtained with the modified and synthetic genes is not limited to any one crop; tobacco, tomato and cotton calli and cotton plants all showed drastic increases in B.t.k. expression when the plants/calli were produced containing the modified or synthetic genes. Likewise, the utility of changes made to produce the modified and synthetic B.t.k. HD-1 gene is not limited to the HD-1 gene. The synthetic HD-73 gene in all three species also showed drastic increases in expression.

In summary, it has been demonstrated that: (1) the genetic changes made in the HD-1 modified gene lead to very significant increases in B.t.k. HD-1 expression; (2) production of a totally synthetic gene lead to a further five-fold increase in B.t.k. HD-1 expression; (3) the changes incorporated into the modified HD-1 gene accounted for the majority of the increased B.t.k. expression observed with the synthetic gene; (4) the increased expression was demonstrated in three different plants—tobacco plants, tomato plants and cotton calli and cotton plants; (5) the increased

expression as observed by Western analysis also correlated with similar increases in bioactivity, showing that the B.t.k. HD-1 proteins produced were comparably active; (6) when the method of the present invention used to design the synthetic HD-1 gene was employed to design a synthetic thd and cotton than the wild-type equivalent gene with consequent increases in bioactivity; (7) a fully synthetic full length B.t.k. gene was expressed at levels comparable to synthetic truncated genes.

Example 5—Synthetic *B.t. tenebrionis* Gene in Tobacco, Tomato and Potato

Referring to FIG. 12, a synthetic gene encoding a 15 Coleopteran active toxin is prepared by making the indicated changes in the wild-type gene of *B.t. tenebrionis* or de novo synthesis of the synthetic structural gene. The synthetic gene is inserted into an intermediate plant transformation vector such as pMON893: Plasmid pMON893 containing the synthetic B.t.t. gene is then inserted into a suitable disarmed Agrobacterium strain such as *A. tumefaciens* ACO.

Transformation and Regeneration of Potato

Sterile shoot cultures of Russet Burbank are maintained in vials containing 10 ml of PM medium (Murashige and Skoog (MS) inorganic salts, 30 g/l surcose, 0.17 g/l NaH₂PO₄H₂O, 0.4 mg/l thiamine-HCl, and 100 mg/l myoinositol, solidified with 1 g/l Gelrite at pH 6.0). When shoots 30 reached approximately 5 cm in length, stem internode segments of 7-10 mm are excised and smeared at the cut ends with a disarmed Agrobacterium tumefaciens vector containing the synthetic B.t.t. gene from a four day old plate culture. The stem explants are co-cultured for three days at 23° C. on 35 a sterile filter paper placed over 1.5 ml of a tobacco cell feeder layer overlaid on 1/10 P medium (1/10 strength MS inorganic salts and organic addenda without casein as in Jarret et al. (1980), 30 g/l surcose and 8.0 g/l agar). Following co-culture the explants are transferred to full strength P-1 medium for callus induction, composed of MS inorganic salts, organic additions as in Jarret et al. (1980) with the exception of casein, 3.0 mg/l benzyladenine (BA), and 0.01 mg/l naphthaleneacetic acid (NAA) (Jarret, et al., 1980). Carbenicillin (500 mg/l) is included to inhibit bacterial growth, and 100 mg/l kanamycin is added to select for transformed cells. After four weeks the explants are transferred to medium of the same composition but with 0.3 mg/l gibberellic acid (GA3) replacing the BA and NAA (Jarret et al., 1981) to promote shoot formation. Shoots begin to 50 develop approximately two weeks after transfer to shoot induction medium; these are excised and transferred to vials of PM medium for rooting. Shoots are tested for kanamycin resistance conferred by the enzyme neomycin phosphotransferase II, by placing a section of the stem onto callus 55 induction medium containing MS organic and inorganic salts, 30 g/l surcrose, 2.25 mg/l BA, 0.186 mg/l NAA, 10 mg/l GA3 (Webb, et al., 1983) and 200 mg/l kanamycin to select for transformed cells.

The synthetic B.t.t. gene described in FIG. 12, was placed 60 into a plant expression vector as descibed in example 5. The plasmid has the following characteristics; a synthetic BgIII fragment having approximately 1800 base pairs was inserted into pMON893 in such a manner that the enhanced 35S promoter would express the B.t.t. gene. This construct, 65 pMON1982, was used to transform both tobacco and tomato. Tobacco plants, selected as kanamycin resistant

plants were screened with rabbit anti-B.t.t. antibody. Cross-reactive material was detected at levels predicted to be suitable to cause mortality to CPB. These target insects will not feed on tobacco, but the transgenic tobacco plants do demonstrate that the synthetic gene does improve expression of this protein to detectable levels.

Tomato plants with the pMON1982 construct were determined to produce B.t.t. protein at levels insecticidal to CPB. In initial studies, the leaves of four plants (5190, 5225, 5328 and 5133) showed little or no damage when exposed to CPB larvae (damage rating of 0-1 on a scale of 0 to 4 with 4 as no leaf remaining). Under these conditions the control leaves were completely eaten. Immunological analysis of these plants confirmed the presence of material cross-reactive with anti-B.t.t. antibody. Levels of protein expression in these plants were estimated at aproximately 1 to 5 ng of B.t.t. protein in 50 ug of total extractable protein. A total of 17 tomato plants (17 of 65 tested) have been identified which demonstrate protection of leaf tissue from CPB (rating of 0 or 1) and show good insect mortality.

Results similar to those seen in tobacco and tomato with pMON1982 were seen with pMON1984 in the same plant species. pMON1984 is identical to pMON1982 except that the synthetic protease inhibitor (CMTI) is fused upstream of the native proteolytic cleavage site. Levels of expression in tobacco were estimated to be similar to pMON1982, between 10–15 ng per 50ug of total soluble protein.

Tomato plants expressing pMON1984 have been identified which protect the leaves from ingestion by CPB. The damage rating was 0 with 100% insect mortality.

Potato was transformed as described in example 5 with a vector similar to pMON1982 containing the enhanced CaMV35S/synthetic B.t.t. gene. Leaves of potato plants transformed with this vector, were screened by CPB insect bioassay. Of the 35 plants tested, leaves from 4 plants, 16a, 13c, 13d, and 23a were totally protected when challenged. Insect bioassays with leaves from three other plants, 13e, 1a, and 13b, recorded damage levels of 1 on a scale of 0 to 4 with 4 being total devestation of the leaf material. Immunological analysis confirmed the presence of B.t.t. crossreactive material in the leaf tissue. The level of B.t.t. protein in leaf tissue of plant 16a (damage rating of 0) was estimated at 20-50 ng of B.t.t. protein/50 ug of total soluble protein. The levels of B.t.t. protein seen in 16a tissue was consistent with its biological activity. Immunological analysis of 13e and 13b (tissue which scored 1 in damage rating) reveal less protein (5-10 ng/50 ug of total soluble protein) than in plant 16a. Cuttings of plant 16a were challenged with 50 to 200 eggs of CPB in a whole plant assay. Under these conditions 16a showed no damage and 100% mortality of insects while control potato plants were heavily damaged.

Example 6—Synthetic. B.t.k. P2 Protein Gene

The P2 protein is a distinct insecticidal protein produced by some strains of B.t. including B.t.k. HD-1. It is characterized by its activity against both lepidopteran and dipteran insects (Yamamoto and Iizuka, 1983). Genes encoding the P2 protein have been isolated and characterized (Donovan et al., 1988). The P2 proteins encoded by these genes are approximately 600 amino acids in length. These proteins share only limited homology with the lepidopteran specific P1 type proteins, such as the B.t.k. HD-1 and HD-73 proteins described in previous examples.

The P2 proteins have substantial activity against a variety of lepidopteran larvae including cabbage looper, tobacco

hornworm and tobacco budworm. Because they are active against agronomically important insect pests, the P2 proteins are a desirable candidate in the production of insect tolerant transgenic plants either alone or in combination with the other B.t. toxins described in the above examples. In some 5 plants, expression of the P2 protein alone might be sufficient to provide protection against damaging insects. In addition, the P2 proteins might provide protection against agronomically important dipteran pests. In other cases, expression of P2 together with the B.t.k. HD-1 or HD-73 protein might be 10 preferred. The P2 proteins should provide at least an additive level of insecticidal activity when combined with the crystal protein toxin of B.t.k. HD-1 or HD-73, and the combination may even provide a synergistic activity. Although the mode of action of the P2 protein is unknown, its distinct amino 15 acid sequence suggests that it functions differently from the B.t.k. HD-1 and HD-73 type of proteins. Production of two insect tolerance proteins with different modes of action in the same plant would minimize the potential for development of insect resistance to B.t. proteins in plants. The lack 20 of substantial DNA homology between P2 genes and the HD-1 and HD-73 genes minimizes the potential for recombination between multiple insect tolerance genes in the plant

The genes encoding the P2 protein although distinct in sequence from the B.t.k. HD-1 and HD-73 genes share many common features with these genes. In particular, the P2 protein genes have a high A+T content (65%), multiple potential polyadenylation signal sequences (26) and numerous ATTTA sequences (10). Because of its overall similarity to the poorly expressed wild-type B.t.k. HD-1 and HD-73 genes, the same problems are expected in expression of the wild-type P2 gene as were encountered with the previous examples. Based on the above-described method for designing the synthetic B.t. genes, a synthetic P2 gene has been designed which gene should be expressed at adequate levels for protection in plants. A comparision of the wild-type and synthetic P2 genes is shown in FIG. 13.

Example 7—Synthetic B.t, Entomocidus Gene

The B.t. entomocidus ("Btent") protein is a distinct insecticidal protein produced by some strains of B.t. bacteria. It is characterized by its high level of activity against some lepidopterans that are relatively insensitive to B.t.k. HD-1 and HD-73 such as Spodoptera species including beet armyworm (Visser et al., 1988). Genes encoding the Btent protein have been isolated and characterized (Honee et al, 1988). The Brent proteins encoded by these genes are approximately the same length as B.t.k. HD-1 and HD-73. These proteins share only 68% amino acid homology with the B.t.k. HD-1 and HD-73 proteins. It is likely that only the N-terminal half of the Btent protein is required for insecticidal activity as is the case for HD-1 and HD-73. Over the first 625 amino acids, Brent shares only 38% amino acid homology with HD-1 and HD-73.

Because of their higher activity against Spodoptera species that are relatively insensitive to HD-1 and HD-73, the Btent proteins are a desirable candidate for the production of insect tolerant transgenic plants either alone or in combination with the other B.t. toxins described in the above examples. In some plants production of Btent alone might be sufficient to control the agronomically important pests. In other plants, the production of two distinct insect tolerance proteins would provide protection against a wider array of insects. Against those insects where both proteins are active, the combination of the B.t.k. HD-1 or HD-73 type protein

plus the Btent protein should provide at least additive insecticidal efficacy, and may even provide a synergistic activity. In addition, because of its distinct amino acid sequence, the Btent protein may have a different mode of action than HD-1 or HD-73. Production of two insecticidal proteins in the same plant with different modes of action would minimize the potential for development of insect resistance to B.t. proteins in plants. The relative lack of DNA sequence homology with the B.t.k. type genes minimizes the potential for recombination between multiple insect tolerance genes in the plant chromosome.

The genes encoding the Btent protein although distinct in sequence from the B.t.k. HD-1 and HD-73 genes share many common features with these genes. In particular, the Brent protein genes have a high A+T content (62%), multiple potential polyadenylation signal sequences (39 in the full length coding sequence and 27 in the first 1875 nucleotides that is likely to encode the active toxic fragment) and numerous ATTTA sequences (16 in the full length coding sequence and 12 in the first 1875 nucleotides). Because of its overall similarity to the poorly expressed wild type B.t.k. HD-1 and HD-73 genes, the wild-type Btent genes are expected to exhibit similar problems in expression as were encountered with the wild-type HD-1 and HD-73 genes. Based on the above-described method used for designing the other synthetic B.t. genes, a synthetic Btent gene has been designed which gene should be expressed at adequate levels for protection in plants. A comparision of the wild type and synthetic Brent genes is shown in FIG. 14.

Example 8—Synthetic B.t.k. Genes for Expression in corn

High level expression of heterologous genes in corn cells has been shown to be enhanced by the presence of a corn gene intron (Callis et al., 1987). Typically these introns have been located in the 5' untranslated region of the chimeric gene. It has been shown that the CaMV35S promoter and the NOS 3' end function efficiently in the expression of heterologous genes in corn cells (Fromm et al., 1986).

Referring to FIG. 15, a plant expression cassette vector (pMON744) was constructed that contains these sequences. Specifically the expression cassette contains the enhanced CaMV 35S promoter followed by intron 1 of the corn Adh1 gene (Callis et al., 1987). This is followed by a multilinker cloning site for insertion of coding sequences; this multilinker contains a BgIII site among others. Following the multilinker is the NOS 3' end. pMON744 also contains the selectable marker gene 35S/NPTII/NOS 3' for kanamycin selection of transgenic corn cells. In addition, pMON744 has an *E. coli* origin of replication and an ampicillin resistance gene for selection of the plasmid in *E. coli*.

Five B.t.k. coding sequences described in the previous examples were inserted into the BglII site of pMON744 for corn cell expression of B.t.k. The coding sequences inserted and resulting vectors were:

- 1. Wild type B.t.k. HD-1 from pMON9921 to make pMON8652.
- 2. Modified B.t.k. HD-1 from pMON5370 to make pMON8642.
- Synthetic B.t.k. HD-1 from pMON5377 to make pMON8643.
- 4. Synthetic B.t.k. HD-73 from pMON5390 to make pMON8644.
- 5. Synthetic full length B.t.k. HD-73 from pMON10518 to make pMON10902.

pMON8652 (wild-type B.t.k. HD-1) was used to transform corn cell protoplasts and stably transformed kanamycin resistant callus was isolated. B.t.k. mRNA in the corn cells was analyzed by nuclease S1 protection and found to be present at a level comparable to that seen with the same wild-type coding sequence (pMON9921) in transgenic tomato plants.

pMON8652 and pMON8642 (modified HD-1) were used to transform corn cell protoplasts in a transient expression system. The level of B.t.k. mRNA was analyzed by nuclease S1 protection. The modified HD-1 gave rise to a several fold increase in B.t.k. mRNA compared to the wild-type coding sequence in the transiently transformed corn cells. This indicated that the modifications introduced into the B.t.k. HD-1 gene are capable of enhancing B.t.k. expression in monocot cells as was demonstrated for dicot plants and cells.

pMON8642 (modified HD-1) and pMON8643 (synthetic HD-1) were used to transform Black Mexican Sweet (BMS) corn cell protoplasts by PEG-mediated DNA uptake, and stably transformed corn callus was selected by growth on kanamycin containing plant growth medium. Individual callus colonies that were derived from single transformed cells were isolated and propagated separately on kanamycin containing medium.

To assess the expression of the B.t.k. genes in these cells, callus samples were tested for insect toxicity by bioassay against tobacco hornworm larvae. For each vector, 96 callus lines were tested by bioassay. Portions of each callus were placed on sterile water agar plates, and five neonate tobacco hornworm larvae were added and allowed to feed for 4 days. For pMON8643, 100% of the larvae died after feeding on 15 30 of the 96 calli and these calli showed little feeding damage. For pMON8642, only 1 of the 96 calli was toxic to the larvae. This showed that the B.t.k. gene was being expressed in these samples at insecticidal levels. The observation that significantly more calli containing pMON8643 were toxic 35 than for pMON8642 showed that significantly higher levels of expression were obtained when the synthetic HD-1 coding sequence was contained in corn cells than when the modified HD-1 coding sequence was used, similar to the previous examples with dicot plants. A semiquantitative 40 immunoassay showed that the pMON8643 toxic samples had significantly higher B.t.k. protein levels than the pMON8642 toxic sample.

The 16 callus samples that were toxic to tobacco hornworm were also tested for activity against European corn 5 borer. European corn borer is approximately 40-fold less sensitive to the HD-1 gene product than is tobacco hornworm. Larvae of European corn borer were applied to the callus samples and allowed to feed for 4 days. Two of the 16 calli tested, both of which contained pMON8643 (synthetic 50 HD-1, were toxic to European corn borer larvae.

To assess the expression of the B.t.k. genes in differentiated corn tissue, another method of DNA delivery was used. Young leaves were excised from corn plants, and DNA samples were delivered into the leaf tissue by microprojectile bombardment. In this system, the DNA on the microprojectiles is transiently expressed in the leaf cells after bombardment. Three DNA samples were used, and each DNA was tested in triplicate.

- 1. pMON744, the corn expression vector with no B.t.k. gene.
 - 2. pMON8643 (synthetic HD-1).
- 3. pMON752, a corn expression vector for the GUS gene, no B.t.k. gene.

The leaves were incubated at room temperature for 24 hours. The pMON752 samples were stained with a substrate

that allows visual detection of the GUS gene product. This analysis showed that over one hundred spots in each sample were expressing the GUS product and the the triplicate samples showed very similar levels of GUS expression. For the pMON744 and pMON8643 samples 5 larvae of tobacco hornworm were added to each leaf and allowed to feed for 48 hours. All three samples bombarded with pMON744 showed extensive feeding damage and no larval mortality. All three samples bombarded with pMON8643 showed no evidence of feeding damage and 100% larval mortality. The samples were also assayed for the presence of B.t.k. protein by a qualitative immunoassay. All of the pMON8643 samples had detectable B.t.k. protein. These results demonstrated that the the synthetic B.t.k. gene was expressed in differentiated corn plant tissue at insecticidal levels.

Example 9—Synthetic Potato Leaf Roll Virus Coat Protein Gene

Expression in plants of the coat protein genes from a variety of plant viruses has proven to be an effective method of engineering resistance to these viruses. In order to achieve virus resistance, it is important to express the viral coat protein at an effective level. For many plant virus coat protein genes, this has not proved to be a problem. However, for the coat protein gene from potato leaf roll virus (PLRV), expression of the coat protein has been observed to be low relative to other coat protein genes, and this lower level of protein has not led to optimal resistance to PLRV.

The gene for PLRV coat protein is shown in FIG. 16. Referring to FIG. 16, the upper line of sequence shows the gene as it was originally engineered for plant expression in vector pMON893. The gene was contained on a 749 nucleotide BgIII-EcoRI fragment with the coding sequence contained between nucleotides 20 and 643. This fragment also contained 19 nucleotides of 5' noncoding sequence and 104 nucleotides of 3' noncoding sequence. This PLRV coat protein gene was relatively poorly expressed in plants compared to other viral coat protein genes.

A synthetic gene was designed to improve plant expression of the PLRV coat protein. Referring again to FIG. 16, the changes made in the synthetic PLRV gene are shown in the lower line. This gene was designed to encode exactly the same protein as the naturally occurring gene. Note that the beginning of the synthetic gene is at nucleotide 14 and the end of the sequence is at nucleotide 654. The coding sequence for the synthetic gene is from nucleotide 20 to 643 of the figure. The changes indicated just upstream and downstream of these endpoints serve only to introduce convenient restriction sites just outside the coding sequence. Thus the size of the synthetic gene is 641 nucleotides which is smaller than the naturally occurring gene. The synthetic gene is smaller because substantially all of the noncoding sequence at both the 5' and 3' ends, except for segments encoding the BglII and EcoRI restriction sites has been removed.

The synthetic gene differs from the naturally occurring gene in two main respects. First, 41 individual codons within the coding sequence have been changed to remove nearly all codons for a given amino acid that constitute less than about 15% of the codons for that amino acid in a survey of dicot plant genes. Second, the 5' and 3' noncoding sequences of the original gene have been removed. Although not strictly conforming to the algorithm described in FIG. 1, a few of the codon changes and especially the removal of the long 3' noncoding region is consistent with this algorithm.

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The original PLRV sequence contains two potential plant polyadenylation signals (AACCAA and AAGCAT) and both of the these occur in the 3' noncoding sequence that has been removed in the synthetic gene. The original PLRV gene also contains on ATTTA sequence. This is also contained in the 3' noncoding sequence, and is in the midst of the longest stretch of uninterrupted A+T in the gene (a stretch of 7 A+T nucleotides). This sequence was removed in the synthetic gene. Thus, sequences that the algorithm of FIG. 1 targets for change have been changed in the synthetic PLRV coat 10 protein gene by removal of the 3' noncoding segment. Within the coding sequence, codon changes were also made to remove three other regions of sequence described above. In particular, two regions of 5 consecutive A+T and one region of 5 consecutive G+C within the coding sequence 15 have been removed in the synthetic gene.

The synthetic PLRV coat protein gene is cloned in a plant transformation vector such as pMON893 and used to transform potato plants as described above. These plants express the PLRV coat protein at higher levels than achieved with ²⁰ the naturally occurring gene, and these plants exhibit increased resistance to infection by PLRV.

Example 10—Expression of Synthetic B.t. Genes with RUBISCO Small Subunit Promoters and Chloroplast Transit Peptides

The genes in plants encoding the small subunit of RUBISCO (SSU) are often highly expressed, light regulated and sometimes show tissue specificity. These expression properties are largely due to the promoter sequences of these genes. It has been possible to use SSU promoters to express heterologous genes in transformed plants. Typically a plant will contain multiple SSU genes, and the expression levels and tissue specificity of different SSU genes will be different. The SSU proteins are encoded in the nucleus and synthesized in the cytoplasm as precursors that contain an N-terminal extension known as the chloroplast transit peptide (CTP). The CTP directs the precursor to the chloroplast and promotes the uptake of the SSU protein into the chloroplast. In this process, the CTP is cleaved from the SSU protein. These CTP sequences have been used to direct heterologous proteins into chloroplasts of transformed

The SSU promoters might have several advantages for 45 expression of B.t.k. genes in plants. Some SSU promoters are very highly expressed and could give rise to expression levels as high or higher than those observed with the CaMV35S promoter. The tissue distribution of expression from SSU promoters is different from that of the CaMV35S promoter, so for control of some insect pests, it may be advantageous to direct the expression of B.t.k. to those cells in which SSU is most highly expressed. For example, although relatively constitutive, in the leaf the CaMV35S promoter is more highly expressed in vascular tissue than in 55 some other parts of the leaf, while most SSU promoters are most highly expressed in the mesophyll cells of the leaf. Some SSU promoters also are more highly tissue specific, so it could be possible to utilize a specific SSU promoter to express B.t.k. in only a subset of plant tissues, if for example B.t. expression in certain cells was found to be deleterious to those cells. For example, for control of Colorado potato beetle in potato, it may be advantageous to use SSU promoters to direct B.t.t. expression to the leaves but not to the edible tubers.

Utilizing SSU CTP sequences to localize B.t. proteins to the chloroplast might also be advantageous. Localization of

the B.t. to the chloroplast could protect the protein from proteases found in the cytoplasm. This could stabilize the protein and lead to higher levels of accumulation of active protein. B.t. genes containing the CTP could be used in combination with the SSU promoter or with other promoters such as CaMV35S.

A variety of plant transformation vectors were constructed for the expression of B.t.k. genes utilizing SSU promoters and SSU CTPs. The promoters and CTPs utilized were from the petunia SSU11a gene described by Tumer et al. (1986) and from the Arabidopsis ats1A gene (an SSU gene) described by Krebbers et al. (1988) and by Elionor et al. (1989). The petunia SSU11a promoter was contained on a DNA fragment that extended approximately 800 bp upstream of the SSU coding sequence. The Arabidopsis ats1A promoter was contained on a DNA fragment that extended approximately 1.8 kb upstream of the SSU coding sequence. At the upstream end convenient sites from the multilinker of pUC18 were used to move these promoters into plant transformation vectors such as pMON893. These promoter fragments extended to the start of the SSU coding sequence at which point an Ncol restriction site was engineered to allow insertion of the B.t. coding sequence, replacing the SSU coding sequence.

When SSU promoters were used in combination with their CTP, the DNA fragments extended through the coding sequence of the CTP and a small portion of the mature SSU coding sequence at which point an NcoI restriction site was engineered by standard techniques to allow the in frame fusion of B.t. coding sequences with the CTP. In particular, for the petunia SSU11a CTP, B.t. coding sequences were fused to the SSU sequence after amino acid 8 of the mature SSU sequence at which point the NcoI site was placed. The 8 amino acids of mature SSU sequence were included because preliminary in vitro chloroplast uptake experiments indicated that uptake was of B.t.k. was observed only if this segment of mature SSU was included. For the Arabidopsis ats1A CTP, the complete CTP was included plus 24 amino acids of mature SSU sequence plus the sequence gly-glyarg-val-asn-cys-met-gln-ala-met, terminating in an NcoI site for B.t. fusion. This short sequence reiterates the native SSU CTP cleavage site (between the cys and met) plus a short segment surrounding the cleavage site. This sequence was included in order to insure proper uptake into chloroplasts. B.t. coding sequences were fused to this ats1A CTP after the met codon. In vitro uptake experiments with this CTP construction and other (non-B.t.) coding sequences showed that this CTP did target proteins to the chloroplast.

When CTPs were used in combination with the CaMV 35S promoter, the same CTP segments were used. They were excised just upstream of the ATG start sites of the CTP by engineering of BglII sites, and placed downstream of the CaMV35S promoter in pMON893, as BglII to NcoI fragments. B.t. coding sequences were fused as described above.

The wild type B.t.k. HD-1 coding sequence of pMON9921 (see FIG. 1) was fused to the ats1A promoter to make pMON1925 or the ats1A promoter plus CTP to make pMON1921. These vectors were used to transform tobacco plants, and the plants were screened for activity against tobacco hornworm. No toxic plants were recovered. This is surprising in light of the fact that toxic plants could be recovered, albeit at a low frequency, after transformation with pMON9921 in which the B.t.k. coding sequence was expressed from the enhanced CaMV35S, promoter in pMON893, and in light of the fact that Elionor et al. (1989) report that the ats1A promoter itself is comparable in strength to the CaMV35S promoter and approximately

10-fold stronger when the CTP sequence is included. At least for the wild-type B.t.k. HD-1 coding sequence, this does not appear to be the case.

A variety of plant transformation vectors were constructed utilizing either the truncated synthetic. HD-73 coding 5 sequence of FIG. 4 or the full length B.t.k. HD-73 coding sequence of FIG. 11. These are listed in the table below.

TABLE XV

	TPs		
Vector	Promoter	CTP	B.t.k. HD-73 Coding Sequence
pMON10806	En 35\$	atsla	truncated
pMON10814	En35S	SSU11a	full length
pMON10811	SSU11a	SSU11a	truncated
pMON10819	SSUlla	none	truncated
DMON10815	ats l A	none	truncated
pMON10817	ats l A	atsl A	truncated
pMON10821	En 35S	ats1 A	truncated
pMON10822	En 35S	ats1 A	full length
pMON10838	SSU11a	SSUlla	full length
pMON10839	ats 1 A	ats1A	full length

All of the above vectors were used to transform tobacco plants. For all of the vectors containing truncated B.t.k. 25 genes, leaf tissue from these plants has been analyzed for toxicity to insects and B.t.k. protein levels by immunoassay. pMON10806, 10811, 10819 and 10821 produce levels of B.t.k. protein comparable to pMON5383 and pMON5390 which contain synthetic B.t.k. HD-73 coding sequences driven by the En 35S promoter itself with no CTP. These plants also have the insecticidal activity expected for the protein levels detected. For pMON10815 and pMON10817 (containing the ats1A promoter), the level of B.t.k. protein is about 5-fold higher than that found in plants containing pMON5383 or 5390. These plants also have higher insecticidal activity. Plants containing 10815 and 10817 contain up to 1% of their total soluble leaf protein as B.t.k. HD-73. This is the highest level of B.t.k. protein yet obtained with any of the synthetic genes.

This result is surprising in two respects. First, as noted 40 above, the wild type coding sequences fused to the ats1A promoter and CTP did not show any evidence of higher levels of expression than for En 35S, and in fact had lower expression based on the absence of any insecticidal plants. Second, Elionor et al. (1989) show that for two other genes, 45 the ats1A CTP can increase expression from the ats1A promoter by about 10-fold. For the synthetic B.t.k. HD-73 gene, there is no consistent increase seen by including the CTP over and above that seen for the ats1A promoter alone.

Tobacco plants containing the full length synthetic HD-73 50 fused to the SSU11A CTP and driven by the En 35S promoter produced levels of B.t.k. protein and insecticidal activity comparable to pMON1518 which contains does not include the CTP. In addition, for pMON10518 the B.t.k. protein extracted from plants was observed by gel electrophoresis to contain multiple forms less than full length, apparently due the cleavage of the C-terminal portion (not required for toxicity) in the cytoplasm. For pMON10814, the majority of the protein appeared to be intact full length indicating that the protein has been stabilized from proteolysis by targeting to the chloroplast.

Example 11—Targeting of B.t. Proteins to the Extracellular Space or Vacuole through the Use of Signal Peptides

The B.t. proteins produced from the synthetic genes described here are localized to the cytoplasm of the plant

cell, and this cytoplasmic localization results in plants that are insecticidally effective. It may be advantageous for some purposes to direct the B.t. proteins to other compartments of the plant cell. Localizing B.t. proteins in compartments other than the cytoplasm may result in less exposure of the proteins to cytoplasmic proteases leading to greater accumulation of the protein yielding enhanced insecticidal activity. Extracellular localization could lead to more efficient exposure of certain insects to the B.t. proteins leading to greater efficacy. If a B.t. protein were found to be deleterious to plant cell function, then localization to a noncytoplasmic compartment could protect these cells from the protein.

In plants as well as other eucaryotes, proteins that are destined to be localized either extracellularly or in several specific compartments are typically synthesized with an N-terminal amino acid extension known as the signal peptide. This signal peptide directs the protein to enter the compartmentalization pathway, and it is typically cleaved from the mature protein as an early step in compartmentalization. For an extracellular protein, the secretory pathway typically involves cotranslational insertion into the endoplasmic reticulum with cleavage of the signal peptide occuring at this stage. The mature protein then passes thru the Golgi body into vesicles that fuse with the plasma membrane thus releasing the protein into the extracellular space. Proteins destined for other compartments follow a similar pathway. For example, proteins that are destined for the endoplasmic reticulum or the Golgi body follow this scheme, but they are specifically retained in the appropriate compartment. In plants, some proteins are also targeted to the vacuole, another membrane bound compartment in the cytoplasm of many plant cells. Vacuole targeted proteins diverge from the above pathway at the Golgi body where they enter vesicles that fuse with the vacuole.

A common feature of this protein targeting is the signal peptide that initiates the compartmentalization process. Fusing a signal peptide to a protein will in many cases lead to the targeting of that protein to the endoplasmic reticulum. The efficiency of this step may depend on the sequence of the mature protein itself as well. The signals that direct a protein to a specific compartment rather than to the extracellular space are not as clearly defined. It appears that many of the signals that direct the protein to specific compartments are contained within the amino acid sequence of the mature protein. This has been shown for some vacuole targeted proteins, but it is not yet possible to define these sequences precisely. It appears that secretion into the extracellular space is the "default" pathway for a protein that contains a signal sequence but no other compartmentalization signals. Thus, a strategy to direct B.t. proteins out of the cytoplasm is to fuse the genes for synthetic B.t. genes to DNA sequences encoding known plant signal peptides. These fusion genes will give rise to B.t. proteins that enter the secretory pathway, and lead to extracellualar secretion or targeting to the vacuole or other compartments.

Signal sequences for several plant genes have been described. One such sequence is for the tobacco pathogenesis related protein PR1b described by Cornelissen et al. The PR1b protein is normally localized to the extracellular space. Another type of signal peptide is contained on seed storage proteins of legumes. These proteins are localized to the protein body of seeds, which is a vacuole like compartment found in seeds. A signal peptide DNA sequence for the beta subunit of the 7S storage protein of common bean (Phaseolus vulgaris), PvuB has been described by Doyle et al. Based on the published these published sequences, genes were synthesized by chemical synthesis of oligonucleotides

that encoded the signal peptides for PR1b and PvuB. The synthetic genes for these signal peptides corresponded exactly to the reported DNA sequences. Just upstream of the translational intiation codon of each signal peptide a BamHI and BgIII site were inserted with the BamHI site at the 5' end. This allowed the insertion of the signal peptide encoding segments into the BgIII site of pMON893 for expression from the En 35S promoter. In some cases to achieve secretion or compartmentalization of heterologous proteins, it has proved necessary to include some amino acid sequence 10 beyond the normal cleavage site of the signal peptide. This may be necessary to insure proper cleavage of the signal peptide. For PR1b the synthetic DNA sequence also included the first 10 amino acids of mature PR1b. For PvuB the synthetic DNA sequence included the first 13 amino acids of mature PvuB. Both synthetic signal peptide encoding segments ended with NcoI sites to allow fusion in frame to the methionine initiation codon of the synthetic B.t. genes.

Four vectors encoding synthetic B.t.k. HD-73 genes were constructed containing these signal peptides. The synthetic truncated HD-73 gene from pMON5383 was fused with the signal peptide sequence of PvuB and incorporated into pMON893 to create pMON10827. The synthetic truncated HD-73 gene from pMON5383 was also fused with the signal peptide sequence of PR1b to create pMON10824. The full length synthetic HD-73 gene from pMON10518 was fused with the signal peptide sequence of PvuB and incorporated into pMON893 to create pMON10828. The full length synthetic HD-73 gene from pMON10518 was also fused with the signal peptide sequence of PR1b and incorporated into pMON893 to create pMON10825.

These vectors were used to transform tobacco plants and the plants were assayed for expression of the B.t.k. protein by Western blot analysis and for insecticidal efficacy. pMON10824 and pMON10827 produced amounts of B.t.k. protein in leaf comparable to the truncated HD-73 vectors, pMON5383 and pMON5390. pMON10825 and pMON10828 produced full length B.t.k. protein in amounts comparable to pMON10518. In all cases, the plants were insecticidally active against tobacco hornworm.

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1. A modified chimeric gene comprising a promoter which functions in plant cells operably linked to a structural coding sequence and a 3' non-translated region comprising a polyadenylation signal which functions in plants to cause the addition of polyadenylate nucleotides to the 3' end of the RNA, wherein said structural coding sequence encodes a toxin protein derived from a *Bacillus thuringiensis* protein, 20 wherein said structural coding sequence comprises a DNA sequence which differs from the naturally occurring DNA sequence encoding said *Bacillus thuringiensis* protein and comprises the following characteristics:

said naturally occurring DNA sequence comprises a 25 region having the following sequence;

TTAATTAACCAAAGAATAGAAGAATTCGCTAGGAAC 1 5 10 15 20 25 30 35

and said structural coding sequence has been modified, said modifications comprising at least one modification in said region selected from the group consisting of:

nucleotide 1 is a cytosine (C); nucleotide 3 is a cytosine (C);

nucleotide 6 is a cytosine (C);

nucleotide 12 is a guanine (G);

nucleotide 18 is a cytosine (C);

nucleotide 18 is a cytosine (C); nucleotide 24 is a guanine (G); and nucleotide 36 is a thymine (T).

2. The modified chimeric gene of claim 1 wherein said modifications increase the number of plant preferred codons in said structural coding sequence.

3. The modified chimeric gene of claim 1 wherein said Bacillus thuringiensis is Bacillus thuringiensis var. kurstaki. 45

4. A modified chimeric gene comprising a promoter which functions in plant cells operably linked to a structural coding sequence and a 3' non-translated region comprising a polyadenylation signal which functions in plants to cause the addition of polyadenylate nucleotides to the 3' end of the 50 RNA, wherein said structural coding sequence encodes a toxin protein derived from a *Bacillus thuringiensis* protein, wherein said structural coding sequence comprises a DNA sequence which differs from the naturally occurring DNA sequence encoding said *Bacillus thuringiensis* protein and 55 comprises the following characteristics:

said naturally occurring DNA sequence comprises a region having the following sequence:

and where said structural coding sequence comprises modifications so that at least said region contains at least one fewer sequence selected from the group 65 consisting of plant polyadenylation sequences and an ATTTA sequence.

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5. The modified chimeric gene of claim 4 wherein said modifications increase the number of plant preferred codons in said structural coding sequence.

6. The modified chimeric gene of claim 4 wherein said Bacillus thuringiensis is Bacillus thuringiensis var. kurstaki.

7. A modified chimeric gene comprising a promoter which functions in plant cells operably linked to a structural coding sequence and a 3' non-translated region comprising a polyadenylation signal which functions in plants to cause the addition of polyadenylate nucleotides to the 3' end of the RNA, wherein said structural coding sequence encodes a toxin protein derived from a Bacillus thuringienis protein, wherein said structural coding sequence comprises a DNA sequence which differs from the naturally occurring DNA sequence encoding said Bacillus thuringiensis protein and comprises the following characteristics:

said naturally occurring DNA sequence comprises a region having the following sequence:

and where said structural coding sequence comprises modifications so that at least said region contains at least one fewer sequence selected from the group consisting of an AACCAA and an AATTAA sequence.

8. The modified chimeric gene of claim 7 wherein said modifications increase the number of plant preferred codons in said structural coding sequence.

9. The modified chimeric gene of claim 7 wherein said Bacillus thuringiensis is Bacillus thuringiensis var. kurstaki.

10. A transformed plant cell comprising a modified chimeric gene which comprises a promoter which functions in plant cells operably linked to a structural coding sequence and a 3' non-translated region comprising a polyadenylation signal which functions in plants to cause the addition of polyadenylate nucleotides to the 3' end of the RNA, wherein said structural coding sequence encodes a toxin protein derived from a *Bacillus thuringiensis* protein, wherein said structural coding sequence comprises a DNA sequence which differs from the naturally occurring DNA sequence encoding said *Bacillus thuringiensis* protein and has characteristics comprising the following: said naturally occurring DNA sequence comprises a region having the following sequence:

TTAATTAACCAAAGAATAGAAGAATTCGCTAGGAAC 1 5 10 15 20 25 30 35

and said structural coding sequence has been modified, said modifications comprising at least one modification in said region selected from the group consisting of:

nucleotide 1 is a cytosine (C);

nucleotide 3 is a cytosine (C);

nucleotide 6 is a cytosine (C);

nucleotide 12 is a guanine (G);

nucleotide 18 is a cytosine (C);

nucleotide 24 is a guanine (G); and

nucleotide 36 is a thymine (T).

- 60

11. A transformed plant cell comprising a modified chimeric gene which comprises a promoter which functions in plant cells operably linked to a structural coding sequence and a 3' non-translated region comprising a polyadenylation signal which functions in plants to cause the addition of polyadenylate nucleotides to the 3' end of the RNA, wherein said structural coding sequence encodes a toxin protein

derived from a *Bacillus thuringiensis* protein, wherein said structural coding sequence comprises a DNA sequence which differs from the naturally occurring DNA sequence encoding said *Bacillus thuringiensis* protein and has characteristics comprising the following:

said naturally occurring DNA sequence comprises a region having the following sequence:

TTAATTAACCAAAGAATAGAAGAATTCGCTAGGAAC
1 5 10 15 20 25 30 35

and where said structural coding sequence comprises modifications so that at least said region contains at least one fewer sequence selected from the group consisting of plant polyadenylation sequences and an ATTTA sequence.

12. A transformed plant cell comprising a modified chimeric gene which comprises a promoter which functions in plant cells operably linked to a structural coding sequence and a 3' non-translated region comprising a polyadenylation signal which functions in plants to cause the addition of

polyadenylate nucleotides to the 3' end of the RNA, wherein said structural coding sequence encodes a toxin protein derived from a *Bacillus thuringiensis* protein, wherein said structural coding sequence comprises a DNA sequence which differs from the naturally occurring DNA sequence encoding said *Bacillus thuringiensis* protein and has characteristics comprising the following:

said naturally occurring DNA sequence comprises a region having the following sequence:

TTAATTAACCAAAGAATAGAAGAATTCGCTAGGAAC
1 5 10 15 20 25 30 35

and where said structural coding sequence comprises modifications so that at least said region contains at least one fewer sequence selected from the group consisting of an AACCAA and an AATTAA sequence.

* * * * *

EXHIBIT D

115005880275A

United States Patent [19]

Fischhoff et al.

[11] Patent Number:

5,880,275

[45] Date of Patent:

Mar. 9, 1999

[54] SYNTHETIC PLANT GENES FROM BT KURSTAKI AND METHOD FOR PREPARATION

[75] Inventors: David A. Fischhoff, Webster Groves; Frederick J. Perlak, St. Louis, both of

[73] Assignee: Monsanto Company, St. Louis, Mo.

[21] Appl. No.: 841,178

[22] Filed: Apr. 29, 1997

Related U.S. Application Data

[60] Continuation of Ser. No. 433,111, May 3, 1995, abandoned, which is a division of Ser. No. 959,506, Oct. 9, 1992, Pat. No. 5,500,365, which is a continuation of Ser. No. 476,661, Feb. 12, 1990, abandoned, which is a continuation-in-part of Ser. No. 315,355, Feb. 24, 1989, abandoned.

[51] Int. Cl.⁶ C12N 15/29; C12N 15/32

[52] U.S. Cl. 536/23.71; 536/23.6

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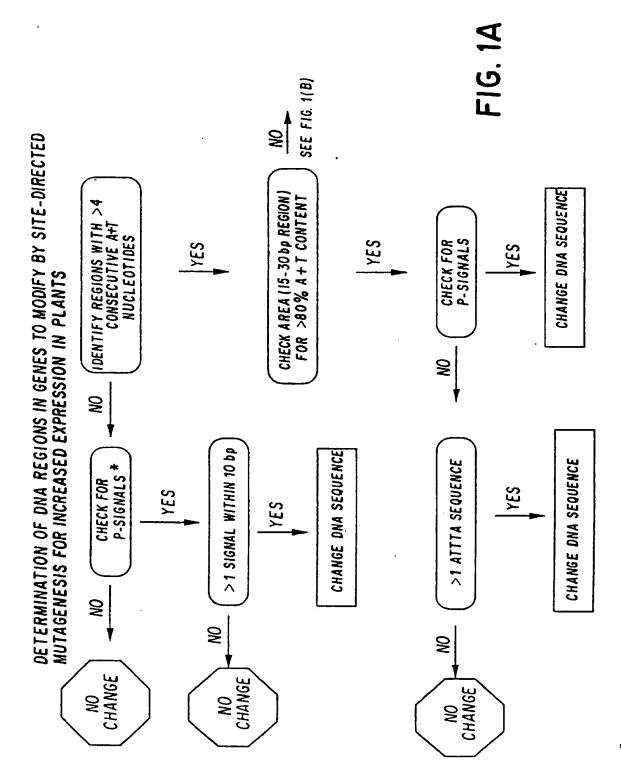
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Primary Examiner—Douglas W. Robinson
Assistant Examiner—Amy J. Nelson
Attorney, Agent, or Firm—Lawrence M. Lavin, Jr.; Richard
H. Shear

[57] ABSTRACT

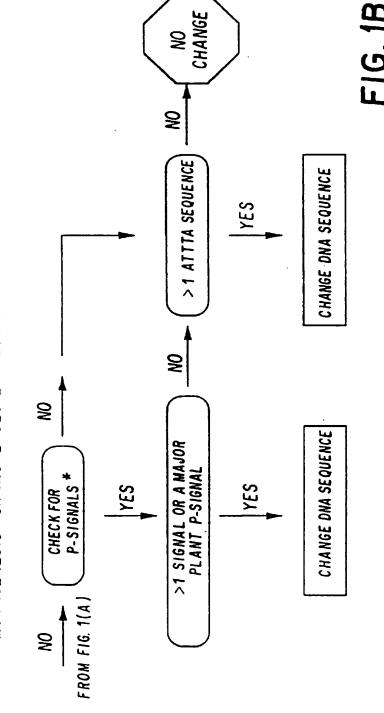
A method for modifying structural gene sequences to enhance the expression of the protein product is disclosed. Also disclosed are novel structural genes which encode insecticidal proteins of *B.t.k.* HD-1, and *B.t.k.* HD-73.

8 Claims, 46 Drawing Sheets



*POLYADENYLATION SIGNAL SEQUENCES

DETERMINATION OF DNA REGIONS IN GENES TO MODIFY BY SITE-DIRECTED MUTAGENESIS FOR INCREASED EXPRESSION IN PLANTS



*POLYADENYLATION SIGNAL SEQUENCES

FIG. 2A

FIG. 2B

1401	ATTTACAGGAGGAGATATTCTTCGAAGAACTTCACCTGGC	1440
1441	CAGATTTCAACCTTAAGAGTAAATATTACTGCACCATTAT	1480
1481	CACAAAGATATCGGGTAAGAATTCGCTACGCTTCTACCAC	1520
1521	AAATTTACAATTCCATACATCAATTGACGGAAGACCTATT CC T G C	1560
1561	AATCAGGGGAATTTTTCAGCAACTATGAGTAGTGGGAGTA	1600
1601	ATTTACAGTCCGGAAGCTTTAGGACTGTAGGTTTTACTAC	1640
1641	TCCGTTTAACTTTTCAAATGGATCAAGTGTATTTACGTTA	1680
1681	AGTGCTCATGTCTTCAATTCAGGCAATGAAGTTTATATAG	1720
1721	ATCGAATTGAATTTGTTCCGGCA 1743	

FIG. 2C

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FIG. 3A

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681	AAGATATAATCAATTTAGAA T C C G C G	GAGAATTAACACTAACTGTA G C C A T	720
721	TTAGATATCGTTTCTCTATT G C T G C	TCCGAACTATGATAGTAGAA C CTCC	760.
761	CGTATCCAATTCGAACAGTT C C T C T G	TCCCAATTAACAAGAGAAAT	800
801		AAAATTTTGATGGTAGTTTT G C C C C C	840
841		AGAAGGAAGTATTAGGAGTC C CTCC C C	880
881	CACATTTGATGGATATACTT C C CT G	AATAGTATAACCATCTATAC C C T C	920
921	GGATGCTCATAGAGGAGAAT C C G	C T A C G	9 60
961		GTTTTCGGGGCCAGAATTCA A CAGC C G T	1000
1001	CTTTTCCGCTATATGGAACT	PATGGGAAATGCAGCTCCACA C C	1040
1041	ACAACGTATTGTTGCTCAAC C	CTAGGTCAGGGCGTGTATAGA T C C	1080
1081	ACATTATCGTCCACCTTATA	TAGAAGACCTTTTAATATAG C C C	1120
1121	GGATAAATAATCAACAACTA T C C C G T	ATCTGTTCTTGACGGGACAGA	1160
1161	ATTTGCTTATGGAACCTCCT	CAAATTTGCCATCCGCTGTA T C T	1200
1201	TACAGAAAAAGCGGAACGGT G C	TAGATTCGCTGGATGAAATAC T CT C C	1240
1241	CGCCACAGAATAACAACGTO	GCCACCTAGGCAAGGATTTAG C CTC	1280
1281		CAATGTTTCGTTCAGGCTTT C C C A C	1320
1321	AGTAATAGTAGTGTAAGTAT C C TCC G C	TAATAAGAGCTCCTATGTTCT C C	1360
1361	CTTGGATACATCGTAGTGCT	G C C C	1400

FIG. 3B

1401	TTCATCACAAATTACACAAATACCTTTAACAAAATCTACT C T C C C A G C G	1440
1441	AATCTTGGCTCTGGAACTTCTGTCGTTAAAGGACCAGGAT C A G C	1480
1481	TTACAGGAGAGATATTCTTCGAAGAACTTCACCTGGCCA C T A T	1520
1521	GATTTCAACCTTAAGAGTAAATATTACTGCACCATTATCA AGC C C T C C C T T	1560
1561	CAAAGATATCGGGTAAGAATTCGCTACGCTTCTACCACAA T C G T A A	1600
1601	ATTTACAATTCCATACATCAATTGACGGAAGACCTATTAA C G C C C G C	1640
1641	TCAGGGGAATTTTTCAGCAACTATGAGTAGTGGGAGTAAT T C C C TCA C C C	1680
1681	TTACAGTCCGGAAGCTTTAGGACTGTAGGTTTTACTACTC G A C C A C C C	1720
1721	CGTTTAACTTTTCAAATGGATCAAGTGTATTTACGTTAAG T C C T C CC T	1760
1761	TGCTCATGTCTTCAATTCAGGCAATGAAGTTTATATAGAT C G T G C T C	1800
1801	CGAATTGAATTTGTTCCGGCAGAAGTAACCTTTGAGGCAG T G G T C T C T	1840
1841	AATAT 1845 G C	

FIG. 3C

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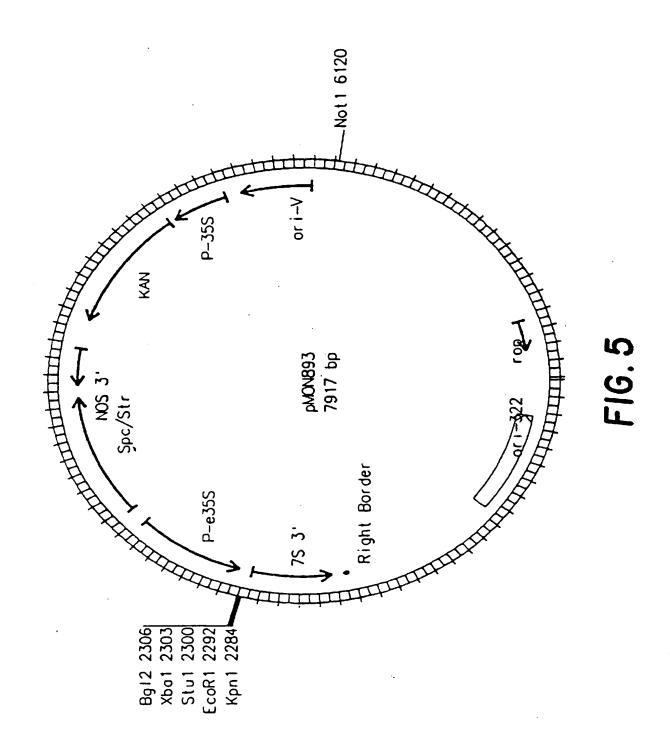
FIG. 4A

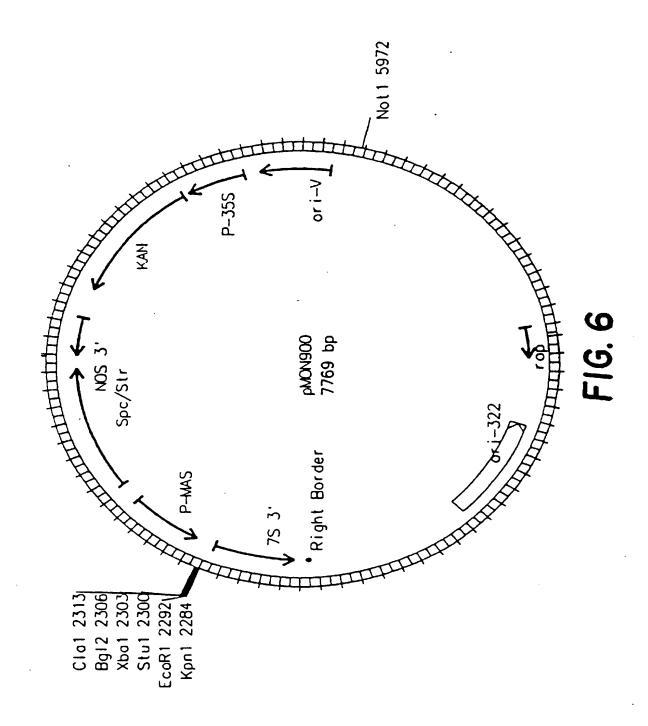
.S.	Pater	t Mar. 9, 1999	Sheet 10 of 46	5,880,275
	681	AAGGTATAATCAATTTAGAAGA T A C C G C G	GAATTAACACTAACTGTA G C C A T	720
	721	TTAGATATCGTTGCTCTGTTCC G C T GT C	CGAATTATGATAGAA C CTCC	760
	761	GATATCCAATTCGAACAGTTTC	CCAATTAACAAGAGAAAT C T C	800
	801		AATTTTGATGGTAGTTTT C C C C C	840
	841	CGAGGCTCGGCTCAGGGCATAG T T T C A T C	AAAGAAGTATTAGGAGTC G CTCC C C	880
	881	CACATTTGATGGATATACTTAA C C CT G	CAGTATAACCATCTATAC C T C	920
	921	GGATGCTCATAGGGGTTATTAT C C A AG G		960
	961	ATAATGGCTTCTCCTGTAGGGT C C A T A		1000
	1001	CTTTTCCGCTATATGGAACTAT	GGGAAATGCAGCTCCACA C C	1040
	1041	ACAACGTATTGTTGCTCAACTA C	GGTCAGGGCGTGTATAGA T C C	1080
	1081	ACATTATCGTCCACTTTATATA C G T C G C	GAAGACCTTTTAATATAG C C C	1120
	1121		TGTTCTTGACGGGACAGA C A	1160
	1161	ATTTGCTTATGGAACCTCCTCA	AATTTGCCATCCGCTGTA C T	1200
	1201	TACAGAAAAAGCGGAACGGTAG G C T		1240
	1241	CGCCACAGAATAACAACGTGCC	ACCTAGGCAAGGATTTAG C CTC	1280
	1281	TCATCGATTAAGCCATGTTTCA		1320
	1321	AGTAATAGTAGTGTAAGTATAA C C TCC G C C		1360
	1361	CTTGGATACATCGTAGTGCTGA	ATTTAATATATAATTGC	1400

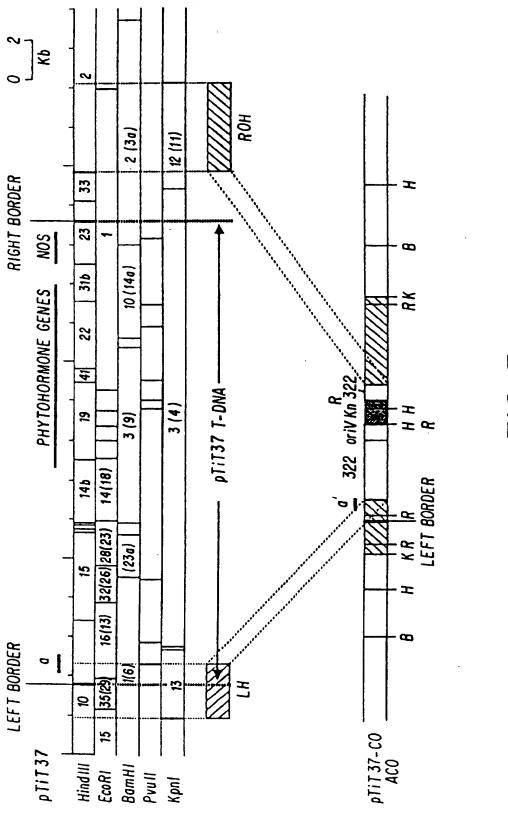
FIG. 4B

1401	ATCGGATAGTATTACTCAAATCCCTGCAGTGAAGGGAAAC	1440
1441	TTTCTTTTTAATGGTTCTGTAATTTCAGGACCAGGATTTA C C C C C	1480
1481	CTGGTGGGGACTTAGTTAGATTAAATAGTAGTGGAAATAA A C C C C C C	1520
1521	CATTCAGAATAGAGGGTATATTGAAGTTCCAATTCACTTC	1560
1561	CCATCGACATCTACCAGATATCGAGTTCGTGTACGGTATG C A GA	1600
1601	CTTCTGTAACCCCGATTCACCTCAACGTTAATTGGGGTAA G T	1640
1641	TTCATCCATTTTTTCCAATACAGTACCAGCTACAGCTACG C C T C	1680
1681	TCATTAGATAATCTACAATCAAGTGATTTTTGGTTATTTTG C G C C C C	1720
1721	AAAGTGCCAATGCTTTACATCTTCATTAGGTAATATAGT C C C C	1760
1761	AGGTGTTAGAAATTTTAGTGGGACTGCAGGAGTGATAATA G C T C	1800
1801	GACAGATTTGAATTTATTCCAGTTACTGCAACACTCGAGG C G C	1840
1841	CTGAATATAATCTGGAAAGAGCGCAGAAGGCGGTGAATGC A TGCG	1880
1881	GCTGTTTACGTCTACAAACCAACTAGGGCTAAAAACAAAT CTGT ACGTCTACA C AGCT G ACTC G CA TG	1920
1921	G 1921	

FIG.4C







F16.7

1	GAAAGAATAGAAACTGGTTACACCCCAATCGATATTTCCT ATGGCC T C T C C C	40
41	TGTCGCTAACGCAATTTCTTTTGAGTGAATTTGTTCCCGG CT G A G GC C C G C A	80
81	TGCTGGATTTGTGTTAGGACTAGTTGATATATATGGGGA G C TC C C C T	120
121	ATTTTTGGTCCCTCTCAATGGGACGCATTTCTTGTACAAA C A T C G G	160
161	TTGAACAGTTAATTAACCAAAGAATAGAAGAATTCGCTAG G G C G C G C	200
201	GAACCAAGCCATTTCTAGATTAGAAGGACTAAGCAATCTT G C G G T G C	240
241	TATCAAATTTACGCAGAATCTTTTAGAGAGTGGGAAGCAG C C T GAGC C C	280
281	ATCCTACTAATCCAGCATTAAGAGAAGAGATGCGTATTCA C TC CC C G A	320
321	ATTCAATGACATGAACAGTGCCCTTACAACCGCTATTCCT C C T G C A C A	360
361	CTTTTTGCAGTTCAAAATTATCAAGTTCCTCTTTTATCAG T G C C G C C C G C	400
401	TATATGTTCAAGCTGCAAATTTACATTTATCAGTTTTGAG G C A T C T CC CAGC GC TC	440
441	AGATGTTTCAGTGTTTTGGACAAAGGTGGGGATTTGATGCC C AGC G C T	480
481	GCGACTATCAATAGTCGTTATAATGATTTAACTAGGCTTA A C C C C C T G	520
521	TTGGCAACTATACAGATTATGCTGTACGCTGGTACAATAC A C C CC C T T C	560
561	GGGATTAGAACGTGTATGGGGACCGGATTCTAGAGATTGG T C G G C T T	600
601	GTAAGGTATAATCAATTTAGAAGAGAATTAACACTAACTG A T A C C G C G G C C A	640
641	TATTAGATATCGTTGCTCTGTTCCCGAATTATGATAGTAG	680

FIG.8A

FIG.8B

1401	TACTGGTGGGGACTTAGTTAGATTAAATAGTAGTGGAAAT C A C C C C C	1440
1441	AACATTCAGAATAGAGGGTATATTGAAGTTCCAATTCACT	1480
1481	TCCCATCGACATCTACCAGATATCGAGTTCGTGTACGGTA C A GA	1520
1521	TGCTTCTGTAACCCCGATTCACCTCAACGTTAATTGGGGT G T	1560
1561	AATTCATCCATTTTTTCCAATACAGTACCAGCTACAGCTA C C T	1600
1601	CGTCATTAGATAATCTACAATCAAGTGATTTTTGGTTATTT C C G C C C C	1640
1641	TGAAAGTGCCAATGCTTTTACATCTTCATTAGGTAATATA C C C C	1680
1681	GTAGGTGTTAGAAATTTTAGTGGGACTGCAGGAGTGATAA G C T	1720
1721	TAGACAGATTTGAATTTATTCCAGTTACTGCAACACTCGA C C G C	1760
1761	GGCTGAA 1767 G	

FIG.8C

1	ATGGATAACAATCCGAACATCAATGAATGCATTCCTTATA C C A C A C	40
41	ATTGTTTAAGTAACCCTGAAGTAGAAGTATTAGGTGGAGA C C G A T C T	80
81	AAGAATAGAAACTGGTTACACCCCAATCGATATTTCCTTG C C T C T C C	120
121	TCGCTAACGCAATTTCTTTTGAGTGAATTTGTTCCCGGTG CT G A G GC C C G A	160
161	CTGGATTTGTGTTAGGACTAGTTGATATATATGGGGAAT G C TC C C C T	200
201	TTTTGGTCCCTCTCAATGGGACGCATTTCTTGTACAAATT C A T C G G	240
241	GAACAGTTAATTAACCAAAGAATAGAAGAATTCGCTAGGA G G C G C G C	280
281	ACCAAGCCATTTCTAGATTAGAAGGACTAAGCAATCTTTA G C G G T G C	320
321	TCAAATTTACGCAGAATCTTTTAGAGAGTGGGAAGCAGAT C C T GAGC C C	360
361	CCTACTAATCCAGCATTAAGAGAAGAGATGCGTATTCAAT C TC CC C G A	400
401	TCAATGACATGAACAGTGCCCTTACAACCGCTATTCCTCT C C T G C A C AT	440
441	TTTTGCAGTTCAAAATTATCAAGTTCCTCTTTTATCAGTA G C C G C C C G C G	480
481	TATGTTCAAGCTGCAAATTTACATTTATCAGTTTTGAGAG C A T C T CC CAGC GC TC	520
521	ATGTTTCAGTGTTTGGACAAAGGTGGGGATTTGATGCCGC C AGC G C T	560
561	GACTATCAATAGTCGTTATAATGATTTAACTAGGCTTATT A C C C C CC T G	600
601	GGCAACTATACAGATTATGCTGTACGCTGGTACAATACGG A C C CC C T T C T	640
641	GATTAGAACGTGTATGGGGACCGGATTCTAGAGATTGGGT C G G C T T A	680

FIG.9A

U.S. Paten	Mar. 9, 1999 Sheet 19 of 46	5,880,275
681	AAGGTATAATCAATTTAGAAGAGAATTAACACTAACTGTA T A C C G C G G C A T	720
721	TTAGATATCGTTGCTCTGTTCCCGAATTATGATAGTAGAA G C T GT C CTCC	760
761	GATATCCAATTCGAACAGTTTCCCAATTAACAAGAGAAAT CC C T C T G C T C	800
801	TTATACAAACCCAGTATTAGAAAATTTTGATGGTAGTTTT C T TC T G C C C C	840
841	CGAGGCTCGGCTCAGGGCATAGAAAGAAGTATTAGGAGTC T T T C A T C G CTCC C C	880
881	CACATTTGATGGATATACTTAACAGTATAACCATCTATAC C C CT G C T C	920
921	GGATGCTCATAGGGGTTATTATTATTGGTCAGGGCATCAA C C A AG G C T A C G	960
961	ATAATGGCTTCTCCTGTAGGGTTTTCGGGGCCAGAATTCA C C A T A CAGC C G T	1000
1001	CTTTTCCGCTATATGGAACTATGGGAAATGCAGCTCCACA C T C C C	1040
1041	ACAACGTATTGTTGCTCAACTAGGTCAGGGCGTGTATAGA C T C C	1080
1081	ACATTATCGTCCACTTTATATAGAAGACCTTTTAATATAG C G T C G C C C	1120
1121	GGATAAATAATCAACAACTATCTGTTCTTGACGGGACAGA T C C G T C A	1160
1161	ATTTGCTTATGGAACCTCCTCAAATTTGCCATCCGCTGTA G C C T T C T	1200
1201	TACAGAAAAAGCGGAACGGTAGATTCGCTGGATGAAATAC G C T CT C C	1240
1241	CGCCACAGAATAACAACGTGCCACCTAGGCAAGGATTTAG A C T C CTC	1280
1281	TCATCGATTAAGCCATGTTTCAATGTTTCGTTCAGGCTTT C CA G G C G C C A C	1320
1321	AGTAATAGTAGTATAATAAGAGCTCCTATGTTCT C C TCC G C C	1360
1361	CTTGGATACATCGTAGTGCTGAATTTAATAATATAATTGC C G C C C C	1400

FIG.9B

FIG.9C

FIG. 9D

U.S. Pate	ent Mar. 9, 1999 Sheet 22 of 46	5,880,275
2841	GCTGTCTGTGATTCCGGGTGTCAATGCGGCTATTTTTGA	A 2880
2881	GAATTAGAAGGGCGTATTTTCACTGCATTCTCCCTATAT	G 2920
2921	ATGCGAGAAATGTCATTAAAAATGGTGATTTTAATAATG	G 2960
2961	CTTATCCTGCTGGAACGTGAAAGGGCATGTAGATGTAGA	A 3000
3001	GAACAAAACAACCAACGTTCGGTCCTTGTTCCCGGAA	T 3040
3041	GGGAAGCAGAAGTGTCACAAGAAGTTCGTGTCTGTCCGG	G 3080
3081	TCGTGGCTATATCCTTCGTGTCACAGCGTACAAGGAGGG	SA 3120
3121	TATGGAGAAGGTTGCGTAACCATTCATGAGATCGAGAAC	CA 3160
3161	ATACAGACGAACTGAAGTTTAGCAACTGCGTAGAAGAGG	GA 3200
3201	AATCTATCCAAATAACACGGTAACGTGTAATGATTATAC	CT 3240
3241	GTAAATCAAGAAGAATACGGAGGTGCGTACACTTCTCGT	TA 3280
3281	ATCGAGGATATAACGAAGCTCCTTCCGTACCAGCTGAT	ГА 3320
3321	TGCGTCAGTCTATGAAGAAAATCGTATACAGATGGACG	GA 3360
3361	AGAGAGAATCCTTGTGAATTTAACAGAGGGTATAGGGA	TT 3400
3401	ACACGCCACTACCAGTTGGTTATGTGACAAAAGAATTAG	GA 3440
3441	ATACTTCCCAGAAACCGATAAGGTATGGATTGAGATTG	GA 3480
3481	GAAACGGAAGGAACATTTATCGTGGACAGCGTGGAATT	AC 3520
3521	TCCTTATGGAGGAA 3534	

FIG.9E

5,880,275

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FIG. 10A

F1G. 10B

U.S.	Paten	t Mar. 9, 1999 Sheet 25 of 46		5,880,275
	1361	CTTGGATACATCGTAGTGCTGAATTTAATAATAATTG C G C C C C	iC	1400
	1401	ATCGGATAGTATTACTCAAATCCCTGCAGTGAAGGGAAA	'C	1440
	1441	TTTCTTTTAATGGTTCTGTAATTTCAGGACCAGGATTT	À	1480
	1481	CTGGTGGGGACTTAGTTAGATTAGTAGTGGAAATA A C C C C C	Δ .	1520
	1521	CATTCAGAATAGAGGGTATATTGAAGTTCCAATTCACTT	.c	1560
	1561	CCATCGACATCTACCAGATATCGAGTTCGTGTACGGTAT	:G	1600
	1601	CTTCTGTAACCCCGATTCACCTCAACGTTAATTGGGGTA	\À	1640
	1641	TTCATCCATTTTTCCAATACAGTACCAGCTACAGCTAC	G C	1680
	1681	TCATTAGATAATCTACAATCAAGTGATTTTGGTTATTTTCC C C C C C	rG	1720
	1721	AAAGTGCCAATGCTTTTACATCTTCATTAGGTAATATAC	ST	1760
	1761	AGGTGTTAGAAATTTTAGTGGGACTGCAGGAGTGATAAT G C T	ra C	1800
	1801	GACAGATTTGAATTTATTCCAGTTACTGCAACACTCGAC	GG	1840
	1841	CTGAATATATCTGGAAAGAGCGCAGAAGGCGGTGAATC	SC	1880
	1881	GCTGTTTACGTCTACAAACCAACTAGGGCTAAAAACAAA	AT	1920
	1921	GTAACGGATTATCATATTGATCAAGTGTCCAATTTAGTT		1960
	1961	CGTATTTATCGGATGAATTTTGTCTGGATGAAAAGCGAC C CC CAGC G C	GA	2000
	2001	ATTGTCCGAGAAAGTCAAACATGCGAAGCGACTCAGTG	AT	2040
	2041	GAACGCAATTTACTCCAAGATTCAAATTTCAAAGACATT	PA	2080

FIG.10C

U.S. Paten	Mar. 9, 1999 Sheet 26 of 46	5,880,275
2081	ATAGGCAACCAGAACGTGGGTGGGGCGGAAGTACAGGGAT	2120
2121	TACCATCCAAGGAGGGGATGACGTATTTAAAGAAAATTAC G T C G C G C	2160
2161	GTCACACTATCAGGTACCTTTGATGAGTGCTATCCAACAT	2200
2201	ATTTGTATCAAAAATCGATGAATCAAAATTAAAAGCCTT	2240
2241	TACCCGTTATCAATTAAGAGGGTATATCGAAGATAGTCAA	2280
2281	GACTTAGAAATCTATTTAATTCGCTACAATGCAAAACATG C C G CC C	2320
2321	AAACAGTAAATGTGCCAGGTACGGGTTCCTTATGGCCGCT	2360
2361	TTCAGCCCAAAGTCCAATCGGAAAGTGTGGAGAGCCGAAT	2400
2401	CGATGCGCCCACACCTTGAATGGAATCCTGACTTAGATT	2440
2441	GTTCGTGTAGGGATGGAGAAAAGTGTGCCCATCATTCGCA	2480
2481	TCATTTCTCCTTAGACATTGATGTAGGATGTACAGACTTA	2520
2521	AATGAGGACCTAGGTGTATGGGTGATCTTTAAGATTAAGA	2560
2561	CGCAAGATGGGCACGCAAGACTAGGGAATCTAGAGTTTCT	2600
2601	CGAAGAGAAACCATTAGTAGGAGAAGCGCTAGCTCGTGTG	2640
2641	AAAAGAGCGGAGAAAAAATGGAGAGACAAACGTGAAAAAT G G	2680
2681	TGGAATGGGAAACAAATATCGTTTATAAAGAGGCAAAAGA G C C C C	2720
2721	ATCTGTAGATGCTTTATTTGTAAACTCTCAATATGATCAA	2760
2761	TTACAAGCGGATACGAATATTGCCATGATTCATGCGGCAG	2800

FIG. 10D

U.S. Paten	t Mar. 9, 1999 Sheet 27 of 46	5,880,275
2801	ATAAACGTGTTCATAGCATTCGAGAAGCTTATCTGCCTGA	2840
2841	GCTGTCTGTGATTCCGGGTGTCAATGCGGCTATTTTTGAA	2880
2881	GAATTAGAAGGGCGTATTTTCACTGCATTCTCCCTATATG C C	2920
2921	ATGCGAGAAATGTCATTAAAAATGGTGATTTTAATAATGG C C C C C C C	2960
2961	CTTATCCTGCTGGAACGTGAAAGGGCATGTAGATGTAGAA	3000
3001	GAACAAAACAACCAACGTTCGGTCCTTGTTGTTCCGGAAT	3040
3041	GGGAAGCAGAAGTGTCACAAGAAGTTCGTGTCTGTCCGGG	3080
3081	TCGTGGCTATATCCTTCGTGTCACAGCGTACAAGGAGGGA	3120
3121	TATGGAGAAGGTTGCGTAACCATTCATGAGATCGAGAACA	3160
3161	ATACAGACGAACTGAAGTTTAGCAACTGCGTAGAAGAGGA	3200
3201	AATCTATCCAAATAACACGGTAACGTGTAATGATTATACT	3240
3241	GTAAATCAAGAAGAATACGGAGGTGCGTACACTTCTCGTA	3280
3281	ATCGAGGATATAACGAAGCTCCTTCCGTACCAGCTGATTA	3320
3321	TGCGTCAGTCTATGAAGAAAATCGTATACAGATGGACGA	3360 .
3361	AGAGAGAATCCTTGTGAATTTAACAGAGGGTATAGGGATT	3400
3401	ACACGCCACTACCAGTTGGTTATGTGACAAAAGAATTAGA	3440
3441	ATACTTCCCAGAAACCGATAAGGTATGGATTGAGATTGGA	3480
3481	GAAACGGAAGGAACATTTATCGTGGACAGCGTGGAATTAC	3520
3521	FIG. 10E	

1	ATGGATAACAATCCGAACATCAATGAATGCATTCCTTATA C C A C A C	40
41	ATTGTTTAAGTAACCCTGAAGTAGAAGTATTAGGTGGAGA C C G A T C T	80
81	AAGAATAGAAACTGGTTACACCCCAATCGATATTTCCTTG C C T C C C	120
121	TCGCTAACGCAATTTCTTTTGAGTGAATTTGTTCCCGGTG CT G A G GC C C G C A	160
161	CTGGATTTGTGTTAGGACTAGTTGATATATATGGGGAAT G C TC C C C T	200
201	TTTTGGTCCCTCTCAATGGGACGCATTTCTTGTACAAATT C A T C G G	240
241	GAACAGTTAATTAACCAAAGAATAGAAGAATTCGCTAGGA G G C G C G C	280
281	ACCAAGCCATTTCTAGATTAGAAGGACTAAGCAATCTTTA G C G G T G C	320
321	TCAAATTTACGCAGAATCTTTTAGAGAGTGGGAAGCAGAT C C T GAGC C C	360
361	CCTACTAATCCAGCATTAAGAGAAGAGATGCGTATTCAAT C TC CC C G A	400
401	TCAATGACATGAACAGTGCCCTTACAACCGCTATTCCTCT C C T G C A C AT	440
441	TTTTGCAGTTCAAAATTATCAAGTTCCTCTTTTATCAGTA G C C G C C C G C G	480
481	TATGTTCAAGCTGCAAATTTACATTTATCAGTTTTGAGAG C A T C T CC CAGC GC TC	520
521	ATGTTTCAGTGTTTGGACAAAGGTGGGGATTTGATGCCGC C AGC G C T	560
561	GACTATCAATAGTCGTTATAATGATTTAACTAGGCTTATT A C C C C CC T G	600
601	GGCAACTATACAGATTATGCTGTACGCTGGTACAATACGG A C C CC C T T C T	640
641	GATTAGAACGTGTATGGGGACCGGATTCTAGAGATTGGGT C G G C T T A	680

FIG. 11A

FIG. 11 B

U.S. Paten	Mar. 9, 1999 Sheet 30 of 46	5,880,275
1401	ATCGGATAGTATTACTCAAATCCCTGCAGTGAAGGGAAAC	1440
1441	TTTCTTTTTAATGGTTCTGTAATTTCAGGACCAGGATTTA C C C C C C	1480
1481	CTGGTGGGGACTTAGTTAGATTAAATAGTAGTGGAAATAA A C C C C C	1520
1521	CATTCAGAATAGAGGGTATATTGAAGTTCCAATTCACTTC	1560
1561	CCATCGACATCTACCAGATATCGAGTTCGTGTACGGTATG C A GA	1600
1601	CTTCTGTAACCCCGATTCACCTCAACGTTAATTGGGGTAA G T	1640
1641	TTCATCCATTTTTCCAATACAGTACCAGCTACAGCTACG C C T C	1680
1681	TCATTAGATAATCTACAATCAAGTGATTTTTGGTTATTTTG C G C C C C	1720
1721	AAAGTGCCAATGCTTTTACATCTTCATTAGGTAATATAGT C C C C	1760
1761	AGGTGTTAGAAATTTTAGTGGGACTGCAGGAGTGATAATA G C T C	1800
1801	GACAGATTTGAATTTATTCCAGTTACTGCAACACTCGAGG C G C	1840
1841	CTGAATATAATCTGGAAAGACGCGCAGAAGGCGGTGAATGC G C T G C T C	1880
1881	GCTGTTTACGTCTACAAACCAACTAGGGCTAAAAACAAAT C C C C T G T CT G T C	1920
1921	GTAACGGATTATCATATTGATCAAGTGTCCAATTTAGTTA T T C C C C G C	1960
1961	CGTATTTATCGGATGAATTTTGTCTGGATGAAAAGCGAGA C CC TAGC G C C C G T	2000
2001	ATTGTCCGAGAAAGTCAAACATGCGAAGCGACTCAGTGAT C C T C C	2040
2041	GAACGCAATTTACTCCAAGATTCAAATTTCAAAGACATTA GA G C CT G C C C	2080
2081	ATAGGCAACCAGAACGTGGGTGGGGGGAAGTACAGGGAT C G T T C C	2120

FIG. 11C

FIG. 11 D

U.S. Paten	Mar. 9, 1999 Sheet 32 of 46	5,880,275
2841	GCTGTCTGTGATTCCGGGTGTCAATGCGGCTATTTTTGAA T C C T G C T C C G	2880
2881	GAATTAGAAGGGCGTATTTTCACTGCATTCTCCCTATATG C T G A C T C T G C	2920
2921	ATGCGAGAAATGTCATTAAAAATGGTGATTTTAATAATGG C C C G C C C	2960
2961	CTTATCCTGCTGGAACGTGAAAGGGCATGTAGATGTAGAA C CAG T T G C G G	3000
3001	GAACAAAACAACGAACGTTCGGTCCTTGTTGTTCCGGAAT G T G C G G T G	3040
3041	GGGAAGCAGAAGTGTCACAAGAAGTTCGTGTCCGGG T C G A A A	3080
3081	TCGTGGCTATATCCTTCGTGTCACAGCGTACAAGGAGGGA	3120
3121	TATGGAGAAGGTTGCGTAACCATTCATGAGATCGAGAACA C T G G C C	3160
3161	ATACAGACGAACTGAAGTTTAGCAACTGCGTAGAAGAGGA C C G T CTC C G A	3200
3201	AATCTATCCAAATAACACGGTAACGTGTAATGATTATACT C C C T T C C C	3240
3241	GTAAATCAAGAAGAATACGGAGGTGCGTACACTTCTCGTA G G G C AGC	3280
3281	ATCGAGGATATAACGAAGCTCCTTCCGTACCAGCTGATTA CA T C T T C	3320
3321	TGCGTCAGTCTATGAAGAAAATCGTATACAGATGGACGA C C G C G C C CA	3360
_ي 3361	AGAGAGAATCCTTGTGAATTTAACAGAGGGTATAGGGATT C T C C G C T C C	3400
3401	ACACGCCACTACCAGTTGGTTATGTGACAAAAGAATTAGA A T C T C G GC T	3440
3441	ATACTTCCCAGAAACCGATAAGGTATGGATTGAGATTGGA G T T G C A G C C T	3480
3481	GAAACGGAAGGAACATTTATCGTGGACAGCGTGGAATTAC C G C C GC T	3520
3521	TCCTTATGGAGGAA 3534 T G FIG 11 F	

FIG. 11 E

1	ATGACTGCAGATAATAATACGGAAGCACTAGATAGCTCTA C C C C C C T	40
41	CAACAAAAGATGTCATTCAAAAAGGCATTTCCGTAGTAGG C T G T C G G T C T G	80
81	TGATCTCCTAGGCGTAGTAGGTTTCCCGTTTGGTGGAGCG A C T G G T A T C C C	120
121	CTTGTTTCGTTTTATACAAACTTTTTAAATACTATTTGGC C GAGC C C C C	160
161	CAAGTGAAGACCCGTGGAAGGCTTTTATGGAACAAGTAGA C G T A A C G T	200
201	AGCATTGATGGATCAGAAAATAGCTGATTATGCAAAAAAT TC T G T A C G C	240
241	AAAGCTCTTGCAGAGTTACAGGGCCTTCAAAATAATGTCG G T G AC C G C G	280
281	AAGATTATGTGAGTGCATTGAGTTCATGGCAAAAAAATCC G C C TCCAGC G G C	320
321	TGTGAGTTCACGAAATCCACATAGCCAGGGGGGGGATAAGA T C CA T C A TA C	360
361	GAGCTGTTTTCTCAAGCAGAAAGTCATTTTCGTAATTCAA T C C TCC C CA A C	400
401	TGCCTTCGTTTGCAATTTCTGGATACGAGGTTCTATTTCT AGC T C T T C	440
441	AACAACATATGCACAAGCTGCCAACACACATTTATTTTTA C T C T C C C C C	480
481	CTAAAAGACGCTCAAATTTATGGAGAAGAATGGGGATACG T G C G	520
521	AAAAAGAAGATATTGCTGAATTTTATAAAAGACAACTAAA G G C G C GC T T	560
561	ACTTACGCAAGAATATACTGACCATTGTGTCAAATGGTAT G C C G C C G	600
601	AATGTTGGATTAGATAAATTAAGAGGTTCATCTTATGAAT C TC C GC C T C C G	640
641	CTTGGGTAAACTTTAACCGTTATCGCAGAGAGATGACATT	680

FIG. 12A

FIG.12B

1401	AGTAAAGGCATATAAGTTACAATCTGGTGCTTCCGTTGTC G G A C C G	1440
1441	GCAGGTCCTAGGTTTACAGGAGGAGATATCATTCAATGCA C A C T T C C G	1480
1481	CAGAAAATGGAAGTGCGGCAACTATTTACGTTACACCGGA G C C A T C G T	1520
1521	TGTGTCGTACTCTCAAAAATATCGAGCTAGAATTCATTAT T G G CA G AC T C	1560
1561	GCTTCTACATCTCAGATAACATTTACACTCAGTTTAGACG A CAGC C C C G T	1600
1601	GGGCACCATTTAATCAATACTATTTCGATAAAACGATAAA A C C C G T C T C G C C	1640
1641	TAAAGGAGACACATTAACGTATAATTCATTTAATTTAGCA C T TC C A C AGC C C G	1680
1681	AGTTTCAGCACCATTCGAATTATCAGGGAATAACTTAC T C C C TC T	1720
1721	AAATAGGCGTCACAGGATTAAGTGCTGGAGATAAAGTTTA G C C TC C C C C	1760
1761	TATAGACAAAATTGAATTTATTCCAGTGAAT 1791 C C G G C C	

FIG.12C

1	ATG AATAATGTATTGAATAGTGGAAGAACAACTATTT GAC C C CTC T C C	40
41	GTGATGCGTATAATGTAGTAGCCCATGATCCATTTAGTTT C C A C C C G T C C C	80
81	TGAACATAAATCATTAGATACCATCCAAAAAGAATGGATG C C GAGCC C C T T G G G	120
121	GAGTGGAAAGAACAGATCATAGTTTATATGTAGCTCCTG A C T T C CTC C C C A	160
161	TAGTCGGAACTGTGTCTAGTTTTTTGCTAAAGAAAGTGGG G T A C C CC T C G C	200
201	GAGTCTTATTGGAAAAAGGATATTGAGTGAATTATGGGGG CTC C C T C TCC C C T	240
241	ATAATATTTCCTAGTGGTAGTACAAATCTAATGCAAGATA C C ATC GTCC T C C	280
281	TTTTAAGGGAGACAGACAATTCCTAAATCAAAGACTTAA C G C G T C C GC T C	320
321	TACAGATACCCTTGCTCGTGTAAATGCAGAATTGATAGGG C T T G A A C C T G C T	360
361	CTCCAAGCGAATATAAGGGAGTTTAATCAACAAGTAGATA A C TC T C C G G C	400
401	ATTTTTTAAACCCTACTCAAAACCCTGTTCCTTTATCAAT C C G T A G T G C T C	440
441	AACTTCTTCGGTTAATACAATGCAGCAATTATTTCTAAAT C C C C C C	480
481	AGATTACCCCAGTTCCAGATACAAGGATACCAGTTGTTAT G T T T C C CC	520
521	TATTACCTTTATTTGCACAGGCAGCCAATATGCATCTTTC TC T AC C T T C CT G	560
561	TTTTATTAGAGATGTTATTCTTAATGCAGATGAATGGGGT C C AC T C G C C T C A	600
601	ATTTCAGCAGCAACATTACGTACGTATCGAGATTACCTGA C T C TC TA G A CA C T	640
641	GAAATTATACAAGAGATTATTCTAATTATTGTATAAATAC G C C TC T C C C C C	680

FIG. 13A

FIG.13B

1401	TGTGCATAACAGAAAAATAATATCTATGCCGCTAATGAA C G G C C C T C C G	1440
1441	AATGGTACTATGATCCATTTGGCGCCAGAAGATTATACAG C C T CC T A C T	1480
1481	GATTTACTATATCGCCAATACATGCCACTCAAGTGAATAA C C C T C T C C	1520
1521	TCAAACTCGAACATTTATTTCTGAAAAATTTGGAAATCAA G A C C C C G C	1560
1561	GGTGATTCCTTAAGATTTGAACAAAGCAACACGACAGCTC C G G C G TC T C A	1600
1601	GTTATACGCTTAGAGGGAATGGAAATAGTTACAATCTTTA G C TT G C C C	1640
1641	TTTAAGAGTATCTTCAATAGGAAATTCAACTATTCGAGTT C G TAGC C T T C C C T	1680
1681	ACTATAAACGGTAGAGTTTATACTGTTTCAAATGTTAATA C C AC T C A C T G C	1720
1721	CCACTACAAATAACGATGGAGTTAATGATAATGGAGCTCG T A G C T C C C CA	1760
1761	TTTTTCAGATATTAATATCGGTAATATAGTAGCAAGTGAT A CAGC C C T C C G CTC C	1800
1801	AATACTAATGTAACGCTAGATATAAATGTGACATTAAACT C C T TT G C C CC C T	1840
1841	CCGGTACTCCATTTGATCTCATGAATATTATGTTTGTGCC T A C C	1880
1881	AACTAATCTTCCACCACTTTAT 1902 C C T T G C	

FIG. 13C

FIG. 14A

FIG. 14B

TCGTTTATGTCATGCAACTTTTGTTCAAAGATCTGGAACA

CCTTTTTTAACAACTGGTGTAGTATTTTCTTGGACCGATC

CAGGCC CCGGCTC

A CC C T A A T G C A

1361 GTAGTGCAACTCTTACAAATACAATTGATCCAGAGAGAAT
T C T C C G

1320

1360

1281

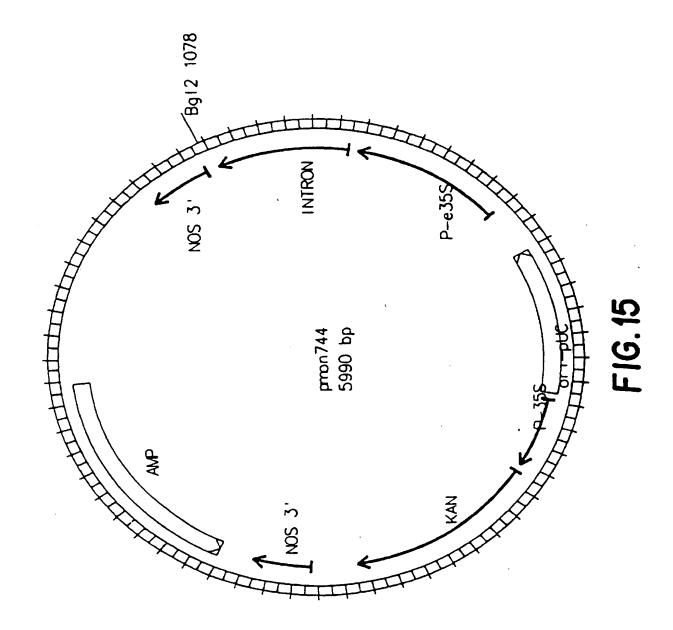
1321

FIG. 14C

U.S. Paten	Mar. 9, 1999 Sheet 42 of 46	5,880,275
2121	CTGGAGAGGAAGTACAGATATTACCATCCAAGGAGGAGAT T. G T C C GG C C C	2160
2161	GACGTATTCAAAGAGAATTACGTCACACTACCGGGTACCG T G G C CT C A TT	2200
2201	TTGATGAGTGCTATCCAACGTATTTATATCAGAAAATAGA C C C T C C G C G C	2240
2241	TGAGTCGAAATTAAAAGCTTATACCCGTTATGAATTAAGA C C C C TC A G C C T	2280
2281	GGGTATATCGAAGATAGTCAAGACTTAGAAATCTATTTGA C C C C C T C C	2320
2321	TCCGTTACAATGCAAAACACGAAATAGTAAATGTGCCAGG A G C G C C	2360
2361	CACGGGTTCCTTATGGCCGCTTTCAGCCCAAATGCCAATC T T C C A T TCT C T	2400
2401	GGAAAGTGTGGAGAACCGAATCGATGCGCCCACACCTTG G G T CA T	2440
2441	AATGGAATCCTGATCTAGATTGTTCCTGCAGAGACGGGGA G CT G C C G T C	2480
2481	AAAATGTGCACATCATTCCCATCATTTCACCTTGGATATT G G C C T C T C C	2520
2521	GATGTTGGATGTACAGACTTAAATGAGGACTTAGGTGTAT G T C G C C A C	2560
2561	GGGTGATATTCAAGATTAAGACGCAAGATGGCCATGCAAG C C C C A C	2600
2601	ACTAGGGAATCTAGAGTTTCTCGAAGAGAAACCATTATTA T C C T GG C	2640
2641	GGGGAAGCACTAGCTCGTGTGAAAAGAGCGGAGAAGAAGT T T C G A	2680
2681	GGAGAGACAAACGAGAGAAACTGCAGTTGGAAACAAATAT G T CG A G T C	2720
2721	TGTTTATAAAGAGGCAAAAGAATCTGTAGATGCTTTATTT C C G C G C G C	2760
2761	GTAAACTCTCAATATGATAGATTACAAGTGGATACGAACA G C CAG G CC C	2800
2801	TCGCCATGATTCATGCGGCAGATAAACGCGTTCATAGAAT C C C C T G C C	2840

FIG. 14D

U.S. Paten	t Mar. 9, 1999 Sheet 43 of 46	5,880,275
2841	CCGGGAAGCGTATCTGCCAGAGTTGTCTGTGATTCCAGGT T T G T CT T C C T	2880
2881	GTCAATGCGGCCATTTTCGAAGAATTAGAGGGACGTATTT G C T C G C T C	2920
2921	TTACAGCGTATTCCTTATATGATGCGAGAAATGTCATTAA	2960
2961	AAATGGCGATTTCAATAATGGCTTATTATGCTGGAACGTG G C T C C C CAGC T	3000
3001	AAAGGTCATGTAGATGTAGAAGAGCAAAACAACCACCGTT G C G G A G T G	3040
3041	CGGTCCTTGTTATCCCAGAATGGGAGGCAGAAGTGTCACA	3080
3081	AGAGGTTCGTGTCCAGGTCGTGGCTATATCCTTCGT A A A A C T C	3120
3121	GTCACAGCATATAAAGAGGGATATGGAGAGGGCTGCGTAA	3160
3161	CGATCCATGAGATCGAAGACAATACAGACGAACTGAAATT C C GA C C G T G	3200
3201	CAGCAACTGTGTAGAAGAGGAAGTATATCCAAACAACACACAC	3240
3241	GTAACGTGTAATAATTATACTGGGACTCAAGAAGAATATG T T C CG C C T A G G C	3280
3281	AGGGTACGTACACTTCTCGTAATCAAGGATATGACGAAGC	3320
3321	CTATGGTAATAACCCTTCCGTACCAGCTGATTACGCTTCA	
3361	GTCTATGAAGAAAATCGTATACAGATGGACGAAGAGAGAG	3400
3401	ATCCTTGTGAATCTAACAGAGGCTATGGGGATTACACACC	3440
3441	ACTACCGGCTGGTTATGTAACAAAGGATTTAGAGTACTTC T A T C T C GC T	3480
3481	CCAGAGACCGATAAGGTATGGATTGAGATCGGAGAAACAG T C A G C T C	3520
3521	AAGGAACATTCATCGTGGATAGCGTGGAATTACTCCTTAT	3560
3561	GGAGGAA 3567 FIG	.14E



1	AGATCTAGAGGTAATTGTTATGAGTACTGTCGTGGTTAAG GATC	40
41	GGAAACGTCAACGGTGGTGTACAACAACCTAGAAGGAGGA G T A	80
81	GAAGGCAATCCCTTCGCAGGAGGGCTAACAGAGTACAGCC T A T	120
121	AGTGGTTATGGTCACTGCTCCTGGCGAACCCAGGAGGAGG GC A A A	160
161	AGACGCAGAAGAGGGCAATCGCAGGTCAAGAAGAACTG A G T A	200
201	GAGTTCCCAGGGGAAGGGGCTCAAGCGAGACATTCGTGTT A A T	240
241	TACAAAGGACAACCTCGTGGGCAACTCCCAAGGAAGTTTC	280
281	ACCTTCGGACCAAGTGTATCAGACTGTCCAGCATTCAAGG	320
321	ATGGAATACTCAAGGCCTACCATGAGTACAAGATCACAAG	360
361	TATCCTTCTTCAGTTCGTCAGCGAGGCCTCTTCCACCTCA T G T	400
401	CCAGGATCCATCGCTTATGAGTTGGACCCACATTGCAAAG C A T	440
441	TATCATCCCTCCAGTCCTACGTCAACAAGTTCCAAATCAC	480
481	AAAGGGAGGAGCTAAGACCTATCAAGCTAGGATGATCAAC T T C T	520
521	GGAGTAGAATGGCACGATTCATCTGAGGATCAGTGCAGGA T A	560
561	TACTTTGGAAAGGAAGTGGAAAATCTTCAGACCCAGCAGG C A G T T	600
601	ATCTTTCAGAGTCACCATCAGAGTGGCTCTTCAAAACCCC T A	640
641	AAGTAATAGACTCCGGATCAGAGCCTGGTCCAAGCCCACA	680

FIG. 16A

681	ACCAACACCCACTCCAACTCCCCAAAAGCATGAGCGATTT	720
721	ATTGCTTACGTCGGCATACCTATGCTGACCATTCAAGAAT	760
761	TC 762	

FIG. 16B

SYNTHETIC PLANT GENES FROM BT KURSTAKI AND METHOD FOR **PREPARATION**

This is a Continuation, of application U.S. Ser. No. 08/433,111, filed on May 3, 1995, now abandoned, which is a divisional of U.S. Ser. No. 07/959,506, filed Oct. 9, 1992, now U.S Pat. No. 5,500,365, which is a continuation of U.S. Ser. No. 07/476,661, filed Feb. 12, 1990, now abandoned, which is a continuation-in-part of U.S. Ser. No. 07/315,355, 10 filed Feb. 24, 1989, now abandoned.

BACKGROUND OF THE INVENTION

The present invention relates to genetic engineering and more particularly to plant transformation in which a plant is transformed to express a heterologous gene.

Although great progress has been made in recent years with respect to transgenic plants which express foreign proteins such as herbicide resistant enzymes and viral coat 20 proteins, very little is known about the major factors affecting expression of foreign genes in plants. Several potential factors could be responsible in varying degrees for the level of protein expression from a particular coding sequence. The

The potential causes of low steady state levels of mRNA due to the nature of the coding sequence are many. First, full length RNA synthesis micas not occur at a high frequency. This could, for example, be caused by the premature termination of RNA during transcription or due to unexpected mRNA processing during transcription. Second, full length RNA could be produced but then processed (splicing, polyA addition) in the nucleus in a fashion that creates a nonfunctional mRNA. If the RNA is properly synthesized, termi- 35 nated and polyadenylated, it then can move to the cytoplasm for translation. In the cytoplasm, mRNAs have distinct half lives that are determined by their sequences and by the cell type in which they are expressed. Some RNAs are very short-lived and some are much more long-lived. In addition, 40 there is an effect, whose magnitude is uncertain, of translational efficiency on mRNA half-life. In addition, every RNA molecule folds into a particular structure, or perhaps family of structures, which is determined by its sequence. The particular structure of any RNA might lead to greater or 45 lesser stability in the cytoplasm. Structure per se is probably also a determinant of mRNA processing in the nucleus. Unfortunately, it is impossible to predict, and nearly impossible to determine, the structure of any RNA (except for tRNA) in vitro or in vivo. However, it is likely that dra- 50 matically changing the sequence of an RNA will have a large effect on its folded structure. It is likely that structure per se or particular structural features also have a role in determining RNA stability.

Some particular sequences and signals have been identi- 55 fied in RNAs that have the potential for having a specific effect on RNA stability. This section summarizes what is known about these sequences and signals. These identified sequences often are A+T rich, and thus are more likely to The sequence motif ATTTA (or AUUUA as it appears in RNA) has been implicated as a destabilizing sequence in mammalian cell mRNA (Shaw and Kamen, 1986). No analysis of the function of this sequence in plants has been done. Many short lived mRNAs have A+T rich 3' untrans- 65 lated regions, and these regions often have the ATTTA sequence, sometimes present in mutiple copies or as multi-

mers (e.g., ATTTATTTA...). Shaw and Kamen showed that the transfer of the 3' end of an unstable mRNA to a stable RNA (globin or VA1) decreased the stable RNA's half life dramatically. They further showed that a pentamer of ATTTA had a profound destabilizing effect on a stable message, and that this signal could exert its effect whether it was located at the 3' end or within the coding sequence. However, the number of ATTTA sequences and/or the sequence context in which they occur also appear to be important in determining whether they function as destabilizing sequences. Shaw and Kamen showed that a trimer of ATTTA had much less effect than a pentamer on mRNA stability and a dimer or a monomer had no effect on stability (Shaw and Kamen, 1987). Note that multimers of ATTTA such as a pentamer automatically create an A+T rich region. This was shown to be a cytoplasmic effect, not nuclear. In other unstable mRNAs, the ATTTA sequence may be present in only a single copy, but it is often contained in an A+T rich region. From the animal cell data collected to date, it appears that ATTTA at least in some contexts is important in stability, but it is not yet possible to predict which occurences of ATTTA are destabilizing elements or whether any of these effects are likely to be seen in plants.

Some studies on mRNA degradation in animal cells also level of a particular mRNA in the cell is certainly a critical 25 Indicate that RNA degradation may begin in some cases with nucleolytic attack in A+T rich regions. It is not clear if these cleavages occur at ATTTA sequences. There are also examples of mRNAs that have differential stability depending on the cell type in which they are expressed or on the stage within the cell cycle at which they are expressed. For example, histone mRNAs are stable during DNA synthesis but unstable if DNA synthesis is disrupted. The 3' end of some histone mRNAs seems to be responsible for this effect (Pandey and Marzluff, 1987). It does not appear to be mediated by ATTTA, nor is it clear what controls the differential stability of this mRNA.

Another example is the differential stability of IgG mRNA in B lymphocytes during B cell maturation (Genovese and Milcarek, 1988). A final example is the instability of a mutant beta-thallesemic globin mRNA. In bone marrow cells, where this gene is normally expressed, the mutant mRNA is unstable, while the wild-type mRNA is stable. When the mutant gene is expressed in HeLa or L cells in vitro, the mutant mRNA shows no instability (Lim et al., 1988). These examples all orovide evidence that mRNA stability can be mediated by cell type or cell cycle specific factors. Furthermore this type of instability is not yet associated with specific sequences. Given these uncertainties, it is not possible to predict which RNAs are likely to be unstable in a given cell. In addition, even the ATTTA motif may act differentially depending on the nature of the cell in which the RNA is present. Shaw and Kamen (1987) have reported that activation of protein kinase C can block degradation mediated by ATTTA.

The addition of a polyadenylate string to the 3' end is common to most eucaryotic mRNAs, both plant and animal. The currently accepted view of polyA addition is that the nascent transcript extends beyond the mature 3' terminus. Contained within this transcript are signals for polyadenyoccur in an A+T rich coding sequence such as a B.t. gene. 60 lation and proper 3' end formation. This processing at the 3' end involves cleavage of the mRNA and addition of polyA to the mature 3' end. By searching for consensus sequences near the polyA tract in both plant and animal mRNAs, it has been possible to identify consensus sequences that apparently are involved in polyA addition and 3' end cleavage. The same consensus sequences seem to be important to both of these processes. These signals are typically a variation on

the sequence AATAAA. In animal cells, some variants of this sequence that are functional have been identified; in plant cells there seems to be an extended range of functional sequences (Wickens and Stephenson, 1984; Dean et al., 1986). Because all of these consensus sequences are variations on AATAAA, they all are A+T rich sequences. This sequence is typically found 15 to 20 bp before the polyA tract in a mature mRNA. Experiments in animal cells indicate that this sequence is involved in both polyA addition and 3' maturation. Site directed mutations in this 10 has been done, but it is clear that multiple sequences similar sequence can disrupt these functions (Conway and Wickens, 1988; Wickens et al., 1987). However, it has also been observed that sequences up to 50 to 100 bp 3' to the putative polyA signal are also required; i.e., a gene that has a normal AATAAA but has been retlaced or disrupted downstream 15 does not get properly polyadenylated (Gil and Proudfoot, 1984; Sadofsky and Alwine, 1984; McDevitt et al., 1984). That is, the polyA signal itself is not sufficient for complete and proper processing. It is not yet known what specific downstream sequences are required in addition to the polyA 20 signal, or if there is a specific sequence that has this function. Therefore, sequence analysis can only identify potential polyA signals.

In naturally occuring mRNAs that are normally polyadenylated, it has been observed that disruption of this 25 process, either by altering the polyA signal or other sequences in the mRNA, profound effects can be obtained in the level of functional mRNA. This has been observed in several naturally occuring mRNAs, with results that are gene specific so far. There are no general rules that can be 30 derived yet from the study of mutants of these natural genes, and no rules that can be applied to heterologous genes. Below are four examples:

- 1. In a globin gene, absence of a proper polyA site leads to improper termination of transcription. It is likely, but not proven, that the improperly terminated RNA is nonfunctional and unstable (Proudfoot et al., 1987).
- 2. In a globin gene, absence of a functional polyA signal can lead to a 100-fold decrease in the level of mRNA 40 accumulation (Proudfoot et al., 1987).
- 3. A globin gene polyA site was placed into the 3' ends of two different histone genes. The histone genes contain a secondary structure (stem-loop) near their 3' ends. The amount of properly polyadenylated histone mRNA 45 produced from these chimeras decreased as the distance between the stem-loop and the polyA site increased. Also, the two histone genes produced greatly different levels of properly polyadenylated mRNA. This suggests an interaction between the polyA site and other 50 sequences on the mRNA that can modulate mRNA accumulation (Pandy and Marzluff, 1987).
- 4. The soybean leghemoglobin gene has been cloned into HeLa cells, and it has been determined that this plant gene contains a "cryptic" polyadenylation signal that is 55 active in animal cells, but is not utilized in plant cells. This leads to the production of a new polyadenylated mRNA that is nonfunctional. This again shows that analysis of a gene in one cell type cannot predict its behavior in alternative cell types (Wiebauer et al., 60

From these examples, it is clear that in natural mRNAs proper polyadenylation is mportant in mRNA accumulation, and that disruption of this process can effect mRNA levels significantly. However, insufficient knowledge exists to pre- 65 dict the effect of changes in a normal gene. In a heterologous gene, where we do not know if the putative polyA sites

(consensus sequences) are functional, it is even harder to predict the consequences. However, it is possible that the putative sites identified are disfunctional. That is, these sites may not act as proper polyA sites, but instead function as aberrant sites that give rise to unstable mRNAs.

In animal cell systems, AATAAA is by far the most common signal identified in mRNAs upstream of the polyA, but at least four variants have also been found (Wickens and Stephenson, 1984). In plants, not nearly so much analysis to AATAAA can be used. The plant sites below called major or minor refer only to the study of Dean et al. (1986) which analyzed only three types of plant gene. The designation of polyadenylation sites as major or minor refers only to the frequency of their occurrence as functional sites in naturally occurring genes that have been analyzed. In the case of plants this is a very limited database. It is hard to predict with any certainty that a site designated major or minor is more or less likely to function partially or completely when found in a heterologous gene such as B.t.

	PA	AATAAA	Major consensus site
	P1A	AATAAT	Major plant site
	P2A	AACCAA	Minor plant site
5	P3A	ATATAA	•
	P4A	AATCAA	•
	P5A	ATACTA	•
	P6A	ATAAAA	•
	P7A	ATGAAA	
	P8A	AAGCAT	•
`	P9A	ATTAAT	•
,	P10A	ATACAT	•
	P11A	AAAATA	•
	P12A	ATTAAA	Minor animal site
	P13A	AATTAA	•
	P14A	AATACA	•
5	P15A	CATAAA	

Another type of RNA processing that occurs in the nucleus is intron splicing. Nearly all of the work on intron Processing has been done in animal cells, but some data is emerging from plants. Intron processing depends on proper 5' and 3' splice junction sequences. Consensus sequences for these junctions have been derived for both animal and plant mRNAs, but only a few nucleotides are known to be invariant. Therefore, it is hard to predict with any certainty whether a putative splice junction is functional or partially functional based solely on sequence analysis. In particular, the only invariant nucleotides are GT at the 5' end of the intron and AG at the 3' end of the intron. In plants, at every nearby posit on, either within the intron or in the exon flanking the intron, all four nucleotides can be found. although some positions show some nucleotide preference (Brown, 1986; Hanley and Schuler, 1988).

A plant intron has been moved from a patatin gene into a GUS gene. To do this, site directed mutagenesis was performed to introduce new restriction sites, and this mutagenesis changed several nucleotides in the intron and exon sequences flanking the GT and AG. This intron still functioned properly, indicating the importance of the GT and AG and the flexibility at other nucleotide positons. There are of course many occurences of GT and AG in all genes that do not function as intron splice junctions, so there must be some other sequence or structrual features that identify splice junctions. In plants, one such feature appears to be base composition per se. Wiebauer et al. (1988) and Goodall et al. (1988) have analyzed plant introns and exons and found that exons have ~50% A+T while introns have ~70% A+T. Goodall et al. (1988) also created an artificial plant intron

that has consensus 5' and 3' splice junctions and a random A+T rich internal sequence. This intron was spliced correctly in plants. When the internal segment was replaced by a G+C rich sequence, splicing efficiency was drastically reduced. These two examples demonsatrate that intron recognition in plants may depend on very general featuressplice junctions that have a great deal of sequence diversity and A+T richness of the intron itself. This, of course, makes it difficult to predict from sequence alone whether any partially active intron for RNA processing.

B.t. genes being A+T rich contain numerous stretches of various lengths that have 70% or greater A+T. The number of such stretches identified by sequence analysis depends on the length of sequence scanned.

As for polyadenylation described above, there are complications in predicting what sequences might be utilized as splice sites in any given gene. First, many naturally occuring genes have alternative splicing pathways that create alternative combinations of exons in the final mRNA (Gallega 20 and Nadal-Ginard, 1988; Helfman and Ricci, 1988; Tsurushita and Korn, 1989). That is, some splice junctions are apparently recognized under some circumstances or in certain cell types, but not in others. The rules governing this are not understood. In addition, there can be an interaction 25 between processing paths such that utilization of a particular polyadenylation site can interfere with splicing at a nearby splice site and vice versa (Adami and Nevins, 1988; Brady and Wold, 1988; Marzluff and Pandey, 1988). Again no predictive rules are available. Also, sequence changes in a 30 gene can drastically alter the utilization of particular splice junctions. For example, in a bovine growth hormone gene, small deletions in an exon a few hundred bases downstream of an intron cause the splicing efficiency of the intron to drop nonfunctional). Other deletions however have essentially no effect (Hampson and Rottman, 1988). Finally, a variety of in vitro and in vivo experiments indicate that mutations that disrupt normal splicing lead to rapid degradation of the RNA in the nucleus. Splicing is a multistep process in the nucleus 40 and mutations in normal splicing can lead to blockades in the process at a variety of steps. Any of these blockades can then lead to an abnormal and unstable RNA. Studies of mutants of normally processed (polyadenylation and splicing) genes are relevant to the study of heterologous genes such as B.t. 45 B.t. genes might contain functional signals that lead to the production of aberrant nonfunctional mRNAs, and these mRNAs are likely to be unstable. But the B.t. genes are perhaps even more likely to contain signals that are analogous to mutant signals in a natural gene. As shown above 50 a wild-type gene to increase expression efficiency in plants. these mutant signals are very likely to cause defects in the processing pathways whose consequence is to produce unstable mRNAs.

It is not known with any certainty what signals RNA transcription termination in plant or animal cells. Some 55 studies on animal genes that indicate that stretches of sequence rich in T cause termination by calf thymus RNA polymerase II in vitro. These studies have shown that the 3' ends of in vitro terminated transcripts often lie within runs of T such as T5, T6 or T7. Other identified sites have not 60 been composed solely of T, but have had one or more other nucleotides as well. Termination has been found to occur within the sequences TATTTTTT, ATTCTC, TTCTT (Dedrick et al., 1987, Reines et al., 1987). In the case of these latter two, the context in which the sequence is found 65 transformation vector cassette pMON893. has been C+T rich as well. It is not known if this is essential. Other studies have implicated stretches of A as potential

transcriptional terminators. An interesting example from SV40 illustrates the uncertainty in defining terminators based on sequence alone. One potential terminator in SV40 was identified as being A rich and having a region of dyad symmetry (potential stem-bcoo) 5' to the A rich stretch. However, a second terminator identified experimentally downstream in the same gene was not A rich and included no potential secondary structure (Kessler et al., 1988) Of course, due to the A+T content of B.t. genes, they are rich in particular sequence is likely to function as an active or 10 runs of A or T that could act as terminators. The importance of termination to stability of the mRNA is shown by the globin gene example described above. Absence of a normal polyA site leads to a failure in proper termination with a consequent decrease in mRNA.

There is also an effect on mRNA stability due to the translation of the mRNA. Premature translational termination in human triose phosphate isomerase leads to instability of the mRNA (Daar et al., 1988). Another example is the beta-thallesemic globin mRNA described above that is specifically unstable in bone marrow cells (Lim et al., 1988). The defect in this mutant gene is a single base pair deletion at codon 44 that leads to translational termination (a nonsense codon) at codon 60. Compared to properly translated normal globin mRNA, this mutant RNA is very unstable. These results indicate that an improperly translated mRNA is unstable. Other work in veast indicates that proper but poor translation can have an effect on mRNA levels. A heterologous gene was modified to convert certain codons to more yeast preferred codons. An overall 10-fold increase in protein production was achieved, but there was also about a 3-fold increase in mRNA Hoekema et al., 1987). This indicates that more efficient translation can lead to greater mRNA stability, and that the effect of codon usage can be at the RNA level as well as the translational level. It is not clear from greater than 95% to less than 2% (essentially 35 from codon usage studies which codons lead to poor translation, or how this is coupled to mRNA stability.

SUMMARY OF THE INVENTION

It is an object of the present invention to provide a method for preparing synthetic plant genes which express their respective proteins at relatively high levels when compared to wild-type genes. It is yet another object of the present invention to provide synthetic plant genes which express the crystal protein toxin of Bacillus thuringiensis at relatively high levels.

BRIEF DESCRIPTION OF THE DRAWINGS

FIGS. 1A-1B illustrate the steps employed in modifying

FIGS. 2A-2C illustrate a comparison of the changes in the modified B.t.k. HD-1 sequence of Example 1 (lower line) (SEQ ID NO:20) versus the wild-type sequence of B.t.k. HD-1 which encodes the crystal protein toxin (upper line).

FIGS. 3A-3C illustrate a comparison of the changes in the synthetic B.t.k. HD-1 sequence of Example 2 (lower line) (SEQ ID NO:22) versus the wild-type sequence of B.t.k. HD-1 which encodes the crystal protein toxin (upper line).

FIGS. 4A-4C illustrate a comparison of the changes in the synthetic B.t.k. HD-73 sequence of Example 3 (lower line) (SEQ ID NO:23) versus the wild-type sequence of B.t.k. HD-73 (upper line).

FIG. 5 represents a plasmid map of intermediate plant

FIG. 6 represents a plasmid map of intermediate plant transformation vector cassette pMON900.

FIG. 7 represents a map for the disarmed T-DNA of A. tumefaciens ACO.

FIGS. 8A-8C illustrate a comparison of the changes in the synthetic truncated *B.t.k.* HD-73 gene (Amino acids 29-615 with an N-terminal Met-Ala) of Example 3 (lower line) (SEQ ID NO:21) versus the wild-type sequence of *B.t.k.* HD-73 (upper line).

FIGS. 9A-9E illustrate a comparison of the changes in the synthetic/wild-type full length B.t.k. HD-73 sequence of Example 3 (lower line) (SEQ ID NO:24) versus the wild-type full-length sequence of B.t.k. HD-73 (upper line).

FIGS. 10A-10E illustrate a comparison of the changes in the synthetic/modified full length B.t.k. HD-73 sequence of Example 3 (lower line) (SEQ ID NO:25) versus the wild-type full-length sequence of B.t.k. HD-73 (upper line).

FIGS. 11A-11E illustrate a comparison of the changes in the fully synthetic full-length *B.t.k.* HD-73 sequence of Example 3 (lower line) (SEQ ID NO:26) versus the wild-type full-length sequence of *B.t.k.* HD-73 (upper line).

FIGS. 12A-12C illustrate a comparison of the changes in the synthetic *B.t.t.* sequence of Example 5 (lower line) versus the wild-type sequence of *B.t.t.* which encodes the crystal protein toxin (upper line).

FIG. 13A-13C illustrates a comparison of the changes in ²⁵ the synthetic *B.t.* P2 sequence of Example 6 (lower line) versus the wild-type sequence of *B.t.k.* HD-1 which encodes the P2 protein toxin (upper line).

FIGS. 14A-14E illustrate a comparison of the changes in the synthetic *B.t. entomocidus* sequence of Example 7 (lower line) versus the wild-type sequence of *B.t. entomocidus* which encodes the Btent protein toxin (upper line).

FIG. 15 illustrates a plasmid map for plant expression cassette vector pMON744.

FIG. 16A-16B illustrate a comparison of the changes in the synthetic potato leaf roll virus (PLRV) coat protein sequence of Example 9 (lower line) versus the wild-type coat protein sequence of PLRV (upper line).

DETAILED DESCRIPTION OF THE INVENTION

The present invention provides a method for preparing synthetic plant genes which genes express their protein product at levels significantly higher than the wild-type 45 genes which were commonly employed in plant transformation heretofore. In another aspect, the present invention also provides novel synthetic plant genes which encode non-plant proteins.

For brevity and clarity of description, the present invention will be primarily described with respect to the preparation of synthetic plant genes which encode the crystal protein toxin of Bacillus thuringiensis (B.t.). Suitable B.t. subspecies include, but are not limited to, B.t. kurstaki HD-1, B.t. kurstaki HD-73, B.t. sotto, B.t. berliner, B.t. 55 thuringiensis, B.t. tolworthi, B.t. dendrolimus, B.t. alesti, B.t. galleriae, B.t. aizawai, B.t. subtoxicus, B.t. entomocidus, B.t. tenebrionis and B.t. san diego. However, those skilled in the art will recognize and it should be understood that the present method may be used to prepare synthetic plant genes 60 which encode non-plant proteins other than the crystal protein toxin of B.t. as well as plant proteins (see for instance, Example 9).

The expression of *B.t.* genes in plants is problematic. Although the expression of *B.t.* genes in plants at insecticidal levels has been reported, this accomplishment has not been straightforward. In particular, the expression of a

full-length lepidopteran specific B.t. gene (comprising DNA from a B.t.k. isolate) has been reported to be unsuccessful in yielding insecticidal levels of expression in some plant species (Vaeck et al., 1987 and Barton et al., 1987).

It has been reported that expression of the full-length gene from B.t.k. HD-1 was detectable in tomato plants but that truncated genes led to a higher frequency of insecticidal plants with an overall higher level of expression. Truncated genes of B.t. berliner also led to a higher frequency of insecticidal plants in tobacco (Vacck et al., 1987). On the other hand, insecticidal plants were provided from lettuce transformants using a full-length gene.

It has also been reported that the full length gene from B.t.k. HD-73 gave some nsecticidal effect in tobacco (Adang et al., 1987). However, the B.t. mRNA detected in these plants was only 1.7 kb compared to the expected 3.7 kb indicating improper expression of the gene. It was suggested that this truncated mRNA was too short to encode a functional truncated toxin, but there must have been a low level of longer mRNA in some plants or no insecticidal activity would have been observed. Others have reported in a publication that they observed a large amount of shorter than expected mRNA from a truncated B.t.k. gene, but some mRNA of the expected size was also observed. In fact, it was suggested that expression of the full length gene is toxic to tobacco callus (Barton et al., 1987).

The above illustrates that lepidopteran type B.t. genes are poorly expressed in plants compared to other chimeric genes previously expressed from the same promoter cassettes.

The expression of B.t.t. in tomato and potato is at levels similar to that of B.t.k. (i.e., poor). B.t.t. and B.t.k. genes share only limited sequence homology, but they share many common features in terms of base composition and the presence of particular A+T rich elements.

All reports in the field have noted the lower than expected expression of B.t. genes in plants. In general, insecticidal efficacy has been measured using insects very sensitive to B.t. toxin such as tobacco hornworm. Although it has been possible to obtain plants totally protected against tobacco hornworm, it is important to note that hornworm is up to 500 fold more sensitive to B.t. toxin than some agronomically important insect pests such as beet armyworm. It is therefore of interest to obtain transgenic plants that are protected against all important lepidopteran pests (or against Colorado potato beetle in the case of B.t. tenebrionis), and in addition to have a level of B.t. expression that provides an additional safety margin over and above the efficacious protection level. It is also important to devise plant genes which function reproducibly from species to species, so that insect resistant plants can be obtained in a predictable fashion.

In order to achieve these goals, it is important to understand the nature of the poorer than expected expression of B.t. genes in plants. The level of stable B.t. mRNA in plants is much lower than expected. That is, compared to other coding sequences driven by the same promoter, the level of B.t. mRNA measured by Northern analysis or nuclease protection experiments is much lower. For example, tomato plant 337 (Fischhoff et al., 1987) was selected as the best expressing plant with pMON9711 which contains the B.t.k. HD-1 KpnI fragment driven by the CaMV 35S promoter and contains the NOS-NPTII-NOS selectable marker gene. In this plant the level of B.t. mRNA is between 100 to 1000 fold lower than the level of NPTII mRNA, even though the 35S promoter is approximately 50-fold stronger than the NOS promoter (Sanders et al., 1987).

The level of B.t. toxin protein detected in plants is consistent with the low level of B.t. mRNA. Moreover, the

insecticidal efficacy of the transgenic plants correlates with the B.t. protein level indicating that the toxin protein produced in plants is biologically active. Therefore, the low level of B.t. toxin expression may be the result of the low levels of B.t. mRNA.

Messenger RNA levels are determined by the rate of synthesis and rate of degradation. It is the balance between these two that determines the steady state level of mRNA. The rate of synthesis has been maximized by the use of the CaMV 35S promoter, a strong constitutive plant expressible promoter. The use of other plant promoters such as nopaline synthase (NOS), mannopine synthase (MAS) and ribulose bisphosphatecarboxylase small subunit (RUBISCO) have not led to dramatic changes in the levels of B.t. toxin protein expression indicating that the effects determining B.t. toxin 15 protein levels are promoter independent. These data imply that the coding sequences of DNA genes encoding B.t. toxin proteins are somehow responsible for the poor expression level, and that this effect is manifested by a low level of accumulated stable mRNA.

Lower than expected levels of mRNA have been observed with four different lepidopteran specific genes (two from B.t.k. HD-1; B.t. berliner and B.t.k. HD-73) as well as the gene from the coleopteran specific B.t. tenebrionis. It appears that for lepidopteran type B.t. genes these effects are 25 manifest more strongly in the full length coding sequences than in the truncated coding sequences. These effects are seen across plant species although their magnitude seems greater in some plant species such as tobacco.

The nature of the coding sequences of B.t. genes distin- 30 guishes them from plant genes as well as many other heterologous genes expressed in plants. In particular, B.t. genes are very rich (~62%) in adenine (A) and thymine (T) while plant genes and most bacterial genes which have been expressed in plants are on the order of 45-55% A+T. The 35 A+T content of the genomes (and thus the genes) of any organism are features of that organism and reflect its evolutionary history. While within any one organism genes have similar A+T content, the A+T content can vary tremendously from organism to organism. For example, some Bacillus 40 species have among the most A+T rich genomes while some Steptomyces species are among the least A+T rich genomes (~30 to 35% A+T).

Due to the degeneracy of the genetic code and the limited number of codon choices for any amino acid, most of the 45 "excess" A+T of the structural coding sequences o some Bacillus species are found in the third position of the codons. That is, genes of some Bacillus species have A or T as the third nucleotide in many codons. Thus A+T content in part can determine codon usage bias. In addition, it is clear that 50 genes evolve for maximum function in the organism in which they evolve. This means that particular nucleotide sequences found in a gene from one organism, where they may play no role except to code for a particular stretch of amino acids, have the potential to be recognized as gene 55 control elements in another oraanism (such as transcriptional promoters or terminators, polyA addition sites, intron splice sites, or specific mRNA degradation signals). It is perhaps surprising that such misread signals are not a more common feature of heterologous gene expression, but this can be 60 explained in part by the relatively homogeneous A+T content (~50%) of many organisms. This A+T content plus the nature of the genetic code put clear constraints on the likliehood of occurence of any particular oligonucleotide is much less likely to contain any particular A+T rich segment than a gene from B. thuringiensis.

As described above, the expression of B.t. toxin protein in plants has been problematic. Although the observations made in other systems described above offer the hope of a means to elevate the expression level of B.t. toxin proteins in plants, the success obtained by the present method is quite unexpected. Indeed, inasmuch as it has been recently reported that expression of the full-length B.t.k. toxin protein in tobacco makes callus tissue necrotic (Barton et al., 1987); one would reasonably expect that high level expression of 10 B.t. toxin protein to be unattainable due to the reported toxicity effects.

In its most rigorous application, the method of the present invention involves the modification of an existing structural coding sequence ("structural gene") which codes for a particular protein by removal of ATTTA sequences and putative polyadenylation signals by site directed mutagenesis of the DNA comprising the structural gene. It is most preferred that substantially all the polyadenylation signals and ATTTA sequences are removed although enhanced expression levels are observed with only partial removal of either of the above identified sequences. Alternately if a synthetic gene is prepared which codes for the expression of the subject protein, codons are selected to avoid the ATTTA sequence and putative polyadenylation signals. For purposes of the present invention putative polyadenylation signals include, but are not necessarily limited to, AATAAA, AATAAT, AACCAA, ATATAA, AATCAA, ATACTA, ATAAAA, ATGAAA, AAGCAT, ATTAAT, ATACAT, AAAATA, ATTAAA, AATTAA, AATACA and CATAAA. In replacing the ATTTA sequences and polyadenylation signals, codons are preferably utilized which avoid the codons which are rarely found in plant genomes.

Another embodiment of the present invention, represented in the flow diagram of FIG. 1, employs a method for the modification of an existing structural gene or alternately the de novo synthesis of a structural gene which method is somewhat less rigorous than the method first described above. Referring to FIG. 1, the selected DNA sequence is scanned to identify regions with greater than four consecutive adenine (A) or thymine (T) nucleotides. The A+T regions are scanned for potential plant polyadenylation signals. Although the absence of five or more consecutive A or T nucleotides eliminates most plant polyadenylation signals, if there are more than one of the minor polyadenylation signals identified within ten nucleotides of each other, then the nucleotide sequence of this region is preferably altered to remove these signals while maintaining the original encoded amino acid sequence.

The second step is to consider the 15 to 30 nucleotide regions surrounding the A+T rich region identified in step one. If the A+T content of the surrounding region is less than 80%, the region should be examined for polyadenylation signals. Alteration of the region based on polyadenylation signals is dependent upon (1) the number of polyadenylation signals present and (2) presence of a major plant polyadenylation signal.

The extended region is examined for the presence of plant polyadenylation signals. The polyadenylation signals are removed by site-directed mutagenesis of the DNA sequence. The extended region is also examined for multiple copies of the ATTTA sequence which are also removed by mutagenesis.

It is also preferred that regions comprising many consequence. Thus, a gene from E. coli with a 50% A+T content 65 secutive A+T bases or G+C bases are disrupted since these regions are predicted to have a higher likelihood to form hairpin structure due to self-complementarity. Therefore,

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insertion of heterogeneous base pairs would reduce the likelihood of self-complementary secondary structure formation which are known to inhibit transcription and/or translation in some organisms. In most cases, the adverse effects may be minimized by using sequences which do not contain more than five consecutive A+T or G+C.

SYNTHETIC OLIGONUCLEOTIDES FOR MUTAGENESIS

The oligonucleotides used in the mutagenesis are designed to maintain the proper amino acid sequence and reading frame and preferably to not introduce common restriction sites such as BglII, HindIII, SacI, KpnI, EcoRI, Ncol, PstI and Sall into the modified gene. These restriction 19 sites are found in multi-Linker insertion sites of cloning vectors such as plasmids pUC118 and pMON7258. Of course, the introduction of new polyadenylation signals, ATTTA sequences or consecutive stretches of more than five A+T or G+C, should also be avoided. The preferred size for 2 the oligonucleotides is around 40-50 bases, but fragments ranging from 18 to 100 bases have been utilized. In most cases, a minimum of 5 to 8 base pairs of homology to the template DNA on both ends of the synthesized fragment are maintained to insure proper hybridization of the primer to 2 the template. The oligonucleotides should avoid sequences longer than five base pairs A+T or G+C. Codons used in the replacement of wild-type codons should preferably avoid the TA or CG doublet wherever possible. Codons are selected from a plant preferred codon table (such as Table I below) so as to avoid codons which are rarely found in plant genomes, and efforts should be made to select codons to preferably adjust the G+C content to about 50%.

TABLE I

	IADLE				
Preferred Codon Usaoe in Plants					
Amino Acid	Codon	Percent Usage in Plants			
ARG	CGA	7			
	CGC	11			
	CGG	5			
	CGU	25			
	AGA	29			
	AGG	23			
LEU	CUA	8			
	CUC	20			
	CUG	10			
	CUU	28			
	UUA	5			
	UUG	30			
SER	UCA	14			
	UCC	26			
	UCG	3			
	UCU	21			
	AGC	21			
	AGU	15			
THR	ACA	21			
	ACC	. 41			
	ACG	7			
	ACU	31			
PRO	CCA	45			
	ccc	19			
	CCG	9			
	CCU	26			
ALA	GCA	. 23			
	GCC	32			
	GCG	3			
	GCU	41			
GLY	GGA	32			
	GGC	20			
	GGG	11			

TABLE I-continued

	in Plants		
5	Amino Acid	Codon	Percent Usage in Plants
		GGU	37
	ILE	AUA	12
		AUC	45
10		AUU	43
	VAL	GUA	9
		GUC	20
		GUG	28
		GUU	43
	LYS	AAA	36
15		AAG	64
	ASN	AAC	72
		AAU	28
	GLN	CAA	64
		CAG	36
		CAC	65
20		CAU	35
20	GLU	GAA	48
		GAG	52
	ASP	GAC	48
		GAU	52
	TYR	UAC	68
		UAU	32
25	CYS	UGC	78
		UGU	22
	PHE	UUC	56
		UUU	44
	MET	AUG	100
30 —	TRP	UGG	100

Regions with many consecutive A+T bases or G+C bases are predicted to have a higher likelihood to rorm hairpin structures due to self-complementarity. Disruption of these regions by the insertion of heterogeneous base pairs is preferred and should reduce the likelihood of the formation of self-complementary secondary structures such as hairpins which are known in some organisms to inhibit transcription (transcriptional terminators) and translation (attenuators). However, it is difficult to credict the biological effect of a potential hairpin forming region.

It is evident to those skilled in the art that while the above description is directed toward the modification of the DNA sequences of wild-type genes, the present method can be 45 used to construct a completely synthetic gene for a given amino acid sequence. Regions with five or more consecutive A+T or G+C nucleotides should be avoided. Codons should be selected avoiding the TA and CG doublets in codons whenever possible. Codon usage can be normalized against 50 a plant preferred codon usage table (such as Table I) and the G+C content preferably adjusted to about 50%. The resulting sequence should be examined to ensure that there are minimal putative plant polyadenylation signals and ATTTA sequences. Restriction sites found in commonly used clon-55 ing vectors are also preferably avoided. However, placement of several unique restriction sites throughout the gene is useful for analysis of gene expression or construction of gene variants.

Plant Gene Construction

The expression of a plant gene which exists in double-stranded DNA form involves transcription of messenger RNA (mRNA) from one strand of the DNA by RNA polymerase enzyme, and the subsequent processing of the mRNA primary transcript inside the nucleus. This processing involves a 3' non-translated region which adds polyadenylate nucleotides to the 3' end of the RNA. Transcription of

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DNA into mRNA is regulated by a region of DNA usually referred to as the "promoter." The promoter region contains a sequence of bases that signals RNA polymerase to associate with the DNA and to initiate the transcription of mRNA using one of the DNA strands as a template to make a 5 corresponding strand of RNA.

A number of promoters which are active in plant cells have been described in the Literature. These include the nopaline synthase (NOS) and octopine synthase (OCS) promoters (which are carried on tumor-inducing plasmids of 10 Agrobacterium tumefaciens), the Cauliflower Mosaic Virus (CaMV) 19S and 35S promoters, the light-inducible promoter from the small subunit of ribulose bis-phosphate carboxylase (ssRUBISCO, a very abundant plant polypeptide) and the mannopine synthase (MAS) promoter 15 (Velten et al. 1984 and Velten & Schell, 1985). All of these promoters have been used to create various types of DNA constructs which have been expressed in plants (see e.g., PCT publication WO84/02913 (Rogers et al., Monsanto).

Promoters which are known or are found to cause tran-20 scriction of RNA in plant cells can be used in the present invention. Such promoters may be obtained from plants or plant viruses and include, but are not limited to, the CaMV35S promoter and promoters isolated from plant genes such as ssRUBISCO genes. As described below, it is preferred that the particular promoter selected should be capable of causing sufficient expression to result in the production of an effective amount of protein.

The promoters used in the DNA constructs (i.e. chimerc plant genes) of the present invention may be modified, if desired, to affect their control characteristics. For example, the CaMV35S promoter may be ligated to the portion of the ssRUBISCO gene that represses the expression of ssRUBISCO in the absence of light, to create a promoter which is active in leaves but not in roots. The resulting chimeric promoter may be used as described herein. For purposes of this description, the phrase "CaMV35S" promoter thus includes variations of CaMV35S promoter, e.g., promoters derived by means of ligation with operator 40 regions, random or controlled mutagenesis, etc. Furthermore, the promoters may be altered to contain multiple "enhancer sequences" to assist in elevating gene expression.

The RNA produced by a DNA construct of the present 45 invention also contains a 5' non-translated leader sequence. This sequence can be derived from the promoter selected to express the gene, and can be specifically modified so as to increase translation of the mRNA. The 5' non-translated able eukaryotic genes, or from a synthetic gene sequence. The present invention is not limited to constructs, as presented in the following examples. Rather, the non-translated leader sequence can be part of the 5' end of the nontranslated region of the coding sequence for the virus coat 55 protein, or part of the promoter sequence, or can be derived from an unrelated promoter or coding sequence. In any case, it is preferred that the sequence flanking the initiation site conform to the translational consensus sequence rules for enhanced translation initiation reported by Kozak (1984).

The DNA construct of the present invention also contains a modified or fully-synthetic structural coding sequence which has been changed to enhance the performance of the gene in plants. In a particular embodiment of the present invention the enhancement method has been applied to 65 design modified and fully synthetic genes encoding the crystal toxin protein of Bacillus thuringiensis. The structural

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genes of the present invention may optionally encode a fusion protein comprising an amino-terminal chloroplast transit peptide or secretory signal sequence (see for instance, Examples 10 and 11).

The DNA construct also contains a 3' non-translated region. The 3' non-translated region contains a polyadenylation signal which functions in plants to cause the addition of polyadenylate nucleotides to the 3' end of the viral RNA. Examples of suitable 3' regions are (1) the 3' transcribed, non-translated regions containing the polyadenylation signal of Agrobacterium tumor-inducing (Ti) plasmid genes, such as the nopaline synthase (NOS) gene, and (2) plant genes like the soybean storage protein (7S) genes and the small subunit of the RuBP carboxylase (E9) gene. An example of a preferred 3' region is that from the 7S gene, described in greater detail in the examples below.

Plant Transformation

A chimeric plant gene containing a structural coding sequence of the present invention can be inserted into the genome of a plant by any suitable method. Suitable plants for use in the practice of the present invention include, but are not limited to, soybean, cotton, alfalfa, oilseed rape, flax, tomato, sugarbeet, sunflower, potato, tobacco, maize, rice and wheat. Suitable plant transformation vectors include those derived from a Ti olasmid of Agrobacterium tumefaciens, as well as those disclosed, e.g., by Herrera-Estrella (1983), Bevan (1983), Klee (1985) and EPO publication 120,516 (Schilperoort et al.). In addition to plant transformation vectors derived from the Ti or root-inducing (Ri) plasmids of Agrobacterzium, alternative methods can be used to insert the DNA constructs of this invention into plant cells. Such methods may involve, for example, the use of liposomes, electroporation, chemicals that increase free DNA uptake, free DNA delivery via microprojectile bombardment, and transformation using viruses or pollen.

A particularly useful Ti plasmid cassette vector for transformation of dicotyledonous plants is shown in FIG. 5. Referring to FIG. 5, the expression cassette pMON893 consists of the enhanced CaMV35S promoter (EN 35S) and the 3' end including polyadenylation signals from a soybean gene encoding the alpha-prime subunit of beta-conglycinin. Between these two elements is a multilinker containing multicle restriction sites or the insertion of genes.

The enhanced CaMV35S promoter was constructed as follows. A fragment of the CaMV35S promoter extending between position -343 and +9 was previously constructed in pUC13 by Odell et al. (1985). This segment contains a region identified by Odell et al. (1985) as being necessary regions can also be obtained from viral RNA's, from suit- 50 for maximal expression of the CaMV35S promoter. It was excised as a ClaI-HindIII fragment, made blunt ended with DNA polymerase I (Klenow fragment) and inserted into the HincII site or pUC18. This ucstream region of the 35S promoter was excised from this plasmid as a HindIII-EcoRV fragment (extending from -343 to -90) and inserted into the same plasmid between the HindIII and PstI sites. The enhanced CaMV35S promoter thus contains a duplication of sequences between -343 and -90 (Kay et al., 1987).

The 3' end of the 7S gene is derived from the 7S gene 60 contained on the clone designated 17.1 (Schuler et al., 1982). This 3' end fragment, which includes the polyadenylation signals, extends from an AvaII site located about 30 bp upstream of the termination codon for the beta-conglycinin aene in clone 17.1 to an EcoRI site located about 450 Up downstream of this termination codon.

The remainder of pMON893 contains a segment of pBR322 which provides an origin of replication in E. coli

and a region for homologous recombination with the disarmed T-DNA in Agrobacterium strain ACO (described below); the oriV region from the broad host range plasmid RK1; the streptonycin/spectinomycin resistance gene from Tn7; and a chimeric NPTII gene, containing the CaMV35S promoter and the nopaline synthase (NOS) 3' end, which provides kanamycin resistance in transformed plant cells.

Referring to FIG. 6, transformation vector plasmid pMON900 is a derivative of pMON893. The enhanced CaMV35S promoter of pMON893 has been replaced with the 1.5 kb mannopine synthase (MAS) promoter (Velten et al. 1984). The other segments are the same as plasmid pMON893. After incorporation of a DNA construct into clasmid vector pMCN893 or pMON900, the intermediate vector is introducer into A. tumefaciens strain ACO which contains a disarmed Ti plasmid. Cointegrate Ti plasmid vectors are selected and used to transform dicotyledonous plants.

Referring to FIG. 7, A. tumefaciens ACO is a disarmed strain similar to pTiB6SE described by Fraley et al. (1985). For construction of ACO the starting Agrobacterium strain was the strain A208 which contains a nopaline-type Ti plasmid. The Ti plasmid was disarmed in a manner similar to that described by Fraley et al. (1985) so that essentially all of the native T-DNA was removed except for the left border and a few hundred base pairs of T-DNA inside the left border. The remainder of the T-DNA extending to a point just beyond the right border was replaced with a novel piece of DNA including (from left to right) a segment of pBR322,

16 EXAMPLE 1

Modified B.t.k. HD-1 Gene

Referring to FIG. 2, the wild-type B.t.k. HD-1 gene is known to be expressed poorly in plants as a full length gene or as a truncated gene. The G+C content of the B.t.k. gene is low (37%) containing many A+T rich regions, potential polyadenylation sites (18 sites; see Table II for the list of sequences) and numerous ATTTA sequences.

TABLE II

4.5	List of Sequences of the Potential Polyadenylation Signals			
15 ——	AATAAA*	AAGCAT		
	AATAAT*	ATTAAT		
	AACCAA	ATACAT		
	ATATAA	AAAATA		
	AATCAA	ATTAAA**		
20	ATACTA	AATTAA**		
20	ATAAAA	AATACA**		
	ATGAAA .	CATAAA**		

^{*}indicates a potential major plant polyadenylation site.

**indicates a potential minor animal polyadenylation site.

All others are potential minor plant polyadenylation sites. Table III lists the synthetic oligonucleotides designed and synthesized for the site-directed mutagenesis of the *B.t.k.* HD-1 gene.

TABLE III

Mutagenesis Primers for B.t.k. HD-1 Gene				
Primer	Length (bp)	Sequence		
BTK185	18	TCCCCAGATA ATATCAAC Sequence ID No. 1		
BTK240	48	GGCTTGATTC CTAGCGAACT		
		CITCGATTCT CIGGTTGATG		
		AGCTGTTC Sequence ID No. 2		
BTK462	54	CAAAACTGAG AGGTGGAGGT		
		TGGCAGCTTG AACGTACACG		
		GAGAGGAGGAAC Sequence ID No. 3		
BTK669	48	AGTTAGTGTA AGCTCTCTTC .		
		TGAACTGGTT GTACCTGATC		
		CAATCTCT Sequence ID No. 4		
BTK930	39	AGCCATGATC TGGTGACCGG		
		ACCAGTAGTA TTCTCCTCT Sequence ID No. 5		
BTK1110	32	AGTTGTTGGT TGTTGATCCC		
		GATGTTAAAA GG Sequence ID No. 6		
BTK1380A	37	GTGATGAAGG GATGATGTTG		
		TTGAACTCAG CACTACG Sequence ID No. 7		
BTK1380T	100	CAGAAGTTCC AGAGCCAAGA		
		TTAGTAGACT TGGTGAGTGG		
		GATTTGGGTG ATTTGTGATG		
	•	AAGGGATGAT GTTGTTGAAC		
		TCAGCACTAC GATGTATCCA Sequence ID No. 8		
BTK1600	27	TGATGTGTGG AACTGAAGGT		
		TTGTGGT Sequence ID No. 9		

the oriV region from plasmid RK2, and the kanamycin resistance gene from Tn601. The pBR322 and oriV segments are similar to the segments in pMON893 and provide a region of homology for cointegrate formation.

The following examples are provided to better elucidate the practice of the present invention and should not be interpreted in any way to limit the scope of the present invention. Those skilled in the art will recognize that various modifications, truncations etc. can be made to the methods 65 and genes described herein while not departing from the spirit and scope of the present invention.

The B.t.k. HD-1 gene (BglII fragment from pMON9921 encoding amino acids 29-607 with a Met-Ala at the N-terminus) was cloned into pMON7258 (pUC118 derivative which contains a BglII site in the multilinker cloning region) at the BglII site resulting in pMON5342. The orientation of the B.t.k. gene was chosen so that the opposite strand (negative strand) was synthesized in filamentous phage particles for the mutagenesis. The procedure of Kunkle (1985) was used for the mutaaenesis using plasmid pMON5342 as starting material.

The regions for mutagenesis were selected in the following manner. All regions of the DNA sequence of the

B.t.k. gene were identified which contained five or more consecutive base pairs which were A or T. These were ranked in terms of length and highest percentage of A+T in the surrounding sequence over a 20-30 base pair region. The DNA was then analysed for regions which might contain polyadenylation sites (see Table II above) or ATTTA sequences. Oligonucleotides were designed which maximized the elimination of A+T consecutive regions which contained one or more polyadenylation sites or ATTTA sequences. Two potential plant polyadenylation sites were 10 rated more critical (see Table II) based on published reports. Codons were selected which increased G+C content, did not generate restriction sites for enzymes useful for cloning and assembly of the modified gene (BamHI, BgIII, Sacd, Ncol, EcoRV) and did not contain the doublets TA or GC which 15 have been reported to be infrequently found in codons in plants. The oligonucleotides were at least 18 bp long ranging up to 100 base pairs and contained at least 5-8 base pairs of direct homology to native sequences at the ends of the fragments for efficient hybridization and priming in site- 20 directed mutagenesis reactions. FIG. 2 compares the wildtype B.t.k. HD-1 gene sequence with the sequence which resulted from the modifications by site-directed mutagen-

The end result of these changes was to increase the G+C 25 content of B.t.k. gene from 37% to 41% while also decreasing the potential plant polyadenyiation sites from 18 to 7 and decreasing the ATTTA regions from 13 to 7. Specifically, the mutagenesis changes from amino (5') terminus to the carboxy (3') terminus are as follows:

BTK185 is an 18-mer used to eliminate a plant polyadenylation site in the midst of a nine base pair region of A+T.

BTK240 is a 48-mer. Seven base pairs were changed by this oligonucleotide to eliminate three potential polyadeny-lation sites (2 AACCAA, 1 AATTAA). Another region close to the region altered by BTK240, starting at bp 312, had a high A+T content (13 of 15 base pairs) and an ATTTA region. However, it did not contain a potential polyadeny-lation site and its longest string of uninterrupted A+T was seven base pairs.

BTK462 is a 54-mer introducing 13 base pair changes. The first six changes were to reduce the A+T richness of the gene by replacing wild-type codons with codons containing G and C while avoiding the CG doublet. The next seven changes made by BTK462 were used to eliminate an A+T rich region (13 of 14 base pairs were A or T) containing two ATTTA regions.

BTK669 is a 48-mer making nine individual base pair changes eliminating three possible polyadenylation sites (ATATAA, AATCAA, and AATTAA) and a single ATTTA 50 site.

BTK930 is a 39-mer designed to increase the G+C content and to eliminate a potential polyadenylation site (AATAAT—a major site). This region did contain a nine base pair region of consecutive A+T sequence. One of the 55 base pair changes was a G to A because a G at this position would have created a G+C rich region (CCGG(G)C). Since sequencing reactions indicate that there can be difficulties generating sequence through G+C consecutive bases, it was thought to be prudent to avoid generating potentially problematic regions even if they were problematic only in vitro.

BTK1110 is a 32-mer designed to introduce five changes in the wild-type gene. One potential site (AATAAT—a major site) was eliminated in the midst of an A+T rich region (19 of 22 base pairs).

BTK1380A and BTK1380T are responsible for 14 individual base pair changes. The first region (1380A) has 17

consecutive A+T base pairs. In this region 43 an ATTTA and a potential polyadenylation site (AATAAT). The 100-mer (1380T) contains all the changes dictated by 1380A. The large size of this primer was in part an experiment to determine if it was feasible to utilize large oligonucleotides for mutagenesis (over 60 bases in length). A second consideration was that the 100-mer was used to mutagenize a template which had previously been mutagenized by 1380A. The original primer ordered to mutagenize the region downstream and adjacent to 1380A did not anneal efficiently to the desired site as indicated by an inability to obtain clean sequence utilizing the primer. The large region of homology of 1380T did assure proper annealing. The extended size of 1380T was more of a convenience rather than a necessity. The second region adjacent to 1380A covered by 1380T has a high A+T content (22 of 29 bases are A or T).

BTK1600 is a 27-mer responsible for five individual base pair changes. An ATTTA region and a plant polyadenylation site were identified and the appropriate changes engineered.

A total of 62 bases were changed by site-directed mutagenesis. The G+C content increased by 55 base pairs, the potential polyadenylation sites were reduced from 18 to seven and the ATTTA sequences decreased from 13 to seven. The changes in the DNA sequence resulted in changes in 55 of the 579 codons in the truncated B.t.k. gene in pMON5342 (approximately 9.5%).

Referring to Table IV modified B.t.k. HD-1 genes were constructed that contained all of the above modifications (pMON5370) or various subsets of individual modifications. These genes were inserted into pMON893 for plant transformation and tobacco plants containing these genes were analyzed. The analysis of tobacco plants with the individual modifications was undertaken for several reasons. Expression of the wild type truncated gene in tobacco is very poor, resulting in infrequent identification of plants toxic to THW. Toxicity is defined by leaf feeding assays as at least 60% mortality of tobacco hornworm neonate larvae with a damage rating of 1 or less (scale is 0 to 4; 0 is equivalent to total protection, 4 total damage). The modified HD-1 gene (pMON5370) shows a large increase in expression (estimated to be approximately 100-fold; see Table VIII) in tobacco. Therefore, increases in expression of the wild-type gene due to indidvidual modifications would be apparently a large increase in the frequency of toxic tobacco plants and the presence of detectable B.t.k. protein. Results are shown in the following table:

TABLE IV

)	Relative effects of Region within the B.t.k.	tions	
Construct	Position Modified	# of Plants	# of Toxic Plants
pMON5370	185,240,669,930,	38	22
,	1110,1380a + b,1600		
pMON10707	185,240,462,669	48	19
pMON10706	930,1110,1380a + b,1600	43	1
pMON10539	185	55	2
pM0N10537	240	57	17
PMON10540	185,240	88	23
pMON10705	462	47	1

The effects of each individual oligonucleotides' changes on expression did reveal some overall trends. Six different constructs were generated which were designed to identify the key regions. The nine different oligonucleotides were divided in half by their position on the gene. Changes in the N-terminal half were incorporated into pMON10707 (185,

240, 462, 669). C-terminal half changes were incorporated into pMON10706 (930, 1110, 1380a+b, 1600). The results of analysis of plants with these two constructs indicate that pMON10707 produces a substantial number of toxic plants (19 of 48). Protein from these plants is detectable by ELISA analysis. pMON10706 plants were rarely identified as insecticidal (1 of 43) and the Levels of B.t.k. were barely detectable by immunological analysis. Investigation of the N-terminal changes in greater detail was done with 4 pMON 10 constructs; 10539 (185 alone), 10537 (240 alone), 10540 (185 and 240) and 10705 (462 alone). The results indicate that the presence of the changes in 240 were required to generate a substantial number of toxic plants (pMON10540; 23 of 88, pMON10537; 17 of 57). The absence of the 240 15 changes resulted in a low frequency of toxic plants with low B.t.k. protein levels, identical to results with the wild type gene. These results indicate that the changes in 240 are responsible for a substantial increase in B.t.k. expression 20 levels over an analogous wild-type construct in tobacco. Changes in additional regions (185, 462, 669) in conjunction with 240 may result in increases in B.t.k. expression (>2 fold). However, changes at the 240 region of the N-terminal cortion of the gene do result in dramatic increases in 25 expression.

Despite the importance of the alteration of the 240 region in expression of modified genes, increased expression can be achieved by alteration of other regions. Hybrid genes, part 30 wild-type, part synthetic, were generated to determine the effects of synthetic gene segments on the levels of B.t.k. expression. A hybrid gene was generated with a synthetic N-terminal third (base pair 1 to 590 of FIG. 2: to the XbaI site) with the C-terminal wild type B.t.k. HD-1 (pMON5378) Plants transformed with this vector were as toxic as plants transformed with the modified HD-1 gene (pMON5370). This is consistent with the alteration of the 240 region. However, pMON10538, a hybrid with a wild- 40 type N-terminal third (wild type gene for the first 600 base pairs, to the second XbaI site) and a synthetic C-terminal last two-thirds (base pair 590 to 1845 of FIG. 3 was used to transform tobacco and resulted in a dramatic increase in expression. The levels of expression do not appear to be as high as those seen with the synthetic gene, but are comparable to the modified gene levels. These results indicate that modification of the 240 segment is not essential to increased expression since pMON10538 has an intact 240 region. A 50 fully synthetic gene is, in most cases, superior for expression levels of B.t.k. (See Example 2.)

EXAMPLE 2

Fully Synthetic B.t.k. HD-1 Gene

A synthetic *B.t.k.* HD-1 aene was designed using the preferred plant codons listed in Table V below. Table V lists the codons and frequency of use in plant genes of dicotyledonous plants compared to the frequency of their use in the wild type *B.t.k.* HD-1 gene (amino acids 1–615) and the synthetic gene of this example. The total number of each amino acid in this segment of the gene is listed in the parenthesis under the amino acid designated.

TABLE V

	Codon in Usage Synthetic B.t.k. HD-1 Gene				
5	Amino Acid	Codon		Percent Usage in Plants/Wt B.t.k./Syn	
	ARG	CGA	7	11	2
	(43)	CGC	11	5	5
	()	CGG	5	2	Ō
10		CGU	25	14	27
		AGA	29	55	41
		AGG	23	14	25
	LEU	CUA	8	16	4
	(49)	CUC	20	0	20
		CUG	10	2	6
15		CUU	28	22	24
		UUA	5	• 50	0
	SER	UUG UCA	30 14	10 27	45 5
	(64)	UCC	26	9	28
	(04)	UCG	3	8	0
		UCU	21	19	31
20		AGC	21	6	32
		AGU	15	31	5
	THR	ACA	21	31	14
	(42)	ACC	41	19	53
		ACG	7	14	0
25	P.P.O.	ACU	31	36	33
23	PRO	CCA	45	35	53
	(34)	CCC	19 9	6 21	12 3
		CCU	26	38	32
	ALA	GCA	23	38	26
	(31)	GCC	32	9	29
30	\ >	GCG	3	3	. 0
		GCU	41	50	45
	GLY	GGA	32	52	45
	(46)	GGC	20	17	15
		GGG	11	15	6
		GGU	37	15	34
35	ILE (46)	AUA AUC	12 45	39 11	2 67
	(40)	AUU	43	50	30
	VAL	GUA	9	45	3
	(38)	GUC	20	5	16
	` '	GUG	28	11	37
40		GUU	43	39	45
40	LYS	AAA	36	100	33
	(3)	AAG	64	0	67
	ASN	AAC	72	27	80
	(44) GLN	AAU CAA	28 64	73 77	20 61
	(31)	CAA	94 36	23	39
45	HIS	CAC	65	0	80
	(10)	CAU	35	100	20
	ĞLÜ	GAA	48	87	50
	(30)	GAG	52	13	50
	ASP	GAC	48	17	65
	(23)	GAU	52	83	35
50	TYR	UAC	68	20	72 20
	(25) CVS	UAU	32 79	80 50	28
	CYS	UGC UGU	78 22	50 . 50	100 0
	(2) PHE	UUC	56	50 17	83
	(36)	บบบ	44	83	17
55	MET	AUG	100	100	100
33	(9)		•		
	TRP	UGG	100	100	100
	(9)				

The resulting synthetic gene lacks ATTTA sequences, contains only one potential polyadenylation site and has a G+C content of 48.5%. FIG. 3 is a comparison of the wild-type HD-1 sequence to the synthetic gene sequence for amino acids 1-615. There is approximately 77% DNA homology between the synthetic gene and the wild-type gene and 356 of the 615 codons have been changed (approximately 60%).

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Synthetic B.t.k. HD-73 Gene

The crystal protein toxin from B.t.k. HD-73 exhibits a higher unit activity against some important agricultural pests. The toxin protein of HD-1 and HD-73 exhibit substantial homology (~90%) in the N-terminal 450 amino acids, but differ substantially in the amino acid region 451-615. Fusion proteins comprising amino acids 1-450 of HD-1 and 451-615 of HD-73 exhibit the insecticidal properties of the wild-type HD-73. The strategy employed was to use the 5'-two thirds of the synthetic HD-1 gene (first 1350 bases, up to the SacI site) and to dramatically modify the final 590 bases (through amino acid 645) of the HD-73 in a manner consistent with the algorithm used to design the 15 synthetic HD-1 gene. Table VI below lists the oligonucleotides used to nodify the HD-73 gene in the order used in the gene from 5' to 3' end. Nine oliconucleotides were used in a 590 base pair region, each nucleotide ranging in size from 33 to 60 bases. The only regions left unchanged were areas 20 where there were no long consecutive strings of A or T bases (longer than six). All polyadenylation sites and ATTTA sites were eliminated.

TABLE VI

Primer	Length	Sequence		
r i tili te i	(bp)	Sequence		_
73K1363	51	AATACTATCG	GATGCGATGA	
		TGTTGTTGAA	CTCAGCACTA	
		CGGTGTATCC	A Sequence ID No. 10	
73K1437	33	TCCTGAAATG	ACAGAACCGT	
		TGAAGAGAAA	GTT Sequence ID No. 11	
73K1471	48	ATTTCCACTG	CTGTTGAGTC	
		TAACGAGGTC	TCCACCAGTG	
		AATCCTGG	Sequence ID No. 12	
73K1561	60	GTGAATAGGG	GTCACAGAAG	
		CATACCTCAC	ACGAACTCTA	
		TATCTGGTAG	ATGTTGGATGG	
			Sequence ID No. 13	
73K1642	33	TGTACCTGGA	ACTGTATTGG	
		AGAAGATGGA	TGA Sequence ID No. 14	
73K1675	48	TTCAAAGTAA	CCGAAATCGC	
		TGGATTGGAG	ATTATCCAAG	
		GAGGTAGC	Sequence ID No. 15	
73K1741	39	ACTAAAGTTT	CTAACACCCA	
		CGATGTTACC	GAGTGAAGA	
73K1797	36	AACTGGAATG	AACTCGAATC	
		TGTCGATAAT	CACTCC	
			Sequence ID No. 16	
73KTERM	54	GGACACTAGA	TCTTAGTGAT	
		AATCGGTCAC	ATTTGTCTTG	
		AGTCCAAGCT	CGTT	

The resulting gene has two potential polyadenyiation sites (compared to 18 in the WT) and no ATTTA sequence (12 in the WT). The G+C content has increased from 37% to 48%. A total of 59 individual base pair changes were made using 55 the primers in Table VI. Overall, there is 90% DNA homology between the region of the HD-73 gene modified by site directed mutagenesis and the wild-type sequence of the analogous region of HD-73. The synthetic HD-73 is a hybrid of the first 1360 bases from the synthetic HD-1 and the next 60 590 bases or so modified HD-73 sequence. FIG. 4 is a comparison of the above-described synthetic B.t.k. HD-73 and the wild-type B.t.k. HD-73 encoding amino acids 1-645. In the modified region of the HD-73 gene 44 of the 170 codons (25%) were changed as a result of the site-directed 65 mutagenesis changes resulting from the oligonucleotides found in Table VI. Overall, approximately 50% of the

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codons in the synthetic B.t.k. HD-73 differ from the analogous segment of the wild-type and HD-73 gene.

A one base pair deletion in the synthetic HD-73 gene was detected in the course of sequencing the 3' end at base pair 1890. This results in a frame-shift mutation at amino acid 625 with a premature stop codon at amino acid 640 (pMON5379). Table VII below compares the codon usage of the wild-type gene of B.t.k. HD-73 versus the synthetic gene of this example for amino acids 451-645 and codon usage of naturally occurring genes of dicotyledonous plants. The total number of each amino acid encoded in this segment of the gene is round in the parentheses under the amino acid designation.

TABLE VII

Codon Usage in Synthetic B.t.k. HD-73 Gene

Amino Acid	Codon		rcent Usage s/Wt HD-73	
ARG	CGA	7	10	0
(10)	CGC	11	0	8
	CGG	5	10	0
	CGU	25	20	23
	AGA	29	60	62
	AGG	23	0	8
LEU	CUA	8	25	8
(12)	CUC	20	17	58
	CUG	10	17	8
	CUU	28	8	0
	UUA	5	33	8
CED	UUG	30 14	0 24	17
SER (21)	UCA UCC	26	10	18 27
(21)	UCG	3	10	0
	UCU	21	24	18
	AGC	21	0	14
	AGU	15	33	23
THR	ACA	21	47	38
(15)	ACC	41	3	31
\ /	ACG	7	13	0
	ACU	31	27	31
PRO	CCA	45	71	71
(7)	ccc	19	0	0
	CCG	9	4	0
	CCU	26	14	29
ALA	GCA	23	29	31
(14)	GCC	32	7	8
	GCG	3	21	15
	GCU	41	43	46
GLY	GGA	32	33	43
(15)	GGC	20	0	0
	GGG GGU	11 37	27 40	14 43
ILE	AUA	12	33	7
(15)	AUC	45	7	40
(13)	AUU	43	, 60	53
VAL	GUA	9	40	7
(15)	GUC	20	Ō	7
\ /	GUG	28	20	36
	GUU	43	40	50
LYS	AAA	36	67	100
(3)	AAG	64	33	0
ASN	AAC	72	20	53
(20)	AAU	28	80	47
GLN	CAA	64	60	67
(5)	CAG	36	40	. 33
HIS	CAC	65	67	100
(3)	CAU	35	33	0
GLU	GAA	48 53	86	57
(7) ASP	GAG GAC	52 48	14 40	43 50
ASP (5)	GAU	48 52	40 60	50 50
TYR	UAC	68	0	20
(5)	UAU	32	100	80
CYS	UGC	78	0	0
(0)	UGU	22	ŏ	ŏ
\ =/	- -		-	_

TABLE VII-continued

Amino Acid	Codon		rcent Usage ts/Wt HD-73	
PHE	UUC	56	8	67
(13)	UUU	44	92	33
МÉТ (2)	AUG	100	100	100
TŔP (2)	UGG	100	100	100

Another truncated synthetic HD-73 gene was constructed. The sequence of this synthetic HD-73 gene is identical to 15 that of the above synthetic HD-73 gene in the region in which they overlap (amino acids 29–615), and it also encodes Met-Ala at the N-terminus. FIG. 8 shows a comparison of this truncated synthetic HD-73 gene with the N-terminal Met-Ala versus the wild-type HD-73 gene.

While the previous examples have been directed at the preparation of synthetic and modified genes encoding truncated B.t.k. proteins, synthetic or modified genes can also be prepared which encode full length toxin proteins.

One full length B.t.k. gene consists of the synthetic HD-73 sequence of FIG. 4 from nucleotide 1–1845 plus wild-type HD-73 sequence encoding amino acids 616 to the C-terminus of the native protein. FIG. 9 shows a comparison of this synthetic/wild-type full length HD-73 gene versus the wild-type full length HD-73 gene.

Another full length B.t.k. gene consists of the synthetic HD-73 sequence of FIG. 4 from nucleotide 1–1845 plus a modified HD-73 sequence ending amino acids 616 to the C-terminus of the native protein. The C-terminal portion has been modified by site-directed mutagenesis to remove putative polyadenylation signals and ATTTA sequences according to the algorithm of FIG. 1. FIG. 10 shows a comparison of this synthetic/modified full length HD-73 gene versus the wild-type full length HD-73 gene.

Another full length B.t.k. gene consists of a fully synthetic HD-73 sequence which incorporates the synthetic HD-73 sequence of FIG. 4 from nucleotide 1–1845 plus a synthetic sequence encoding amino acids 616 to the C-terminus of the native protein. The C-terminal synthetic portion has been 45 designed to eliminate putative polyadenylation signals and ATTTA sequences and to include plant preferred codons. FIG. 11 shows a comparison of this fully synthetic full length HD-73 gene versus the wild-type full length HD-73 gene.

Alternatively, another full length *B.t.k.* gene consists of a fully synthetic sequence comprising base pairs 1–1830 of *B.t.k.* HD-1 (FIG. 3) and base pairs 1834–3534 of *B.t.k.* HD-73 (FIG. 11) (SEQ ID NO:27).

EXAMPLE 4

Expression of Modified and Synthetic B.t.k. HD-1 and Synthetic HD-73

A number of plant transformation vectors for the expression of B.t.k. genes were constructed by incorporating the structural coding sequences of the previously described genes Into plant transformation cassette vector pMON893. The respective intermediate transformation vector is nserted into a suitable disarmed Agrobacterium vector such as A. 65 tumefaciens ACO, supra. Tissue explants are cocultured with the disarmed Agrobacterium vector and plants regen-

erated under selection for kanamyc n resistance using known protocols: tobacco (Horsch et al., 1985); tomato (McCormick et al., 1986) and cotton (Trolinder et al., 1987). a) Tobacco.

The level of B.t.k. HD-1 protein in transgenic tobacco plants containing pMON9921 (wild type truncated), pMON5370 (modified HD-1, Example 1, FIG. 2) and pMON5377 (synthetic HD-1, Example 2, FIG. 3) were analyzed by Western analysis. Leaf tissue was frozen in liquid nitrogen, ground to a fine powder and then ground in a 1:2 (wt:volume) of SDS-PAGE sample buffer. Samples were frozen on dry ice, then incubated for 10 minutes in a boiling water bath and microfuged for 10 minutes. The protein concentration of the supernatant was determined by the method of Bradford (Anal. Biochem. 72:248-254). Fifty ug of protein was run per lane on 9% SDS-PAGE gels, the protein transferred to nitrocellulose and the B.t.k. HD-1 protein visualized using antibodies produced against B.t.k. HD-1 protein as the primary antibody and alkaline phosphatase conjugated second antibody as described by the manufacturer (Promega, Madison, Wis.) Purified HD-1 tryptic fragment was used as the control. Whereas the B.t.k. protein from tobacco plants containing pMON9921 was below the level of detection, the B.t.k. protein from plants containing the modified (pMON5370) and synthetic (pMON5377) genes was easily detected. The B.t.k. protein from plants containing pMON9921 remained undetectable, even with 10 sold longer incubation times. The relative levels of B.t.k. HD-1 protein in these plants is estimated in Table VIII. Because the protein from plants containing pMON9921 was not observed, the level of protein in these plants was estimated from the relative mRNA levels (see below). Plants containing the modified gene (pMON5370) expressed approximately 100 fold more B.t.k. protein than plants containing the wild-type gene (pMON9921). Plants containing the fully synthetic B.t.k. HD-1 gene (pMON5377) expressed approximately five fold more protein than plants containing the modified gene. The modified gene contributes the majority of the increase in B.t.k. expression observed. The plants used to generate the above data are the best representatives from each construct based either on a tobacco hornworm bioassay or on data derived from previous Western analysis.

TABLE VIII

	Expres	Expression of B.t.k. HD-1 Protein in Transgenic Tobacco				
_	Gene Description Vector		B.t.k. Protein* Concentration	Fold Increase in B.t.k. Expression		
0	Wild type	pMON9921	10	1		
	Modified	pMON5370	1000	100		
	Synthetic	pMON5377	5000	500		

*B.t.k protein concentrations are expressed in ng/mg of total soluble protein.

The level of B.t.k protein for plants containing the wild type gene are estimated from mRNA levels.

Plants containing these genes were tested for bioactivity to determine whether the increased quantities of protein observed by Western analysis result in a corresponding increase in bioactivity. Leaves from the same plants used for the Western data in Table 1 were tested for bioactivity against two insects. A detacned leaf bioassay was first done using tobacco hornworm, an extremely sensitive lepidopteran insect. Leaves from all three transgenic tobacco plants were totally protected and 100% mortality of tobacco hornworm observed (see Table IX below). A much less sensitive insect, beet armyworm, was then used in another

detached leaf bioassay. Beet armyworm is approximately 500 fold less sensitive to B.t.k. HD-1 protein than tobacco hornworm. The difference in sensitivity of these two insects was determined using purified HD-1 protein in a diet incorporation assay (see below). Plants containing the wild-type gene (pMON9921) showed only minimal protection against beet armyworm, whereas plants containing the modified gene showed almost complete protection and plants containing the fully synthetic gene were totally protected against beet armyworm damage. The results of these bioassays confirm the levels of B.t.k. HD-1 expression observed in the Western analysis and demonstrates that the increased levels of B.t.k. HD-1 protein correlates with increased insecticidal activity.

TABLE IX

Protection of Tobacco Plants from
Tobacco Hornworm and Beet Armyworm

Gene Description	Vector	Tobacco Hornworm Damage*	Beet Armyworm Damage*
None	None	NL	NL
Wild type	pMON9921	0	3
Modified	pMON5370	0	1
Synthetic	pMON5377	0	0

*Extent of insect damage was rated: 0, no damage; 1, slight; 2, moderate; 3, severe; or NL, no leaf left.

The bioactivity of the B.t.k. HD-1 protein produced by these transgenic plants was further investigated to more accurately quantitate the relative activities. Leaf tissue from 30 tobacco plants containing the wild-type, modified and synthetic genes were ground in 100 mM sodium carbonate buffer, pH 10 at a 1:2 (wt:vol) ratio. Particulate material was removed by centrifugation. The supernatant was incorporated into a synthetic diet similar to that described by 35 Marrone et al. (1985). The diet medium was prepared the day of the test with the plant extract solutions incorporated in place of the 20% water component. One ml of the diet was aliquoted into 96 well plates.

After the diet dried, one neonate tobacco budworm larva 40 was added to each well. Sixteen insects were tested with each plant sample. The plants were incubated at 27° C. After seven days, the larvae from each treatment were combined and weighed on an analytical balance. The average weight per insect was calculated and compared to a standard curve 45 relating B.t.k. protein concentrations to average larval weight. Insect weight was inversely proportional (in a logarithmic manner) to the relative increase in B.t.k. protein concentration. The amount of B.t.k. HD-1 protein, based on the extent of larval growth inhibition was determined for 50 B.t.k. HD-73 was undetected in extracts of tobacco plants two different plants containing each of the three genes. The specific activity (ng of B.t.k. HD-1 per mg of plant protein) was determined for each plant. Plants containing the modified HD-1 gene (pMON5370) averaged approximately 1400 ng (1200 and 1600 ng) of B.t.k. HD-1 per mg of plant extract 55 protein. This value compares closely with the 1000 ng of B.t.k. HD-1 protein per mg of plant extract protein as determined by Western analysis (Table I). B.t.k. HD-1 concentrations for the plants containing the synthetic HD-1 gene averaged approximately 8200 ng (7200 and 9200 ng) of 60 HD-73 protein. These extra amino acids had no effect on B.t.k. HD-1 protein per mg of plant extract protein. This number compares well to the 5000 ng of HD-1 protein per mg of plant extract protein estimated by Western analysis. Likewise, plants containing the synthetic gene showed approximately a six-fold higher specific activity than the corresponding plants containing the modified gene for these bioassays. In the Western analysis the ratio was approxi-

mately 10 fold, again both are in good agreement. The level of B.t.k. protein in plants containing the wild-type HD-1 gene (pMON9921) was too low to give a significant decrease in larval weight and hence was below a level that could be quantitated in this assay. In conclusion, the levels of B.t.k. HD-1 protein determined by both the bioassays and the Western analysis for these plants containing the modified and synthetic genes agree, which demonstrates that the B.t.k. HD-1 protein produced by these plants is biologically active.

The levels of mRNA were determined in the plants containing the wild-type B.t.k. HD-1 gene (pMON9921) and the modified gene (pMON5370) to establish whether the increased levels of protein production result from increased transcription or translation. mRNA from plants containing the synthetic gene could not be analyzed directly with the 15 same DNA probe as used for the wild-type and modified genes because of the numerous changes made in the coding sequence. mRNA was isolated and hybridized with a sinaoe stranded DNA probe homologous to approximately the 5' 90 bp of the wild-type or modified gene coding sequences. The hybrids were digested with S1 nuclease and the protected probe fragments analyzed by gel electrophoresis. Because the procedure used a large excess of probe and long hybridization time, the amount of protected probe is proportional to the amount of B.t.k. mRNA present in the sample. Two plants expressing the modified gene (pMON5370) were found to produce up to ten-fold more RNA than a plant expressing the wild-type gene (pMON9921).

The increased mRNA level from the modified gene is consistent with the result expected from the modifications introduced into this gene. However, this 10 fold increase in mRNA with the modified gene compared to the wild-type gene is in contrast to the 100 fold increase in B.t.k. protein from these genes in tobacco plants. If the two mRNAs were equally well translated then a 10 fold increase in stable mRNA would be expected to yield a 10 fold increase in protein. The higher increase in protein indicates that the modified gene mRNA is translated at about a fold higher efficiency than wild-type. Thus, about half of the total effect on gene expression can be explained by changes in mRNA levels and about half to changes in translational efficiency. This increase in translational efficiency is striking in that only about 9.5% of the codons have been changed in the modified gene; that is, this effect is clearly not due to wholesale codon usage changes. The increased translational efficiency could be due to changes in mRNA secondary structure that affect translation or to the removal of specific translational blockades due to specific codons that were

The increased expression seen with the synthetic HD-1 gene was also seen with a synthetic HD-73 gene in tobacco. containing the wild-type truncated HD-73 gene (pMON5367), whereas B.t.k. HD-73 protein was easily detected in extracts from tobacco plants containing the synthetic HD-73 gene of FIG. 4 (pMON5383). Approximately 1000 ng of B.t.k. HD-73 protein was detected per mg of total soluble plant protein.

As described in Example 3 above, the B.t.k. HD-73 protein encoded in pMON5383 contains a small C-terminal extension of amino acids not encoded in the wild-type insect toxicity or on increased plant expression. A second synthetic HD-73 gene was constructed as described in Example 3 (FIG. 8) and used to transform tobacco (pMON5390). Analysis of plants containing pMON5390 showed that this gene was expressed at levels comparable to that of pMON5383 and that these plants had similar insecticidal efficacy.

In tobacco plants the synthetic HD-1 gene was expressed at approximately a 5-fold higher level than the synthetic HD-73 gene. However, this synthetic HD-73 gene still was expressed at least 100-fold better than the wild-type HD-73 gene. The HD-73 protein is approximately 5-fold more toxic to many insect pests than the HD-1 protein, so both synthetic HD-1 and HD-73 genes provide approximately comparable insecticidal efficacy in tobacco.

The full length $\dot{B}.t.k$. HD-73 genes described in Example 3 were also incorporated into the plant transformation vector 10 pMON893 so that they were expressed from the En 35S promoter. The synthetic/wild-type full length HD-73 gene of FIG. 9 was incorporated into pMON893 to create pMON10505. The synthetic/modified full length HD-73 gene of FIG. 10 was incorporated into pMON893 to create pMON10526. The fully synthetic HD-73 gene of FIG. 11 was incorporated into pMON893 to create pMON10518. These vectors were used to obtain transformed tobacco plants, and the plants were analyzed for insecticidal efficacy and for B.t.k. HD-73 protein levels by Western blot or 20 ELISA immunoassay.

Tobacco plants containing all three of these full length B.t.k. genes produced detectable B.t.k. protein and showed 100% mortality of tobacco hornworm. This result is surprising in light of previous reported attempts to express the full 25 length B.t.k. genes in transgenic plants. Vaeck et al. (1987) reported that a full length B.t.k. berliner gene similar to our HD-1 gene could not be detectably expressed in tobacco. Barton et al. (1987) reported a similar result for another full length gene from B.t.k. HD-1 (the so called 4.5 kb gene), and 30 further indicated that tobacco callus containing this gene became necrotic, indicating that the full length gene product was toxic to plant cells. Fischhoff et al. (1987) reported that the full length B.t.k. HD-1 gene in tomato was poorly expressed compared to a truncated gene, and no plants that 35 were fully toxic to tobacco hornworm could be recovered. All three of the above reports indicated much higher expression levels and recovery of toxic plants if the respective B.t.k. genes were truncated. Adang et al. reported that the full length HD-73 gene yielded a few tobacco plants with some biological activity (none were highly toxic) against hornworm and barely detectable B.t.k. protein. It was also noted by them that the major B.t.k. mRNA in these plants was a truncated 1.7 kb species that would not encode a functional toxin. This indicated improper expression of the 45 gene in tobacco. In contrast to all of these reports, the three full length B.t.k. HD-73 genes described above all lead to relatively high levels of protein and high levels of insect toxicity.

B.t.k. zrotein and mRNA levels in tobacco plants are 50 shown in Table X for these three vectors. As can be seen from the table, the synthetic/wild-type gene (pMON10506) produces B.t.k. protein as about 0.01% of total soluble protein; the synthetic/modified gene produces B.t.k. as about 0.02% of total soluble protein; and the fully synthetic gene 55 produces B.t.k. as about 0.2% of total soluble protein. B.t.k. mRNA was analyzed in these plants by Northern blot analysis using the common 5' synthetic half of the genes as a probe. As shown in Table X, the increased protein levels can Largely be attributed to increased mRNA levels. Com- 60 pared to the truncated modified and synthetic cenes, this could indicate that the major contributors to increased translational efficiency are in the 5' half of the gene while the 3' half of the gene contains mostly determinants of mRNA stability. The increased protein levels also indicate that increasing the amount of the full length gene that is synthetic or modified increases B.t.k. protein levels. Compared to the

truncated synthetic B.t.k. HD-73 genes (pMON5383 or pMON5390), the fully synthetic aene (pMON10518) produces as much or slightly more B.t.k. protein demonstrating that the full length genes are capable of being expressed at high levels in plants. These tobacco plants with high levels of full length HD-73 protein show no evidence of abnormality and are fully fertile. The B.t.k. protein levels in these plants also produce the expected levels of insect toxicity based on feeding studies with beet armyworm or diet incorporation assays of plant extracts with tobacco budworm. The B.t.k. protein detected by Western blot analysis in these tobacco plants often contains a varying amount of protein of about 80 kDa which is apparently a proteolytic fragment of the full length protein. The C-terminal half of the full length protein is known to be proteolytically sensitive, and similar proteolytic fragments are seen from the full length gene in E. coli and B.t. itself. These fragments are fully insecticidal. The Northern analysis indicated that essentially all of the mRNA from these full length genes was of the expected full length size. There is no evidence of truncated mRNAs that could give rise to the 80 kDa protein fragment. In addition, it is possible that the fragment Is not present in intact plant cells and is merely due to proteolysis during extraction for immunoassay.

TABLE X

Full Leneth B.t.k. HD-73 Protein and mRNA Levels in Transgenic Tobacco Plants

Gene description	Vector	B.t.k. protein concentration	Relative B.t.k. mRNA level
Synthetic/wild type	pMON10506	>100	0.5
Synthetic/modified	pMON10526	400	1
Fully synthetic	pMON10518	>2000	40

Thus, there is no serious impediment to producing high levels of B.t.k. HD-73 protein in plants from synthetic genes, and this is expected to be true of other full length lepidopteran active genes such as B.t.k. HD-1 or B.t. entomocidus. The fully synthetic B.t.k. HD-1 gene of Example 3 has been assembled in plant transformation vectors such as pMON893.

The fully synthetic gene in pMON10518 was also utilized in another plant vector and analyzed in tobacco plants. Although the CaMV35S promoter is generally a high level constitutive promoter in most plant tissues, the expression level of genes driven the CaMV35S promoter is low in floral tissue relative to the levels seen in leaf tissue. Because the economically important targets damaged by some insects are the floral parts or derived from floral parts (e.g., cotton squares and bolls, tobacco buds, tomato buds and fruit), it may be advantageous to increase the expression of B.t. protein in these tissues over that obtained with the CaMV35S promoter.

The 35S promoter of Figwort Mosaic Virus (FMV) is analogous to the CaMV35S promoter. This promoter has been isolated and engineered into a plant transformation vector analogous to pMON893. Relative to the CaMV promoter, the FMV 35S promoter is highly expressed in the floral tissue, while still providing similar high levels of gene expression in other tissues such as leaf. A plant transformation vector, pMON10517, was constructed in which the full length synthetic B.t.k. HD-73 gene of FIG. 11 was driven by the FMV 35S promoter. This vector is identical to pMON10518 of Example 3 except that the FMV promoter is substituted for the CaMV promoter. Tobacco plants transformed with pMON10517 and pMON10518 were obtained

and compared for expression of the B.t.k. protein by Western blot or ELISA immunoassay in leaf and floral tissue. This analysis showed that pMON10517 containing the FMV promoter expressed the full length HD-73 protein at higher levels in floral tissue than pMC10518 containing the CaMV promoter. Expression of the full length B.t.k. HD-73 protein from pMON10517 in leaf tissue is comparable to that seen with the most highly expressing plants containing pMON10518. However, when floral tissue was analyzed, tobacco plants containing pMON10518 that had high levels of B.t.k. protein in leaf tissue did not have detectable B.t.k. protein in the flowers. On the other hand, flowers of tobacco plants containing pMON10517 had levels of B.t.k. protein nearly as high as the levels in leaves at approximately 0.05% of total soluble protein. This analysis showed that the FMV promoter could be used to produce relatively high levels of B.t.k. protein in floral tissue compared to the CaMV promoter.

b) Tomato.

The wild-type, modified and synthetic B.t.k. HD-1 genes tested in tobacco were introduced into other plants to demonstrate the broad utility of this invention. Transgenic tomatoes were produced which contain these three genes. Data show that the increased expression observed with the modified and synthetic gene in tobacco also extends to tomato. Whereas the B.t.k. HD-1 protein is only barely detectable in plants containing the wild type HD-1 gene (pMON9921), B.t.k. HD-1 was readily detected and the levels determined for plants containing the modified (pMON5370) or synthetic (pMON5377) genes. Expression levels for the plants containing the wild-type, modified and synthetic HD-1 genes were approximately 10, 100 and 500 ng per mg of total plant extract see Table XI below). The 35 Increase in B.t.k. HD-1 protein for the modified gene accounted for the majority or increase observed; 10 fold higher than the plants containing the wild-type gene, compared to only an additional five-fold increase for plants containing the synthetic gene. Again the site-directed 40 changes made in the modified gene are the mdajor contributors to the increased expression of B.t.k. HD-1.

TABLE XI

Gene Description	Vector	B.t.k. Protein* Concentration	Fold Increase in B.t.k. Expression
Wild type	pMON9921	10	1
Modified	pMON5370	100	10
Synthetic	pMON5377	500	50

*B.t.k. HD-1 protein concentrations are expressed in ng/mg of total soluble plant protein. Data for plants containing the wild-type gene are estimates from mRNA levels and protein levels determined by ELISA.

These differences in B.t.k. HD-1 expression were confirmed with bioassays against tobacco hornworm and beet armyworm. Leaves from tomato plants containing each of these genes controlled tobacco hornworm damage and produced 100% mortality. With beet armyworm, leaves from plants containing the wild-type HD-1 gene (pMON9921) showed significant damage, leaves from plants containing the modified gene (pMON5370) showed less damage and leaves from plants containing the synthetic aene 65 (pMON5377) were completely protected (see Table XII below)

TABLE XII

Protection of Tomato Plants from
Tobacco Hornworm and Beet Armyworm

Gene Description	Vector	Tobacco Hornworm Damage*	Beet Armyworm Damage*
None	None	NL	NL
Wild type	pMON9921	0	3
Modified	pMON5370	0	1
Synthetic	pMON5377	0	0

*Damage was rated as shown in Table IX.

The generality of the synthetic gene approach was 15 extended in tomato with a synthetic B.t.k. HD-73 gene.

In tomato, extracts from plants containing the wild-type truncated HD-73 gene (pMON5367) showed no detectable HD-73 protein. Extracts from plants containing the synthetic HD-73 gene (pMON5383) showed high levels of *B.t.k.* HD-73 protein, approximately 2000 ng per mg of plant extract protein. These data clearly demonstrate that the changes made in the synthetic HD-73 gene lead to dramatic increases in the expression of the HD-73 protein in tomato as well as in tobacco

In contrast to tobacco, the synthetic HD-73 gene in tomato is expressed at approximately 4-fold to 5-fold higher levels than the synthetic HD-1 gene. Because the HD-73 protein is about 5-fold more active than the HD-1 protein against many insect pests including Heliothis species, the increased expression of synthetic HD-73 compared to synthetic HD-1 corresponds to about a 25-fold increased insecticidal efficacy in tomato.

In order to determine the mechanisms involved in the increased expression of modified and synthetic B.t.k. HD-1 genes in tomato, S1 nuclease analysis of mRNA levels from transformed tomato plants was performed. As indicated above, a similar analysis had been performed with tobacco plants, and this analysis showed that the modified gene produced up to 10-fold more mRNA than the wild-type gene. The analysis in tomato utilized a different DNA probe that allowed the analysis of wild-type (pMON9921), modified (pMON5370) and synthetic (pMON5377) HD-1 genes with the same probe. This probe was derived from the 5' untranslated region of the CaMV35S promoter in pMON893 that was common to all three of these vectors (pMON9921, pMON5370 and pMON5377). This S1 analysis indicated that B.t.k. mRNA levels from the modified gene were 3 to 5 fold higher than for the wild-type gene, and that mRNA levels for the synthetic gene were about 2 to 3 fold higher than for the modified gene. Three independent transformants were analyzed for each gene. Compared to the fold increases in B.t.k. HD-1 protein from these genes in tomato shown in Table XI, these mRNA increases can explain about half of the total protein increase as was seen in tobacco for the wild-type and modified genes. For tomato the total mRNA increase from wild-type to synthetic is about 6 to 15 fold compared to a protein increase of about 50 fold. This result is similar to that seen for tobacco in comparing the wild-type and modified genes, and it extends to the synthetic gene as well. That is, about half of the total fold increase in B.t.k. protein from wild-type to modified genes can be explained by mRNA increases and about half to enhanced translational efficiency. The same is also true in comparing the modified gene to the synthetic gene. Although there is an additional increase in RNA levels, this mRNA increase can explain only about half of the total protein increase.

The full length B.t.k. genes described above were also used to transform tomato plants and these plants were

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analyzed for B.t.k. protein and insecticidal efficacy. The results of this analysis are shown in Table XIII. Plants containing the synthetic/wild-type gene (pMON10506) produce the B.t.k. HD-73 protein at levels of about 0.01% of their total soluble protein.

Plants containing the synthetic/modified gene (pMON10526) produce about 0.04% B.t.k. protein, and plants containing the fully synthetic gene (pMON10518) produce about 0.2% B.t.k. protein. These results are very similar to the tobacco plant results for the same genes. 10 mRNA levels estimated by Northern blot analysis in tomato also increase in parallel with the protein level increase. As for tobacco with these three genes, most of the protein increase can be attributed to increased mRNA with a small component of translational efficiency increase indicated for 15 the fully synthetic gene. The highest levels of full length B.t.k. protein (from pMON10158) are comparable to or just slightly lower than the highest levels observed for the truncated HD-73 genes (pMON5383 and pMON5390). Tomato plants expressing these full length genes have the insecticidal activity expected for the observed protein levels as determined by feeding assays with beet armyworm or by diet incorporation of plant extracts with tobacco hornworm.

TABLE XIII

Full	Length	B.t.k.	HD-73	Protein	and
mRNA	Levels	in Tra	nsgenic	Tomato	Plants

Gene description	Vector	B.t.k. protein concentration	Relative B.t.k. mRNA level
Synthetic/wild type	pMON10506	100	1
Synthetic/modified	pMON10526	400	2-4
Fully synthetic	pMON10518	2000	10

c) Cotton.

The generality of the increased expression of B.t.k. HD-1 and B.t.k. HD-73 by use of the modified and synthetic genes was extended to cotton. Transgenic calli were produced which contain the wild type (pMON9921) and the synthetic HD-1 (pMON5377) genes. Here again the B.t.k. HD-1 protein produced from calli containing the wild-type gene was not detected, whereas calli containing the synthetic levels. The HD-1 protein was produced at approximately 1000 ng/mg of plant calli extract protein. Again, to ensure that the protein produced by the transgenic cotton calli was biologically active and that the increased expression observed with the synthetic gene translated to increased biological activity, extracts of cotton calli were made in similar manner as described for tobacco plants, except that the calli was first dried between Whatman filter paper to remove as much of the water as possible. The dried calli 55 were then ground in liquid nitrogen and ground in 100 mM sodium carbonate buffer, pH 10. Approximately 0.5 ml aliquotes of this material was applied to tomato leaves with a paint brush. After the leaf dried, five tobacco hornworm larvae were applied to each of two leaf samples. Leaves painted with extract from control calli were completely destroyed. Leaves painted with extract from calli containing the wild-type HD-1 gene (pMON9921) showed severe damage. Leaves painted with extract from calli containing the 65 synthetic HD-1 gene (pMONS5377) showed no damage (see Table XIV below).

TABLE XIV

Protection against Tobacco Hornworm by Tomato Leaves Painted with Extracts Prepared from Cotton Calli Containing a Control, the Wild-Type B.t.k. HD-1 Gene, Synthetic HD-1 Gene or Synthetic HD-73 Gene

	Gene Description	Vector	Tobacco Hornworm Damage*
o [–]	Control	Control	NL
	Wild type HD-1	pMON9921	3
	Synthetic HD-1	pMON5377	0
	Synthetic HD-73	pMON5383	0

*Damage was rated as shown in Table VIII.

Cotton calli were also produced containing another synthetic gene, a gene encoding B.t.k. HD-73. The preparation of this gene is described in Example 3. Cali containing the synthetic HD-73 gene produced the corresponding HD-73 protein at even higher levels than the calli which contained the synthetic HD-1 gene. Extracts made from calli containing the HD-73 synthetic gene (pMON5383) showed complete control of tobacco hornworm when painted onto tomato leaves as described above for extracts containing the HD-1 protein. (See Table XIV).

Transgenic cotton plants containing the synthetic B.t.k. HD-1 gene (pMON5377) or the synthetic B.t.k. HD-73 gene (pMON5383) have also been examined. These plants produce the HD-1 or HD-73 proteins at levels comparable to that seen in cotton callus with the same genes and comparable to tomato and tobacco plants with these genes. For either synthetic truncated HD-1 or HD-73 genes, cotton plants expressing B.t.k. protein at 1000 to 2000 ng/mg total protein (0.1% to 0.2%) were recovered at a high frequency. Insect feeding assays were performed with leaves from cotton plants expressing the synthetic HD-1 or HD-73 genes. These leaves showed no damage (rating of 0) when challenged with larvae of cabbage looper (Trichoplusia ni), and only slight damage when challenged with larvae of beet armyworm (Spodoptera exigua). Damage ratings are as defined in Table VIII above. This demonstrated that cotton plants as well as calli expressed the synthetic HD-1 or HD-73 genes at high levels and that those plants were protected from damage by Lepidopteran insect larvae.

Transgenic cotton plants containing either the synthetic HD-1 gene expressed the HD-1 protein at easily detectable 45 truncated HD-1 gene (pMON5377) or the synthetic truncated HD-73 gene (pMON5383) were also assessed for protection against cotton bollworm at the whole plant level in the greenhouse. This is a more realistic test of the ability of these plants to produce an agriculturally acceptable level of control. The cotton bollworm (Heliothis zea) is a major pest of cotton that produces economic damage by destroying terminals, squares and bolls, and protection of these fruiting bodies as well as the leaf tissue will be important for effective insect control and adequate crop protection. To test the protection afforded to whole plants, R1 progeny of cotton plants expressing high levels of either B.t.k. HD-1 (pMON5377) or B.t.k. HD-73 (pMON5383) were assayed by applying 10-15 eggs of cotton bollworm per boll or square to the 20 uppermost squares or bolls on each plant. At least 12 plants were analyzed per treatment. The hatch rate of the eggs was approximately 70%. This corresponds to very high insect pressure compared to numbers of larvae per plant seen under typical field conditions. Under these conditions 100% of the bolls on control cotton plants were destroyed by insect damage. For the transgenics, significant boll protection was observed. Plants containing pMON5377 (HD-1) had 70-75% of the bolls survive the intense pressure

of this assay. Plants containing pMON5383 (HD-73) had 80% to 90% boll protection. This is likely to be a consequence of the higher activity of HD-73 protein against cotton bollworm compared to HD-1 protein. In cases where the transgenic plants were damaged by the insects, the surviving larvae were delayed in their development by at least one instar.

Therefore, the increased expression obtained with the modified and synthetic genes is not limited to any one crop; drastic increases in B.t.k. expression when the plants/calli were produced containing the modified or synthetic genes. Likewise, the utility of changes made to produce the modified and synthetic B.t.k. HD-1 gene is not limited to the also showed drastic increases in expression.

In summary, it has been demonstrated that: (1) the genetic changes made in the HD-1 modified gene lead to very significant increases in B.t.k. HD-1 expression; (2) production of a totally synthetic gene lead to a further five-fold 20 increase in B.t.k. HD-1 expression; (3) the changes incorporated into the modified HD-1 gene accounted for the majority of the increased B.t.k. expression observed with the synthetic gene; (4) the increased expression was demonstrated in three different plants—tobacco plants, tomato 25 plants and cotton calli and cotton plants; (5) the increased expression as observed by Western analysis also correlated with similar increases in bioactivity, showing that the B.t.k. HD-1 proteins produced were comparably active; (6) when the method of the present invention used to design the 30 synthetic HD-1 gene was employed to design a synthetic HD-73 gene it also was expressed at much higher levels in tobacco, tomato and mo cotton than the wild-type equivalent gene with consequent increases in bioactivity; (7) a fully synthetic full length B.t.k. gene was expressed at levels 35 comparable to synthetic truncated genes.

EXAMPLE 5

Synthetic B.t. tenebrionis Gene in Tobacco, Tomato and Potato

Referring to FIG. 12, a synthetic gene encoding a Coleopteran active toxin is prepared by making the indicated changes in the wild-type gene of B.t. tenebrionis or de novo synthesis of the synthetic structural gene. The synthetic gene 45 is inserted into an intermediate plant transformation vector such as pMON893: Plasmid pMON893 containing the synthetic B.t.t. gene is then inserted into a suitable disarmed Agrobacterium strain such as A. tumefaciens ACO.

Transformation and Regeneration of Potato

Sterile shoot cultures of Russet Burbank are maintained in vials containing 10 ml of PM medium (Murashige and Skoog (MS) inorganic salts, 30 g/l surcose, 0.17 g/l NaH₂PO₄H₂O, 0.4 mg/l thiamine-HC1, and 100 mg/l myo- 55 inositol, solidified with 1 g/1 Gelrite at pH 6.0). When shoots reached approximately 5 cm in length, stem internode segments of 7-10 mm are excised and smeared at the cut ends with a disarmed Agrobacterium tumefaciens vector contain-The stem explants are co-cultured for three days at 23° C. on a sterile filter paper placed over 1.5 ml of a tobacco cell feeder layer overlaid on 1/10 P medium (1/10 strength MS inorganic salts and organic addenda without casein as in Jarret et al. (1980), 30 g/l surcose and 8.0 g/l agar). Following co-culture the explants are transferred to full strength P-1 medium for callus induction, composed of MS inorganic

salts, organic additions as in Jarret et al. (1980) with the exception of casein, 3.0 mg/l benzyladenine (BA), and 0.01 mg/1 naphthaleneacetic acid (NAA) (Jarret, et al., 1980). Carbenicillin (500 mg/l) is included to inhibit bacterial growth, and 100 mg/l kanamycin is added to select for transformed cells. After four weeks the explants are transferred to medium of the same composition but with 0.3 mg/l gibberellic acid (GA3) replacing the BA and NAA (Jarret et al., 1981) to promote shoot formation. Shoots begin to tobacco, tomato and cotton calli and cotton plants all showed 10 develop approximately two weeks after transfer to shoot induction medium; these are excised and transferred to vials of PM medium for rooting. Shoots are tested for kanamycin resistance conferred by the enzyme neomycin phosphotransferase II, by placing a section of the stem onto callus HD-1 gene. The synthetic HD-73 gene in all three species 15 induction medium containing MS organic and inorganic salts, 30 g/l surcrose, 2.25 mg/l BA, 0.186 mg/l NAA, 10 mg/l GA3 (Webb, et al., 1983) and 200 mg/l kanamycin to select for transformed cells.

> The synthetic B.t.t. gene described in FIG. 12, was placed into a plant expression vector as descibed in example 5. The plasmid has the following characteristics; a synthetic BglII fragment having approximately 1800 base pairs was inserted into pMON893 in such a manner that the enhanced 35S promoter would express the B.t.t. gene. This construct, pMON1982, was used to transform both tobacco and tomato. Tobacco plants, selected as kanamycin resistant plants were screened with rabbit anti-B.t.t. antibody. Crossreactive material was detected at levels predicted to be suitable to cause mortality to CPB. These target insects will not feed on tobacco, but the transgenic tobacco plants do demonstrate that the synthetic gene does improve expression of this protein to detectable levels.

> Tomato plants with the pMON1982 construct were determined to produce B.t.t. protein at levels insecticidal to CPB. In initial studies, the leaves of four plants (5190, 5225, 5328 and 5133) showed little or no damage when exposed to CPB larvae (damage rating of 0-1 on a scale of 0 to 4 with 4 as no leaf remaining). Under these conditions the control leaves were completely eaten. Immunological analysis of these plants confirmed the presence of material crossreactive with anti-B.t.t. antibody. Levels of protein expression in these plants were estimated at approximately 1 to 5 ng of B.t.t. protein in 50 ug of total extractable protein. A total of 17 tomato plants (17 of 65 tested) have been identified which demonstrate protection of leaf tissue from CPB (rating of 0 or 1) and show good insect mortality.

> Results similar to those seen in tobacco and tomato with pMON1982 were seen with pMON1984 in the same plant species. pMON1984 is identical to pMON1982 except that the synthetic protease inhibitor (CMTI) is fused upstream of the native croteolytic cleavage site. Levels of expression in tobacco were estimated to be similar to pMON1982, between 10-15 ng per 50ug of total soluble protein.

> Tomato plants expressing pMON1984 have been identified which protect the leaves from ingestion by CPB. The damage rating was 0 with 100% insect mortality.

Potato was transformed as described in example 5 with a vector similar to pMON1982 containing the enhanced ing the synthetic B.t.t. gene from a four day old plate culture. 60 CaMV35S/synthetic B.t.t. gene. Leaves of potato plants transformed with this vector, were screened by CPB insect bioassay. Of the 35 plants tested, leaves from 4 plants, 16a, 13c, 13d, and 23a were totally protected when challenged. Insect bioassays with leaves from three other plants, 13e, 1a, and 13b, recorded damage levels of 1 on a scale of 0 to 4 with 4 being total devestation of the leaf material. Immunological analysis confirmed the presence of B.t.t. crossreactive material in the leaf tissue. The level of B.t.t. protein in leaf tissue of plant 16a (damage rating of 0) was estimated at 20-50 ng of B.t.t. protein/50 ug of total soluble protein. The levels of B.t.t. protein seen in 16a tissue was consistent with its biological activity. Immunological analysis of 13e and 13b (tissue which scored 1 in damage rating) reveal less protein (5-10 ng/50 ug of total soluble protein) than in plant 16a. Cuttings of plant 16a were challenged with 50 to 200 eggs of CPB in a whole plant assay. Under these conditions 16a showed no damage and 100% mortality of insects while control potato plants were heavily damaged.

EXAMPLE 6

Synthetic B.t.k. P2 Protein Gene

The P2 protein is a distinct insecticidal protein produced by some strains of B.t. including B.t.k. HD-1. It is characterized by its activity against both lepidopteran and dipteran insects (Yamamoto and Iizuka, 1983). Genes encoding the P2 protein have been isolated and characterized (Donovan et al., 1988). The P2 proteins encoded by these genes are approximately 600 amino acids in length. These proteins 20 share only limited homology with the lepidopteran specific P1 type proteins, such as the B.t.k. HD-1 and HD-73 proteins described in previous examples.

The P2 proteins have substantial activity against a variety of lepidopteran larvae including cabbage looper, tobacco 25 hornworm and tobacco budworm. Because they are active against agronomically important insect pests, the P2 proteins are a desirable candidate in the production of insect tolerant transgenic plants either alone or in combination with the other B.t. toxins described in the above examples. In some 30 plants, expression of the P2 protein alone might be sufficient to provide protection against damaging insects. In addition, the P2 proteins might provide protection against agronomically important dipteran pests. In other cases, expression of P2 together with the B.t.k. HD-1 or HD-73 protein might be 35 preferred. The P2 proteins should provide at least an additive level of insecticidal activity when combined with the crystal protein toxin of B.t.k. HD-1 or HD-73, and the combination may even provide a synergistic activity. Although the mode of action of the P2 protein is unknown, its distinct amino 40 acid sequence suggests that it functions differently from the B.t.k. HD-1 and HD-73 type of proteins. Production of two insect tolerance proteins with different modes of action in the same plant would minimize the potential for development of insect resistance to B.t. proteins in plants. The lack 45 of substantial DNA homology between P2 genes and the HD-1 and HD-73 genes minimizes the potential for recombination between multiple insect tolerance genes in the plant chromosome. The genes encoding the P2 protein although distinct in sequence from the B.t.k. HD-1 and HD-73 genes 50 share many common features with these genes. In particular, the P2 protein genes have a high A+T content (65%), multiple potential polyadenylation signal sequences (26) and numerous ATTTA sequences (10). Because of its overall similarity to the poorly expressed wild-type B.t.k. HD-1 and 55 HD-73 genes, the same problems are expected in expression of the wild-type P2 gene as were encountered with the previous examples. Based on the above-described method for designing the synthetic B.t. genes, a synthetic P2 gene adequate levels for protection in plants. A comparision of the wild-type and synthetic P2 genes is shown in FIG. 13.

EXAMPLE 7

Synthetic B.t. Entomocidus Gene

The B.t. entomocidus ("Btent") protein is a distinct insecticidal protein produced by some strains of B.t. bacteria. It

is characterized by its high level of activity against some lepidopterans that are relatively insensitive to B.t.k. HD-1 and HD-73 such as Spodoptera species including beet armyworm (Visser et al., 1988). Genes encoding the Btent protein have been isolated and characterized (Honee et al. 1988). The Btent proteins encoded by these genes are approximately the same length as B.t.k. HD-1 and HD-73. These proteins share only 68% amino acid homology with the B.t.k. HD-1 and HD-73 proteins. It is likely that only the 10 N-terminal half of the Btent protein is required for insecticidal activity as is the case for HD-1 and HD-73. Over the first 625 amino acids, Btent shares only 38% amino acid homology with HD-1 and HD-73.

Because of their higher activity against Spodoptera species that are relatively insensitive to HD-1 and HD-73, the Btent proteins are a desirable candidate for the production of insect tolerant transgenic plants either alone or in combination with the other B.t. toxins described in the above examples. In some plants production of Btent alone might be sufficient to control the agronomically important pests. In other plants, the production of two distinct insect tolerance proteins would provide protection against a wider array of insects. Against those insects where both proteins are active, the combination of the B.t.k. HD-1 or HD-73 type protein plus the Btent protein should provide at least additive insecticidal efficacy, and may even provide a synergistic activity. In addition, because of its distinct amino acid sequence, the Btent protein may have a different mode of action than HD-1 or HD-73. Production of two insecticidal proteins in the same plant with different modes of action would minimize the potential for development of insect resistance to B.t. proteins in plants. The relative lack of DNA sequence homology with the B.t.k. type genes minimizes the potential for recombination between multiple insect tolerance genes in the plant chromosome.

The genes encoding the Btent protein although distinct in sequence from the B.t.k. HD-1 and HD-73 genes share many common features with these genes. In particular, the Btent protein genes have a high A+T content (62%), multiple potential polyadenylation signal sequences (39 in the full length coding sequence and 27 in the first 1875 nucleotides that is likely to encode the active toxic fragment) and numerous ATTTA sequences (16 in the full length coding sequence and 12 in the first 1875 nucleotides) Because of its overall similarity to the poorly expressed wild type B.t.k. HD-1 and HD-73 genes, the wild-type Btent genes are expected to exhibit similar problems in expression as were encountered with the wild-type HD-1 and HD-73 genes. Based on the above-described method used for designing the other synthetic B.t. genes, a synthetic Btent gene has been designed which gene should be expressed at adequate levels for protection in plants. A comparision of the wild type and synthetic Btent genes is shown in FIG. 14.

EXAMPLE 8

Synthetic B.t.k. Genes for Expression in Corn

High level expression of heterologous genes in corn cells has been designed which gene should be expressed at 60 has been shown to be enhanced by the presence of a corn gene intron (Callis et al., 1987). Typically these introns have been located in the 5' untranslated region of the chimeric gene. It has been shown that the CaMV35S promoter and the NOS 3' end function efficiently in the expression of heter-65 ologous genes in corn cells (Fromm et al., 1986).

> Referring to FIG. 15, a plant expression cassette vector (pMON744) was constructed that contains these sequences.

Specifically the expression cassette contains the enhanced CaMV 35S promoter followed by intron 1 of the corn Adh1 gene (Callis et al., 1987). This is followed by a multilinker cloning site for insertion of coding sequences; this multilinker contains a BgIII site among others. Following the multilinker is the NOS 3' end. pMON744 also contains the selectable marker gene 35S/NPTII/NOS 3' for kanamycin selection of transgenic corn cells. In addition, pMON744 has an E. coli origin of replication and an ampicillin resistance gene for selection of the plasmid in E. coli.

Five B.t.k. coding sequences described in the previous examples were inserted into the BgIII site of pMON744 for corn cell expression of B.t.k. The coding sequences inserted and resulting vectors were:

- pMON8652.
- 2. Modified B.t.k. HD-1 from pMON5370 to make pMON8642.
- 3. Synthetic B.t.k. HD-1 -from pMON5377 to make 20 pMON8643.
- 4. Synthetic B.t.k. HD-73 from pMON5390 to make pMON8644.
- 5. Synthetic full length B.t.k. HD-73 from pMON10518 to make pMON10902.

pMON8652 (wild-type B.t.k. HD-1) was used to transform corn cell protoplasts and stably transformed kanamycin resistant callus was isolated. B.t.k. mRNA in the corn cells was analyzed by nuclease S1 protection and found to be present at a level comparable to that seen with the same 30 wild-type coding sequence (pMON9921) in transgenic tomato plants.

pMON8652 and pMON8642 (modified HD-1) were used to transform corn cell protoplasts in a transient expression system. The level of B.t.k. mRNA was analyzed by nuclease 35 S1 protection. The modified HD-1 gave rise to a several fold increase in B.t.k. mRNA compared to the wild-type coding sequence in the transiently transformed corn cells. This indicated that the modifications introduced into the B.t.k. HD-1 gene are capable of enhancing B.t.k. expression in 40 monocot cells as was demonstrated for dicot plants and cells.

pMON8642 (modified HD-1) and pMON8643 (synthetic HD-1) were used to transform Black Mexican Sweet (BMS) corn cell protoplasts by PEG-mediated DNA uptake, and stably transformed corn callus was selected by growth on 45 kanamycin containing plant growth medium. Individual callus colonies that were derived from single transformed cells were isolated and propagated separately on kanamycin containing medium.

callus samples were tested for insect toxicity by bioassay against tobacco hornworm larvae. For each vector, 96 callus lines were tested by bioassay. Portions of each callus were placed on sterile water agar plates, and five neonate tobacco hornworm larvae were added and allowed to feed for 4 days. 55 For pMON8643, 100% of the larvae died after feeding on 15 of the 96 calli and these calli showed little feeding damage. For pMON8642, only 1 of the 96 calli was toxic to the larvae. This showed that the B.t.k. gene was being expressed in these samples at insecticidal levels. The observation that 60 significantly more calli containing pMON8643 were toxic than for pMON8642 showed that significantly higher levels of expression were obtained when the synthetic HD-1 coding sequence was contained in corn cells than when the modified HD-1 coding sequence was used, similar to the 65 previous examples with dicot plants. A semiquantitative immunoassay showed that the pMON8643 toxic samples

had significantly higher B.t.k. protein levels than the pMON8642 toxic sample.

The 16 callus samples that were toxic to tobacco hornworm were also tested for activity against European com borer. European corn borer is approximately 40-fold less sensitive to the HD-1 gene product than is tobacco hornworm. Larvae of European corn borer were applied to the callus samples and allowed to feed for 4 days. Two of the 16 calli tested, both of which contained pMON8643 (synthetic 10 HD-1), were toxic to European corn borer larvae.

To assess the expression of the B.t.k. genes in differentiated corn tissue, another method of DNA delivery was used. Young leaves were excised from corn plants, and DNA samples were delivered into the leaf tissue by microprojec-1. Wild type B.t.k. HD-1 from pMON9921 to make 15 tile bombardment. In this system, the DNA on the microprojectiles is transiently expressed in the leaf cells after bombardment. Three DNA samples were used, and each DNA was tested in triplicate.

- 1. pMON744, the corn expression vector with no B.t.k.
- 2. pMON8643 (synthetic HD-1).
- 3. pMON752, a corn expression vector for the GUS gene, no B.t.k. gene.

The leaves were incubated at room temperature for 24 hours. The pMON752 samples were stained with a substrate that allows visual detection of the GUS gene product. This analysis showed that over one hundred spots in each sample were expressing the GUS product and the the triplicate samples showed very similar levels of GUS expression. For the pMON744 and pMON8643 samples 5 larvae of tobacco hornworm were added to each leaf and allowed to feed for 48 hours. All three samples bombarded with pMON744 showed extensive feeding damage and no larval mortality. All three samples bombarded with pMON8643 showed no evidence of feeding damage and 100% larval mortality. The samples were also assayed for the presence of B.t.k. protein by a qualitative immunoassay. All of the pMON8643 samples had detectable B.t.k. protein. These results demonstrated that the the synthetic B.t.k. gene was expressed in differentiated corn plant tissue at insecticidal levels.

EXAMPLE 9

Synthetic Potato Leaf Roll Virus Coat Protein Gene

Expression in plants of the coat protein genes from a variety of plant viruses has proven to be an effective method of engineering resistance to these viruses. In order to achieve virus resistance, it is important to express the viral coat To assess the expression of the B.t.k. genes in these cells, 50 protein at an effective level. For many plant virus coat protein genes, this has not proved to be a problem. However, for the coat protein gene from potato leaf roll virus (PLRV), expression of the coat protein has been observed to be low relative to other coat protein genes, and this lower level of protein has not led to optimal resistance to PLRV.

> The gene for PLRV coat protein 4s shown in FIG. 16. Referring to FIG. 16, the upper line of sequence shows the gene as it was originally engineered for plant expression in vector pMON893. The gene was contained on a 749 nucleotide BgIII-EcoRI fragment with the coding sequence contained between nucleotides 20 and 643. This fragment also contained 19 nucleotides of 5' noncoding sequence and 104 nucleotides of 3' noncoding sentence. This PLRV coat protein gene was relatively poorly expressed in plants compared to other viral coat protein genes.

A synthetic gene was designed to improve plant expression of the PLRV coat protein. Referring again to FIG. 16, the changes made in the synthetic PLRV gene are shown in the lower line. This gene was designed to encode exactly the same protein as the naturally occurring gene. Note that the beginning of the synthetic gene is at nucleotide 14 and the end of the sequence is at nucleotide 654. The coding sequence for the synthetic gene is from nucleotide 20 to 643 of the figure. The changes indicated just upstream and downstream of these endpoints serve only to introduce convenient restriction sites just outside the coding sequence. Thus the size of the synthetic gene is 641 nucleotides which 10 is smaller than the naturally occurring gene. The synthetic gene is smaller because substantially all of the noncoding sequence at both the 5' and 3' ends, except for segments encoding the BgIII and EcoRI restriction sites has been removed.

The synthetic gene differs from the naturally occurring gene n two main respects. First, 41 individual ccdons within the coding sequence have been changed to remove nearly all codons for a given amino acid that constitute less than about 15% of the codons for that amino acid in a survey of dicot 20 plant genes. Second, the 5' and 3' noncoding sequences of the original aene have been removed. Although not strictly conforming to the algorithm described in FIG. 1, a few of the codon changes and especially the removal of the long 3' noncoding region is consistent with this algorithm.

The original PLRV sequence contains two potential plant polyadenylation signals (AACCAA and AAGCAT) and both of the these occur in the 3' noncoding sequence that has been removed in the synthetic gene. The original PLRV gene also contains on ATTTA sequence. This is also contained in the 3' noncoding sequence, and is in the midst of the longest stretch of uninterrupted A+T in the gene (a stretch of 7 A+T nucleotides). This sequence was removed in the synthetic gene. Thus, sequences that the algorithm of FIG. 1 targets for change have been changed in the synthetic PLRV coat protein gene by removal of the 3' noncoding segment. Within the coding sequence, codon changes were also made to remove three other regions of sequence described above. In particular, two regions of 5 consecutive A+T and one region of 5 consecutive G+C within the coding sequence have been removed in the synthetic gene.

The synthetic PLRV coat protein gene is cloned in a plant transformation vector such as pMON893 and used to transform potato plants as described above. These plants express' the PLRV coat protein at higher levels than achieved with the naturally occurring gene, and these plants exhibit increased resistance to infection by PLRV.

EXAMPLE 10

Expression of Synthetic B.t. Genes with RUBISCO Small Subunit Promoters and Chloroplast Transit Peptides

The genes in plants encoding the small subunit of 55 RUBISCO (SSU) are often highly expressed, light regulated and sometimes show tissue specificity. These expression properties are largely due to the promoter sequences of these genes. It has been possible to use SSU promoters to express will contain multiple SSU genes, and the expression levels and tissue specificity of different SSU genes will be different. The SSU proteins are encoded in the nucleus and synthesized in the cytoplasm as precursors that contain an N-terminal extension known as the chloroplast transit pep- 65 tide (CTP). The CTP directs the precursor to the chloroplast and promotes the uptake of the SSU protein into the chlo-

roplast. In this process, the CTP is cleaved from the SSU protein. These CTP sequences have been used to direct heterologous proteins into chloroplasts of transformed

The SSU promoters might have several advantages for expression of B.t.k. genes in plants. Some SSU promoters are very highly expressed and could give rise to expression levels as high or higher than those observed with the CaMV35S promoter. The tissue distribution of expression from SSU promoters is different from that of the CaMV35S promoter, so for control of some insect pests, it may be advantageous to direct the expression of B.t.k. to those cells in which SSU is most highly expressed. For example, although relatively constitutive, in the leaf the CaMV35S promoter is more highly expressed in vascular tissue than in some other parts of the leaf, while most SSU promoters are most highly expressed in the mesophyll cells of the leaf. Some SSU promoters also are more highly tissue specific, so it could be possible to utilize a specific SSU promoter to express B.t.k. in only a subset of plant tissues, if for example B.t. expression in certain cells was found to be deleterious to those cells. For example, for control of Colorado potato beetle in potato, it may be advantageous to use SSU promoters to direct B.t.t. expression to the leaves but not to the 25 edible tubers.

Utilizing SSU CTP sequences to localize B.t. proteins to the chloroplast might also be advantageous. Localization of the B.t. to the chloroplast could protect the crotein from proteases found in the cytoplasm. This could stabilize the B.t. protein and lead to higher levels of accumulation of active orotein. B.t. genes containing the CTP could be used in combination with the SSU promoter or with other promoters such as CaMV35S.

A variety of plant transformation vectors were constructed 35 for the expression of B.t.k. genes utilizing SSU promoters and SSU CTPs. The promoters and CTPs utilized were from the petunia SSU11a gene described by Tumer et al. (1986) and from the Arabidopsis ats1A gene (an SSU gene) described by Krebbers et al. (1988) and by Elionor et al. (1989). The petunia SSU11a promoter was contained on a DNA fragment that extended approximately 800 bp upstream of the SSU coding sequence. The Arabidopsis ats1A promoter was contained on a DNA fragment that extended approximately 1.8 kb upstream of the SSU coding sequence. At the upstream end convenient sites from the multilinker of pUC18 were used to move these promoters into plant transformation vectors such as pMON893. These promoter fragments extended to the start of the SSU coding sequence at which point an NcoI restriction site was engi-50 neered to allow insertion of the B.t. coding sequence, replacing the SSU coding sequence.

When SSU promoters were used in combination with their CTP, the DNA fragments extended through the coding sequence of the CTP and a small oortion of the mature SSU coding sequence at which point an NcoI restriction site was engineered by standard techniques to allow the in frame fusion of B.t. coding sequences with the CTP. In particular, for the cetunia SSU11a CTP, B.t. coding sequences were used to the SSU sequence after amino acid 8 of the mature heterologous genes in transformed plants. Typically a plant 60 SSU sequence at which point the Ncol site was placed. The 8 amino acids of mature SSU sequence were included because preliminary in vitro chloroplast uptake experiments indicated that uptake was of B.t.k. was observed only if this segment of mature SSU was neluded. For the Arabidopsis ats1A CTP, the complete CTP was included plus 24 amino acids of mature SSU sequence plus the sequence gly-glyarg-val-asn-cys-met-gln-ala-met, Sequence ID NO. 19 terminating in an NcoI site for B.t. fusion. This short sequence reiterates the native SSU CTP cleavage site (between the cys and met) plus a short segment surrounding the cleavage site. This sequence was included in order to insure proper uptake into chloroplasts. B.t. coding sequences were fused to this ats1A CTP after the met codon. In vitro uptake experiments with this CTP construction and other (non-B.t.) coding sequences showed that this CTP did target proteins to the chloroplast.

When CTPs were used in combination with the CaMV ¹⁰ 35S promoter, the same CTP segments were used. They were excised just upstream of the ATG start sites of the CTP by engineering of BglII sites, and placed downstream of the CaMV35S promoter in pMON893, as BglII to NcoI fragments. B.t. coding sequences were fused as described above. ¹⁵

The wild type B.t.k. HD-1 coding sequence of pMON9921 (see FIG. 1) was fused to the ats1A promoter to make pMON1925 or the ats1A promoter plus CTP to make pMON1921. These vectors were used to transform tobacco plants, and the plants were screened for activity against tobacco hornworm. No toxic plants were recovered. This is surprising in light of the fact that toxic plants could be recovered, albeit at a low frequency, after transformation with pMON9921 in which the B.t.k. coding sequence was expressed from the enhanced CaMV35S, promoter in pMON893, and in light of the fact that Elionor et al. (1989) report that the ats1A promoter itself is comparable in strength to the CaMV35S promoter and approximately 10-fold stronger when the CTP sequence is included. At least for the wild-type B.t.k. HD-1 coding sequence, this does not appear to be the case.

A variety of plant transformation vectors were constructed utilizing either the truncated synthetic. HD-73 coding sequence of FIG. 4 or the full length *B.t.k.* HD-73 coding sequence of FIG. 11. These are listed in the table below.

TABLE XV

Gene Constructs with CTPs				
Vector	Promoter	СТР	B.t.k. HD-73 Coding Sequence	
pMON10806	En 35S	ats1A	truncated	
pMON10814	En 35S	SSU11a	full length	
pMON10811	SSU11a	SSU11a	truncated	
pMON10819	SSU11a	none	truncated	
PMON10815	ats1A	none	truncated	
pMON10817	ats1A	ats1A	truncated	
pMON10821	En 35S	ats1A	truncated	
pMON10822	En 35S	ats1A	full length	
pMON10838	SSU11a	SSU11a	full length	
pMON10839	ats1A	ats1A	full length	

All of the above vectors were used to transform tobacco plants. For all of the vectors containing truncated B.t.k. genes, leaf tissue from these plants has been analyzed for 55 toxic-zt to nsects and B.t.k. protein levels by immunoassay. pMON10806, 10811, 10819 and 10821 produce levels of B.t.k. protein comparable to pMON5383 and pMON5390 which contain synthetic B.t.k. HD-73 coding sequences driven by the En 35S promoter itself with no CTP. These 60 plants also have the insecticidal activity expected for the B.t.k. protein levels detected. For pMON10815 and pMON10817 (containing the ats1A promoter) the level of B.t.k. protein is about 5-fold higher than that found in plants containing pMON5383 or 5390. These plants also have 65 higher insecticidal activity. Plants containing 10815 and 10817 contain up to 1% of their total soluble leaf protein as

B.t.k. HD-73. This is the highest level of B.t.k. protein yet obtained with any of the synthetic genes.

This result is surprising in two respects. First, as noted above, the wild type coding sequences fused to the ats1A promoter and CTP did not show any evidence of higher levels of expression than for En 35S, and in fact had lower expression based on the absence of any insecticidal plants. Second, Elionor et al. (1989) show that for two other genes, the ats1A CTP can increase expression from the ats1A promoter by about 10-fold. For the synthetic B.t.k. HD-73 gene, there is no consistent increase seen by including the CTP over and above that seen for the ats1A promoter alone.

Tobacco plants containing the full length synthetic HD-73 fused to the SSU11A CTP and driven by the En 35S promoter produced levels of B.t.k. protein and insecticidal activity comparable to pMON1518 which does not include the CTP. In addition, for pMON10518 the B.t.k. protein extracted from plants was observed by gel electrophoresis to contain multiple forms less than full length, apparently due the cleavage of the C-terminal portion (not required for toxicity) in the cytoplasm. For pMON10814, the majority of the protein appeared to be intact full length indicating that the protein has been stabilized from proteolysis by targeting to the chloroplast.

EXAMPLE 11

Taroetina of B.t. Proteins to the Extracellular Space or Vacuole through the Use of Signal Peotides

The B.t. proteins produced from the synthetic genes described here are localized to the cytoplasm of the plant cell, and this cytoplasmic localization results in plants that are insecticidally effective. It may be advantageous for some purposes to direct the B.t. proteins to other compartments of the plant cell. Localizing B.t. proteins in compartments other than the cytoplasm may result in less exposure of the B.t. proteins to cytoplasmic proteases leading to greater accumulation of the protein yielding enhanced insecticidal activity. Extracellular localization could lead to more efficient exposure of certain insects to the B.t. proteins leading to greater efficacy. If a B.t. protein were found to be deleterious to plant cell function, then localization to a noncytoplasmic compartment could protect these cells from the protein.

In plants as well as other eucaryotes, proteins that are 45 destined to be localized either extracellularly or in several specific compartments are typically synthesized with an N-terminal amino acid extension known as the signal peptide. This signal peptide directs the protein to enter the compartmentalization pathway, and it is typically cleaved 50 from the mature protein as an early step in compartmentalization. For an extracellular protein, the secretory pathway typically involves cotranslational insertion ifnto the endoplasmic reticulum with cleavage of the signal peptide occuring at this stage. The mature protein then passes thru the Golgi body into vesicles that fuse with the plasma membrane thus releasing the protein into the extracellular space. Proteins destined for other compartments follow a similar pathway. For example, proteins that are destined for the endoplasmic reticulum or the Golgi body follow this scheme, but they are specifically retained in the appropriate compartment. In plants, some proteins are also targeted to the vacuole, another membrane bound compartment in the cytoplasam of many plant cells. Vacuole targeted proteins diverge from the above pathway at the Golgi body where they enter vesicles that fuse with the vacuole.

A common feature of this protein targeting is the signal peptide that initiates the compartmentalization process. Fus-

ing a signal peptide to a protein will in many cases lead to the targeting of that protein to the endoplasmic reticulum. The efficiency of this step may depend on the sequence of the mature protein itself as well. The signals that direct a protein to a specific compartment rather than to the extracellular space are not as clearly defined. It appears that many of the signals that direct the protein to specific compartments are contained within the amino acid sequence of the mature protein. This has been shown for some vacuole targeted proteins, but it is not yet possible to define these sequences precisely. It appears that secretion into the extracellular space is the "default" pathway for a protein that contains a signal sequence but no other compartmentalization signals. Thus, a strategy to direct B.t. proteins out of the cytoplasm is to fuse the genes for synthetic B.t. genes to DNA 15 sequences encoding known plant signal peptides. These fusion genes will give rise to B.t. proteins that enter the secretory pathway, and lead to extracellualar secretion or targeting to the vacuole or other compartments.

Signal sequences for several plant genes have been 20 described. One such sequence is for the tobacco pathogenesis related protein PR1b described by Cornelissen et al. The PR1b protein is normally localized to the extracellular space. Another type of signal peptide is contained on seed storage proteins of legumes. These proteins are localized to 25 Dean, C. et al., Nucleic Acids Research (1986), Vol. 14, No. the protein body of seeds, which is a vacuole like compartment found in seeds. A signal peptide DNA sequence for the beta subunit of the 7S storage protein of common bean (Phaseolus vulgaris), PvuB has been described by Doyle et al. Based on the published these published sequences, genes 30 were synthesized by chemical synthesis of oligonucleotides that encoded the signal peptides for PR1b and PvuB. The synthetic genes for these signal peptides corresponded exactly to the reported DNA sequences. Just upstream of the translational intiation codon of each signal peptide a BamHI and BgII: site were inserted with the BamHI site at the 5 end. This allowed the insertion of the signal peptide encoding segments into the BglII site of pMON893 for expression from the En 35S promoter. In some cases to achieve secretion or compartmentalization of heterologous proteins, it has 40 Genovese, C. and Milcarek, C. (1988), RNA Processing, proved necessary to include some amino acid sequence beyond the normal cleavage site of the signal peptide. This may be necessary to insure proper cleavage of the signal peptide. For PR1b the synthetic DNA sequence also included the first 10 amino acids of mature PR1b. For PvuB the synthetic DNA sequence included the first 13 amino acids of mature PvuB. Both synthetic signal peptide encoding segments ended with Ncol sites to allow fusion in frame to the methionine initiation codon of the synthetic B.t. genes.

Four vectors encoding synthetic B.t.k. HD-73 genes were 50 constructed containing these signal peptides. The synthetic truncated HD-73 gene from pMON5383 was fused with the signal peptide sequence of PvuB and incorporated into pMON893 to create pMON10827. The The synthetic truncated HD-73 gene from pMON5383 was also fused with the 55 signal peptide sequence of PR1b to create pMON10824. The full length synthetic HD-73 gene from pMON10518 was fused with the signal peptide sequence of PvuB and incorporated into pMON893 to create pMON10828. The full length synthetic HD-73 gene from pMON10518 was also 60 fused with the signal peptide sequence of PR1b and incorporated into pMON893 to create pMON10825.

These vectors were used to transform tobacco plants and the plants were assayed for expression of the B.t.k. protein by Western blot analysis and for insecticidal efficacy. 65 pMON10824 and pMON10827 produced amounts of B.t.k. protein in leaf comparable to the truncated HD-73 vectors,

pMON5383 and pMON5390, pMON10825 and pMON10828 produced full length B.t.k. protein in amounts comparable to pMON10518. In all cases, the plants were insecticidally active against tobacco hornworm.

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18

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< 2 1 3 > Artificial Sequence
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                                                                                              180
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                                                                                              2 4 0
                                                                                              300
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                                                                                              5 4 0
                                                                                              600
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atcaggiaca accagticag aagagagcii acactaacig tattagatat cgiticicta
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gggttttcgg	ggccagaatt	cactiticcg	ctatatggaa	ctatgggaaa tgcagctcca	960
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c c t t c a t c a c	a a a t c a c c c a	aatcccactc	accaagteta	ctaatct tgg ctctggaact	1 3 8 0
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gсa					1743

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gagitegect	atggaacctc ttctaacttg ccatccgctg tttacagaaa gagcggaacc	1 1 4 0
g t t g a t t c c t	tggacgaaat cccaccacag aacaacaatg tgccacccag gcaaggattc	1 2 0 0
t c c c a c a g g t	igagocaegi giccaigite egiteeggai icagcaacag iteegigage	1 2 6 0
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gcatccgata	g tattactca aatccctgca gtgaagggaa actttctctt caacggttct	1 3 8 0
gtcatttcag	gaccaggatt cactggtgga gacctcgtta gactcaacag cagtggaaat	1 4 4 0
aacattcaga	atagagggta tattgaagtt cc_aattcact tcccatccac atctaccaga	1500
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g t g g g t g t t a	gaaactitag tgggactgca ggagtgatta tcgacagatt cgagttcatt	1740
ccagttactg	caacactcga ggctgag	1767

a	t	g į	ga	a c	a	а	С	а	а	c	c	c	а	а	а	c	а	t	•	a	а	c	g	a	a	ŧ	g	c	а	t	ŧ	c	c	a	t	a	¢	a		а (c :	t į	g	: t	t	g	а	g	t	a t	в «	: с	; с	а	g	a	a			6 (0
g	t	t į	g	a	g	t	a	c	t	t	g	g	t	g	g	а	g	a	a	•	. 8	, c	а	t	t	g	а	а	а	С	c	g	g	t	t	а	c	а		c	t ·	c (c	a	t	c	g	а	c	8	t c	: t	c	c	t	t	g		1	2 (0
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g	a	a į	g £	g a	t	t	g	a	g	c	2	2	t	c	t	c	t	a	c		: 2	a	а	t	¢	t	a	t	g	c	а	g	8	g	8	g	c	t		t ·	c a		g	g	, a	g	t	g	g	g	a &	g	; c	c	g	a	t		3	6 (0
· c	с	t a	a c	e t	a	a	c	c	c	a	g	c	t	c	t	¢	¢	g	c	8	, a	g	g	a	а	а	t	g	c	g	t	a	t	t	c	a	a	t		1 .	c	а а	a c	g	; a	c	а	t	g	a i	B <	; а	g	c	g	c	c		4	2 (0
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а	g .	g 1	1 6	3 8	g	g	a	t	t	c	g	8	t	g	c	t	g	c	8	8	c	· c	а	t	c	а	a	t	а	g	с	c	g	t	t	a	с	a		а (c į	g :	a (c	: t	t	a	c	t	a į	g é	z c	: t	g	a	t	t		6	0 (0
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t	c	c e	c e	a	c	t	t	а	c	c	a	g	а	g	а	8	a	t	c	t	a	t	а	c	t	a	а	c	c	c	a	g	ı	ŧ	c	t	t	g		9 (ga	a a	а с	: t	t	c	g	а	c	g į	g (t a	g	c	t	t	с		8	4 (0
c	g	t į	3 8	, t	t	c	t	g	¢	c	¢	a	8	g	g	ŧ	8	t	c	8	8	8	8	g	c	t	c	c	а	t	С	a	g	g	8	g	c	c		c	a (c :	a (: t	t	g	a	t	g	g	в «	; a	t	c	t	t	g		9	0 (0
а.	9	c a	9 6	g c	а	t	а	а	c	t	а	t	c	t	а	c	а	c	•	8	a	t	g	c	t	c	a	с	2	g	a	g	g	a	g	a	g	t		а	t 1	t s	a c	: 1	g	g	t	с	t	B I	g a	, с	a	c	c	a	g		9	6 (0
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8	t,	B 8	3 8	g a	a	8	c	g	c	c	g	c	t	c	c	a	c	8	a	c	8	а	c	g	t	а	t	c	g	ŧ	t	g	c	t	c	a	a	c		1 :	a į	g (g 1		: 2	g	g	g	t	В	t <	; t	a	c	8	g	а	1	0	8 (0
a	c	c 1	t t	g	t t	c	t	t	c	c	9	c	c	1	t	g	t	а	c	a	8	a	a	g	а	c	c	c	t	t	c	a	a	t	a	t	c	g	ı	g i	t	a 1	t c	: а	В	c	9	a	c	c i	a e	, c	а	a	c	t	t	1	1	4 (D

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< 2 2 3 > Description of Artificial Sequence:synthetic structural gene encoding insecticidal protein derived from B.t.k. HD-73

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g						1921

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^{2 1 0 &}gt; 24
2 1 1 > 3534
2 1 2 > DNA
2 1 3 > Artificial Sequence

< 2 2 0 >
< 2 2 3 > Description of Artificial Sequence:synthetic structural gene encoding insecticidal protein derived from B.t.k. HD-73

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2 1 1 > 3534
2 1 2 > DNA
2 1 3 > Artificial Sequence

< 220 >

< 2 2 3 > Description of Artificial Sequence:synthetic structural gene encoding insecticidal protein derived from B.t.k. HD-73

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^{2 1 0 &}gt; 26
2 1 1 > 3534
2 1 2 > DNA
2 1 3 > Artificial Sequence

< 2 2 0 >
< 2 2 3 > Description of Artificial Sequence:synthetic structural gene encoding insecticidal protein derived from B.l.k. HD-73

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< 2 1 1 > 3531
< 2 1 2 > DNA
< 2 1 3 > Artificial Sequence

< 2 2 0 >
< 2 2 3 > Description of Artificial Sequence:synthetic structural gene encoding a fusion protein derived from; B.t.k. HD-1 and B.t.k. HD-73

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accgaggaa ccttcatcgt	ggacagcgtg	gagcttctct	tgatggagga	a	3531

We claim:

3. A heterologous gene construct comprising a structural coding sequence which encodes an insecticidal protein

derived from B.t.k. HD-1, said structural coding sequence comprising SEQ ID NO:22.

5. A heterologous gene construct comprising a structural coding sequence which encodes an insecticidal protein derived from B.t.k. HD-73, said structural coding sequence comprising SEQ ID NO:24.

^{1.} A heterologous gene construct comprising a structural coding sequence which encodes an insecticidal protein 60 derived from B.k. HD-1, said structural coding sequence comprising SEQ ID NO:20.

^{2.} A heterologous gene construct comprising a structural coding sequence which encodes an insecticidal protein derived from B.t.k. HD-73, said structural coding sequence comprising SEQ ID NO:21.

^{4.} A heterologous gene construct comprising a structural coding sequence which encodes an insecticidal protein derived from *B.t.k.* HD-73, said structural coding sequence comprising SEQ ID NO:23.

- 6. A heterologous gene construct comprising a structural coding sequence which encodes an insecticidal protein derived from *B.t.k.* HD-73, said structural coding sequence comprising SEQ ID NO:25.
- 7. A heterologous gene construct comprising a structural 5 coding sequence which encodes an insecticidal protein derived from B.t.k. HD-73, said structural coding sequence comprising SEQ ID NO:26.
- 8. A heterologous gene construct comprising a structural coding sequence which encodes a fusion protein comprising the N-terminal 610 amino acids of a toxin protein derived from B.t.k. HD-1 and the C-terminal 567 amino acids of a toxin protein derived from B.t.k. HD-73, said structural coding sequence comprising SEQ ID NO:27.

* * * * *



gene

EXHIBIT E

<i>f</i>		٥,
	*365 Patent Claim 5 5. A modified chimeric gene comprising a promoter which functions in plant cells	ECEIVE
'105 Application Claim 40	'365 Patent Claim 5	2006
40. A synthetic gene	5. A modified chimeric gene comprising	1600/2000
	a promoter which functions in plant cells operably linked to a structural coding sequence and a 3' non-translated region comprising a polyadenylation sequence which functions in plants to cause the addition of polyadenylate nucleotides to the 3' end of the RNA,	-000
which is derived from a Bacillus thuringiensis insecticidal protein toxin gene and which is more highly expressed in plants, wherein the coding sequence of said synthetic gene is modified to contain:	wherein said structural coding sequence encodes a toxin protein derived from a <i>Bacillus thuringiensis</i> protein, wherein said structural coding sequence comprises a DNA sequence which differs from the naturally occurring DNA sequence encoding said <i>Bacillus thuringiensis</i> protein and comprises the following characteristics:	
contain.	said naturally occurring DNA sequence comprises a region having the following sequence:	
	TTAATTAACCAAAGAATAGAAGAATTCGCTAGGAAC 1 5 10 15 20 25 30 35	
b) fewer polyadenylation signal sequences than said insecticidal protein toxin	and where said structural coding sequence comprises modifications so that at least said region contains at least one fewer sequence selected from the group consisting of plant polyadenylation sequences and an ATTTA sequence,	
gene	and where said modifications increase the number of plant preferred codons in said structural coding sequence.	
a) a greater number of codons preferred by the intended plant host than said insecticidal protein toxin		